

Olefin Metathesis Reaction: A Story of Catalyst Development

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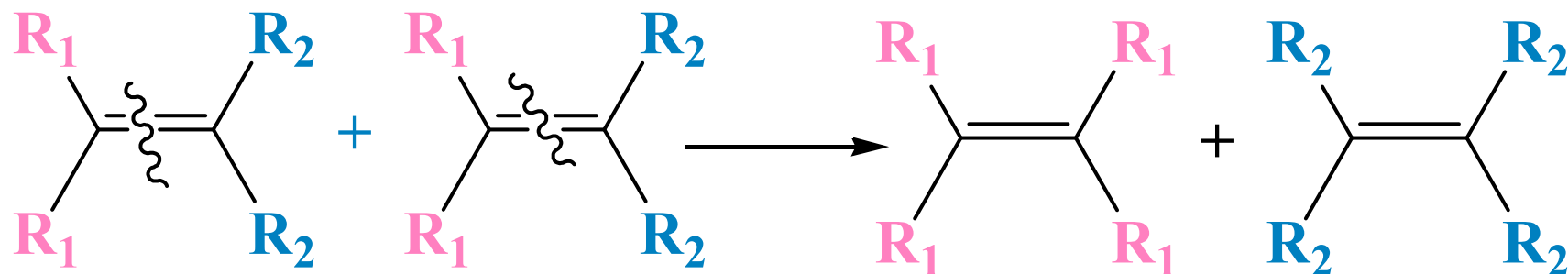
Outline

- **Introduction**
- **Classical Catalysts System**
 - Schrock Catalysts
 - Grubbs Catalysts
 - RCM Reaction
 - Classical CM Reaction
- **Catalysts Improvement**
 - Restrictions of Classical Catalysts
 - Solutions of Grubbs Catalysts
 - Solutions of Schrock Catalysts
- **Summary**
- **Acknowledgement**

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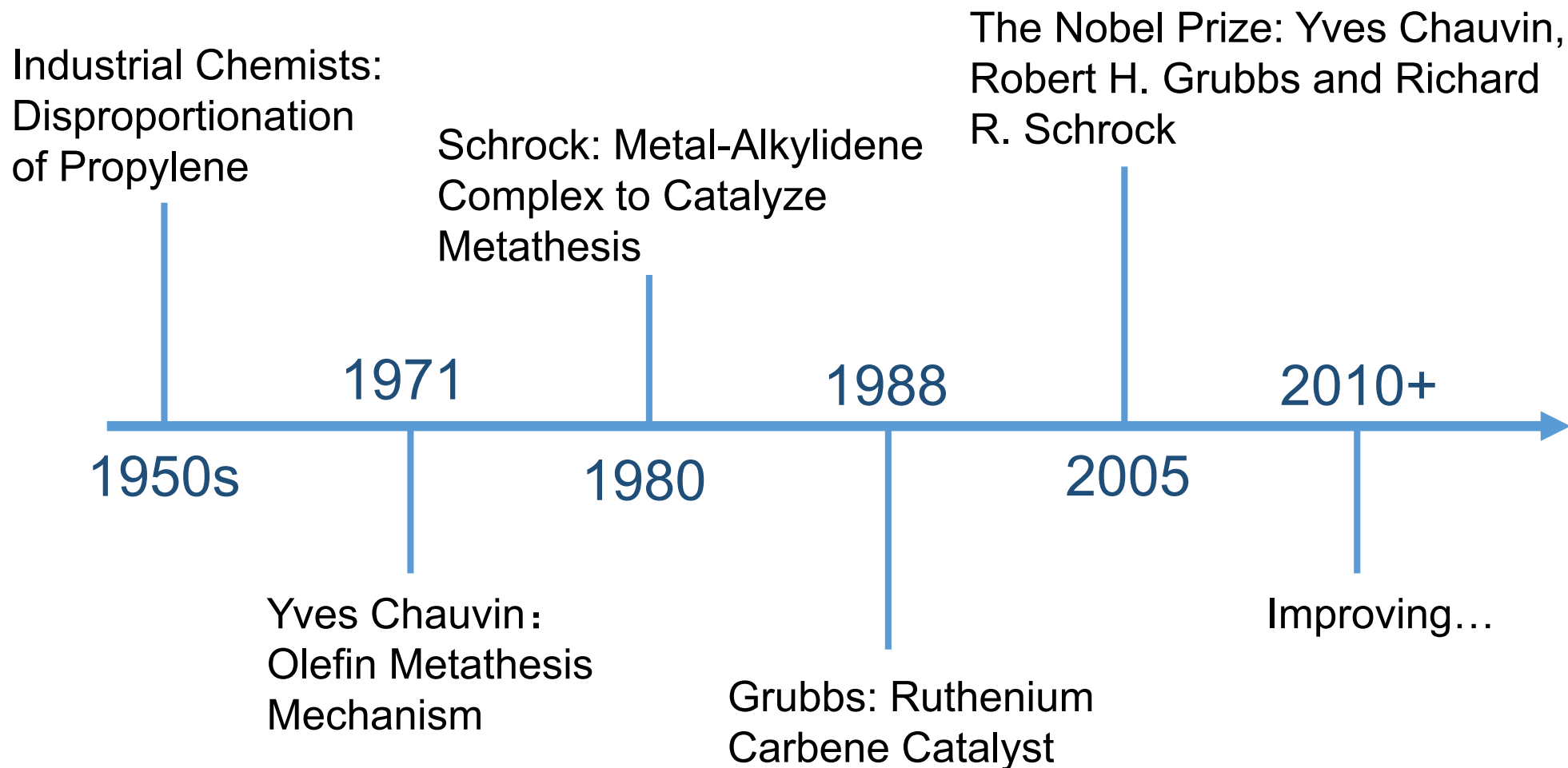
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Introduction & History



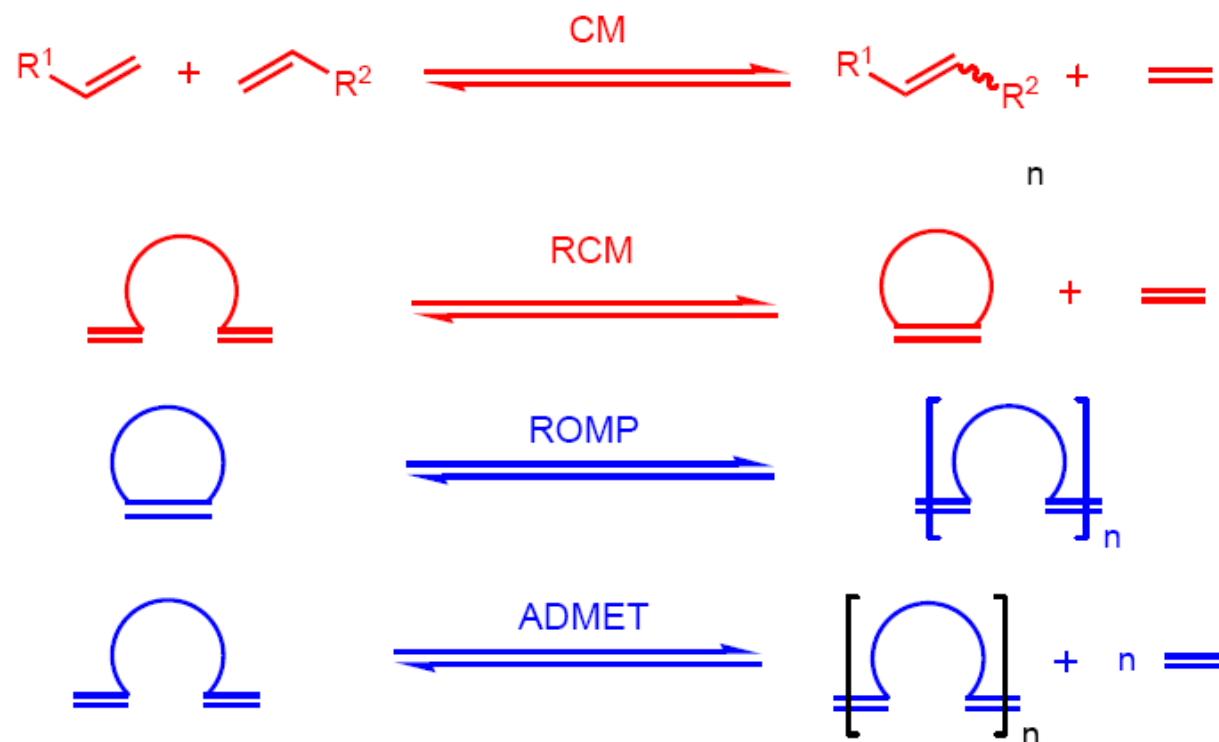
- At first two men were a pair, two women were a pair, and to shouts of “catalyst!” they crossed over and became two couples.

Introduction & History



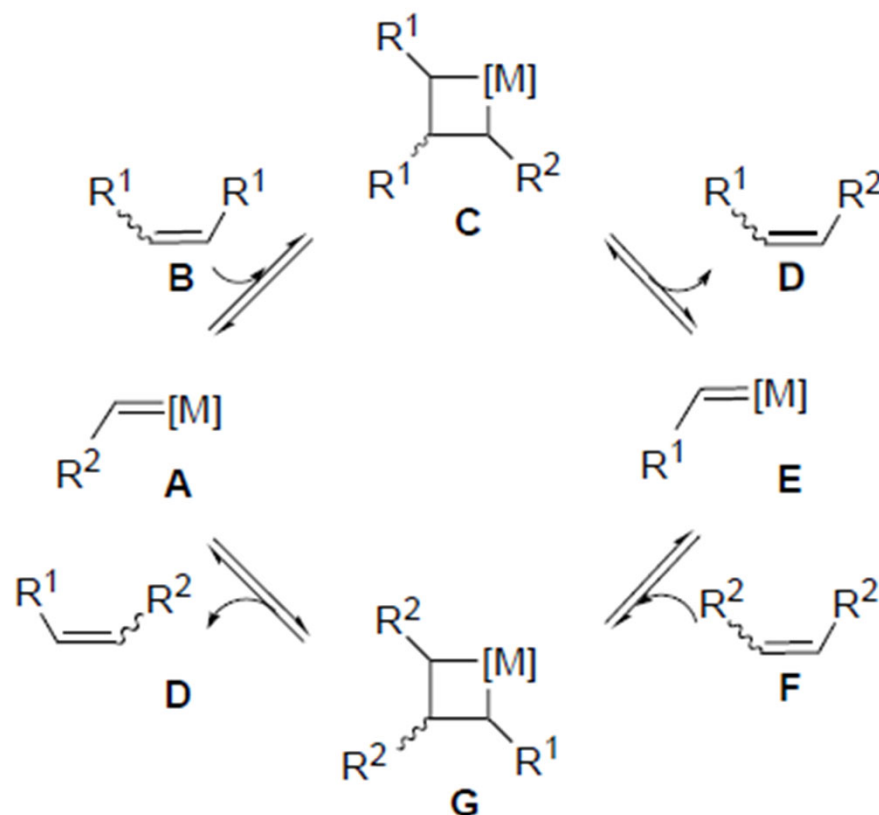
Basic Reaction Types

- **C**ross **M**etathesis
- **R**ing **C**losing **M**etathesis
- Ring **O**pening **M**etathesis **P**olymerization
- Acyclic **D**iene **M**ETathesis

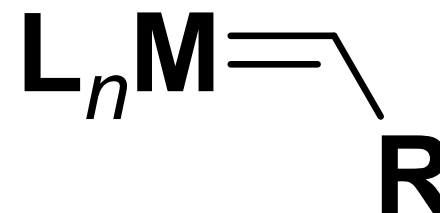


Classical Mechanism

- Most Important Intermediate: **Metal-Carbene**

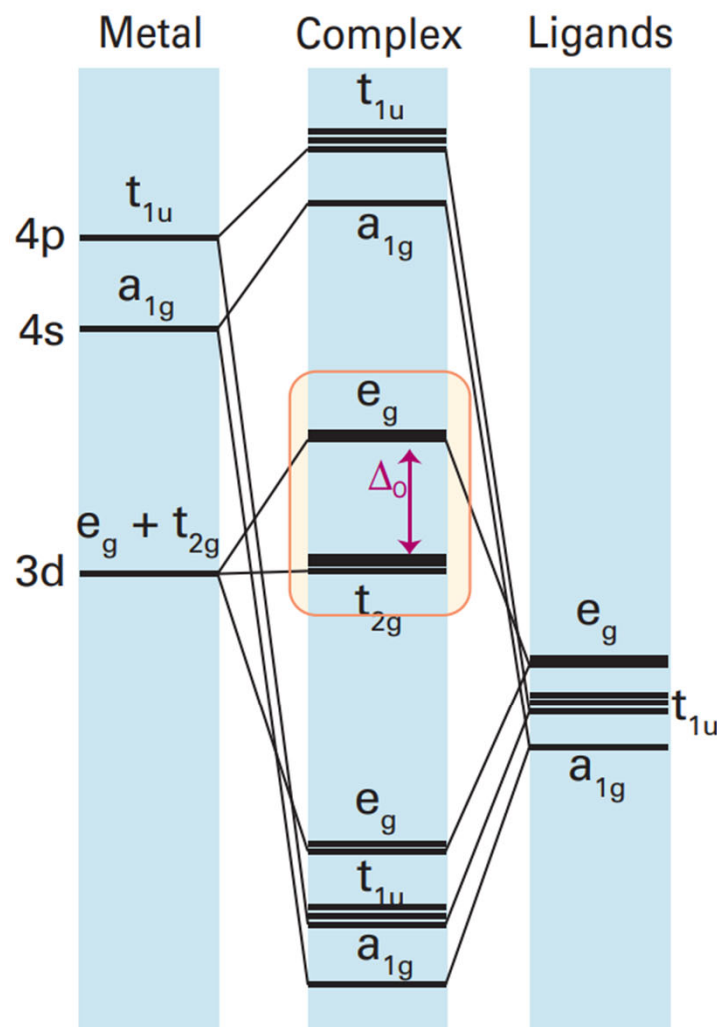
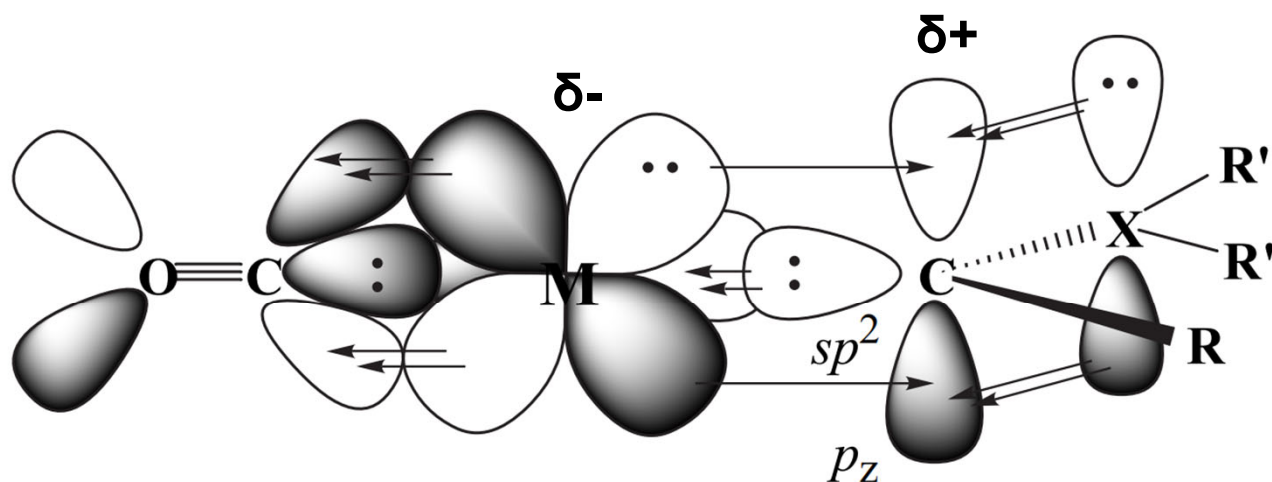


For Olefin Metathesis:
Suitable?

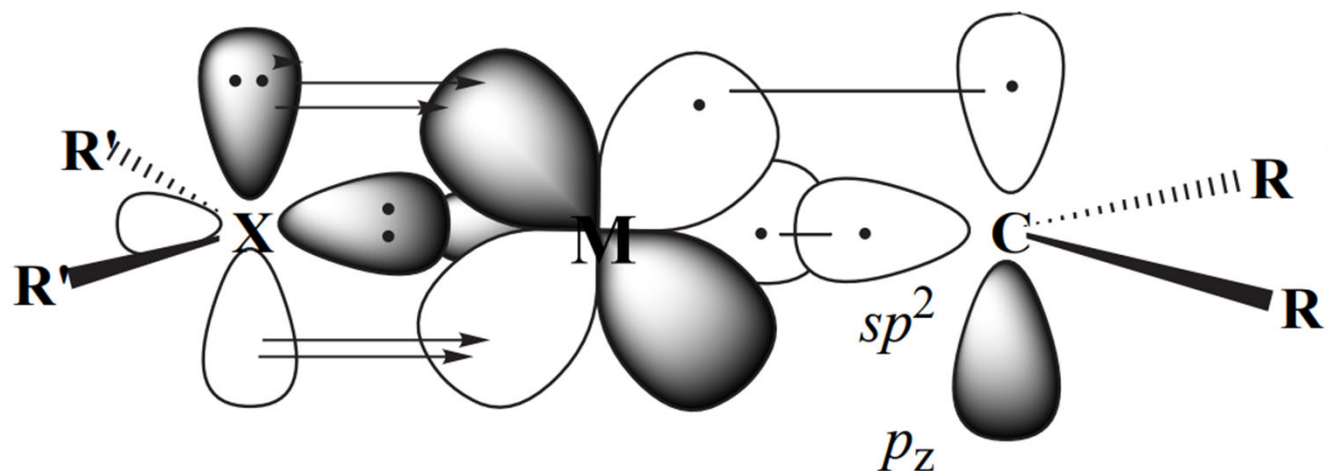


Types of Metal-Carbene: Fischer

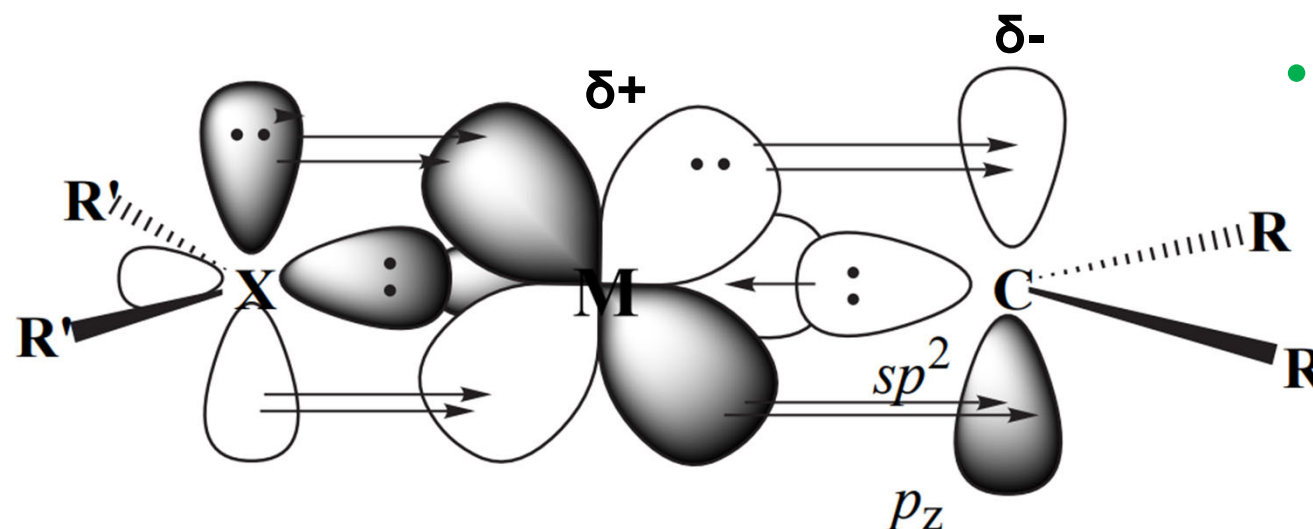
- **Low** Oxidation State
- **Late** Metals
- Electrophilic Carbon
- Singlet-Like Carbene



Types of Metal-Carbene: Schrock



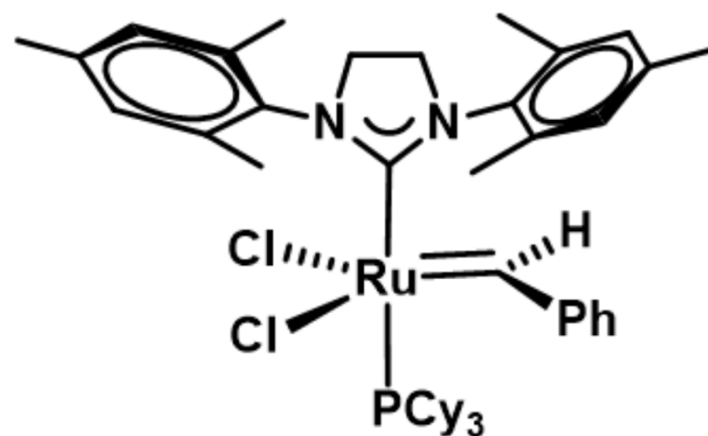
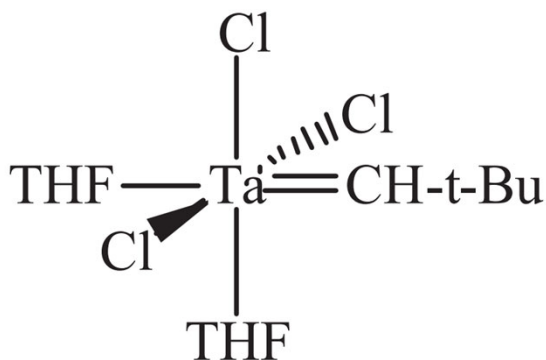
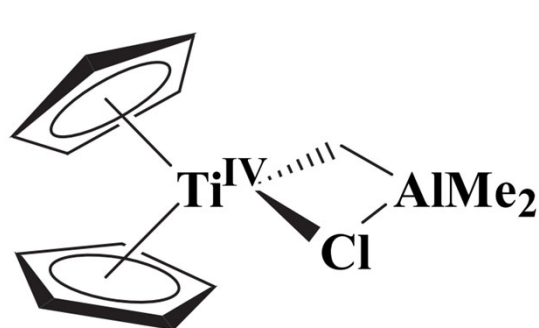
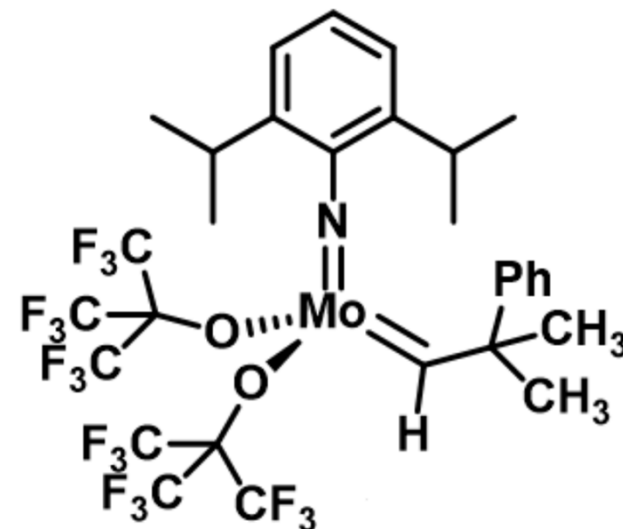
- **Normal**-Schrock:
- Less Polarization
- Triplet-Like Carbene
- **Metathesis Suitable**



- **High** Oxidation State
- **Early** Metals
- **Extreme**-Schrock:
- Nucleophilic Carbon
- Contrary to Fischer

Classical Metal Center: Mo & Ru

- Second Transition Series
- **Moderate** Electronegativity
- Variable Coordination Number
- Different Between **Mo** & **Ru**?
- Accidental Discovery & Rational Design

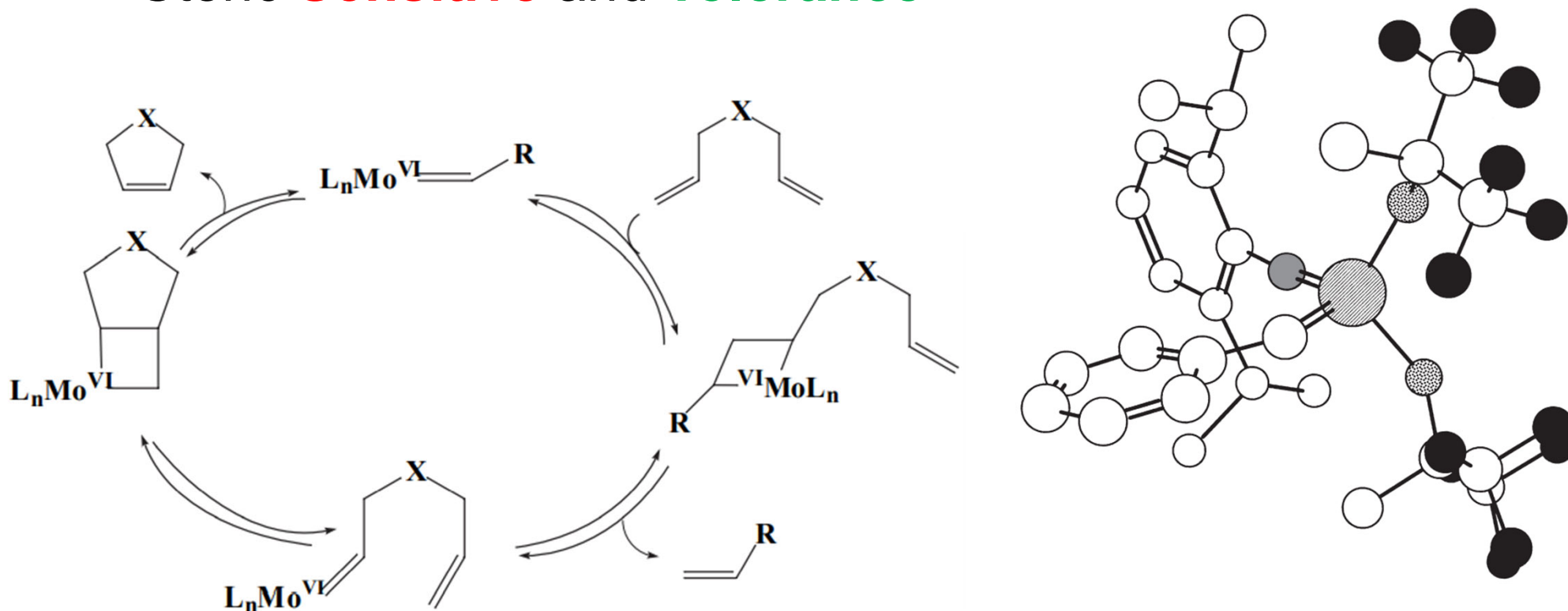


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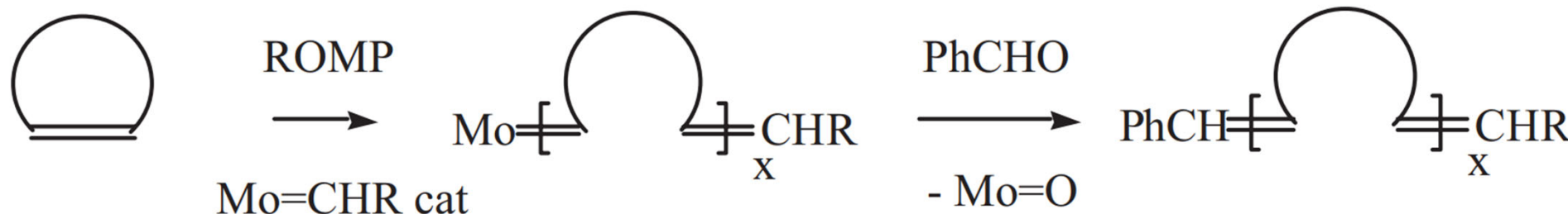
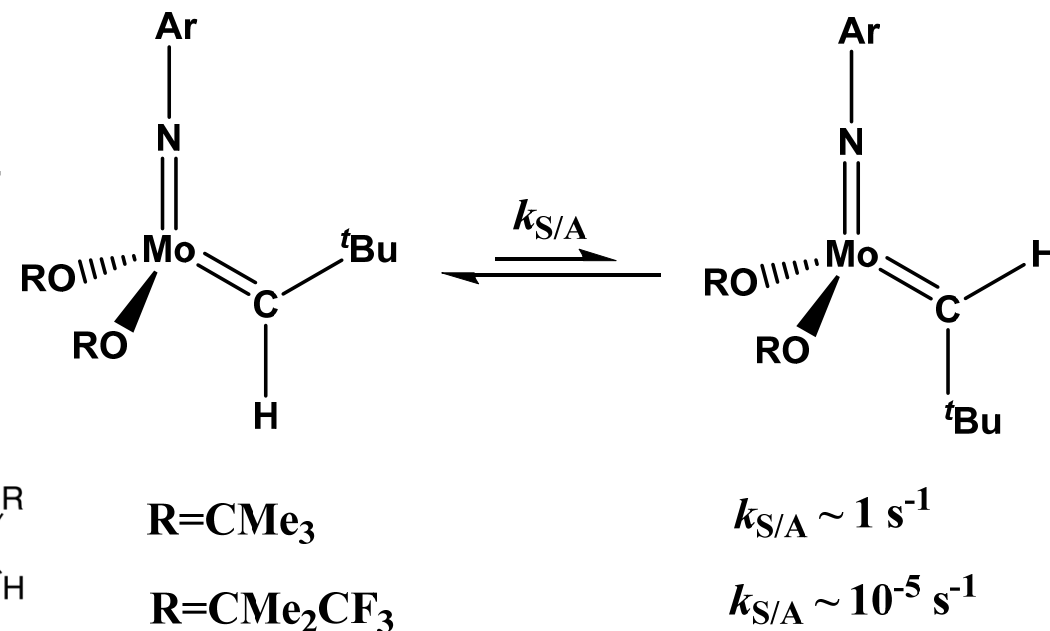
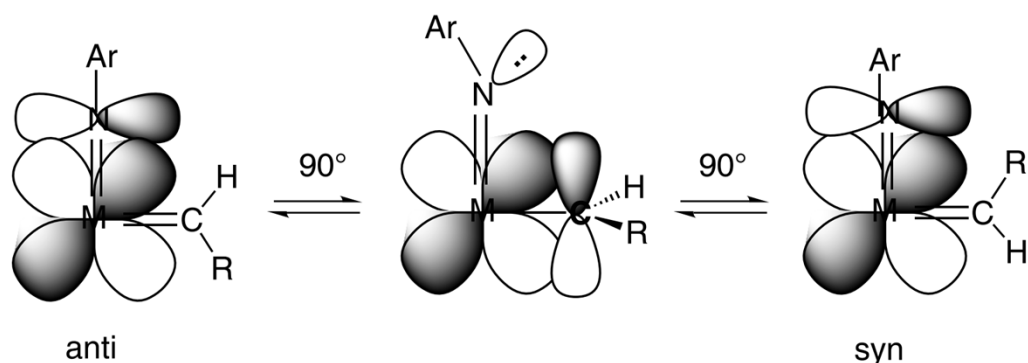
Schrock: Structure & Mechanism

- d^0 : **Hard** and **Hard** to Form Normal Alkene-Complex
- Direct Four-Membered Ring Formation
- Steric **Sensitive** and **Tolerance**

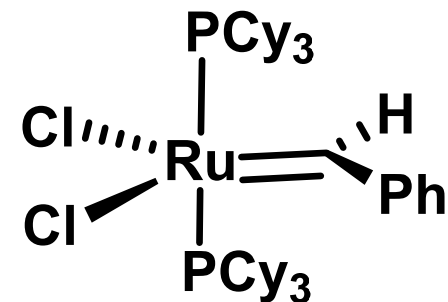
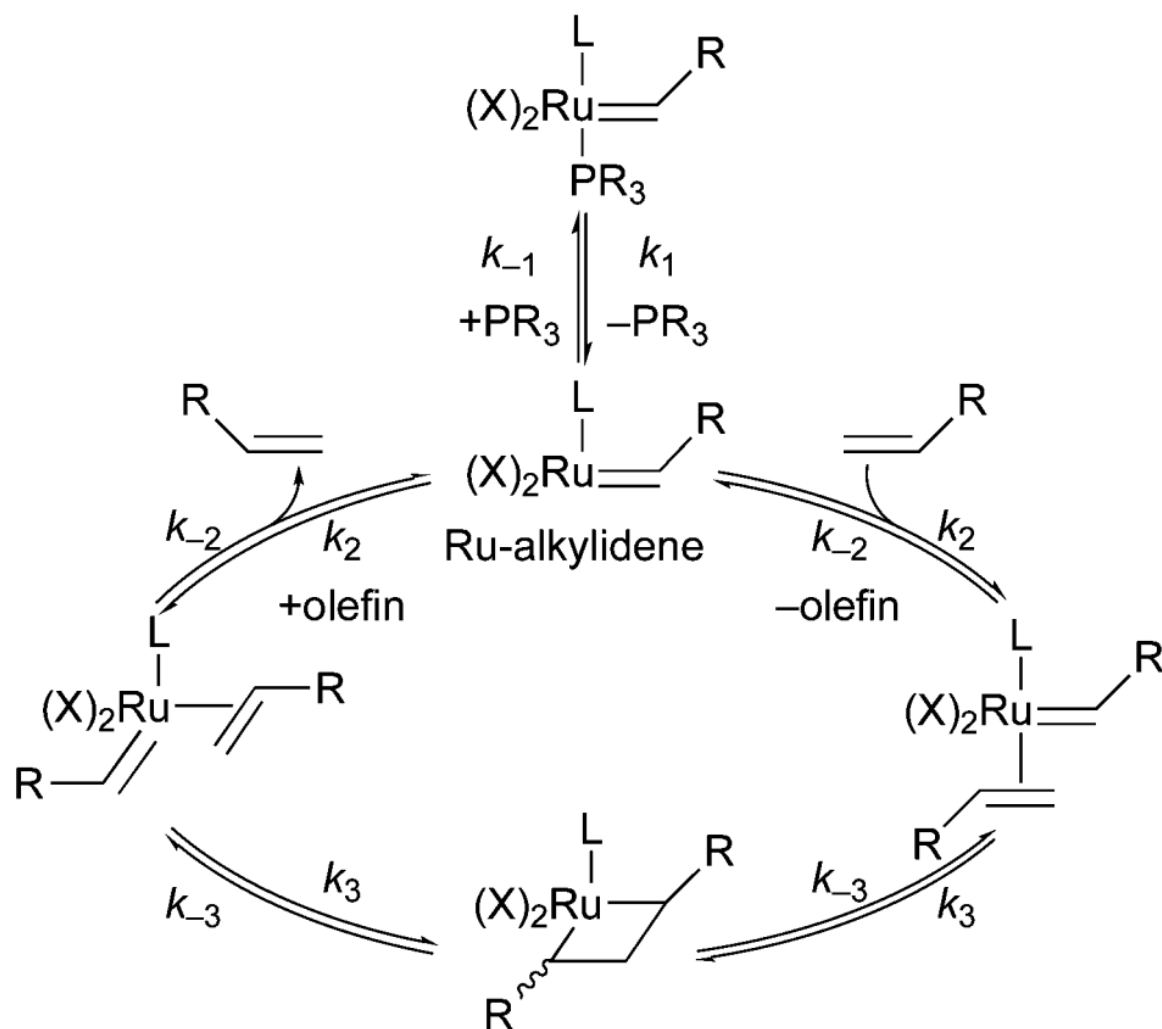


Schrock: Nucleophilic Carbene

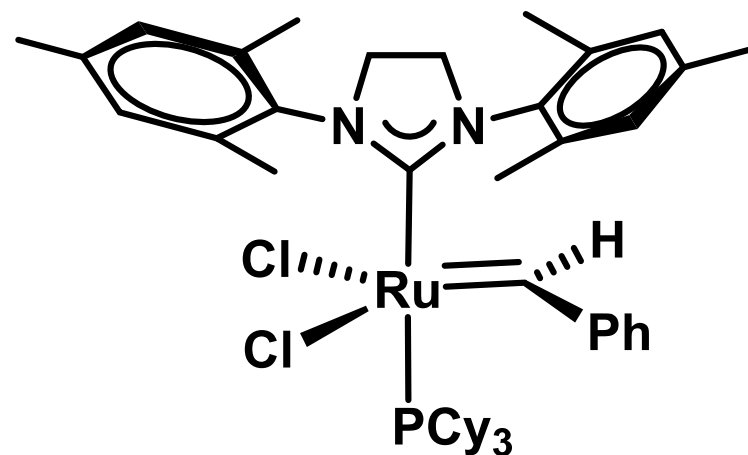
- **Nucleophilic** Mo=C Bond
- EWG Enhance Mo=C Bond
- **Polarized** Carbene: $\delta^+ \text{Mo} = \text{C}^{\delta-}$
- **Oxyphilic** Metal-Center



Grubbs: Tetragonal Pyramid Catalysts

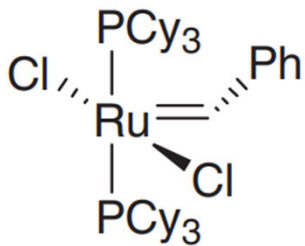
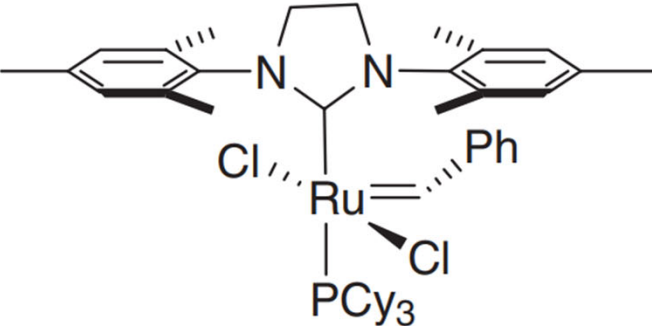


Grubbs I



Grubbs II

Efficiency Determination: k_1 & k_{-1}/k_2

	Initiation k_1 (per PCy ₃)	Propagation k_{-1}/k_2
 1	$9.6 \pm 0.2 \text{ s}^{-1}$	13,000
 2	$0.13 \pm 0.01 \text{ s}^{-1}$	1.25

*better
initiation*

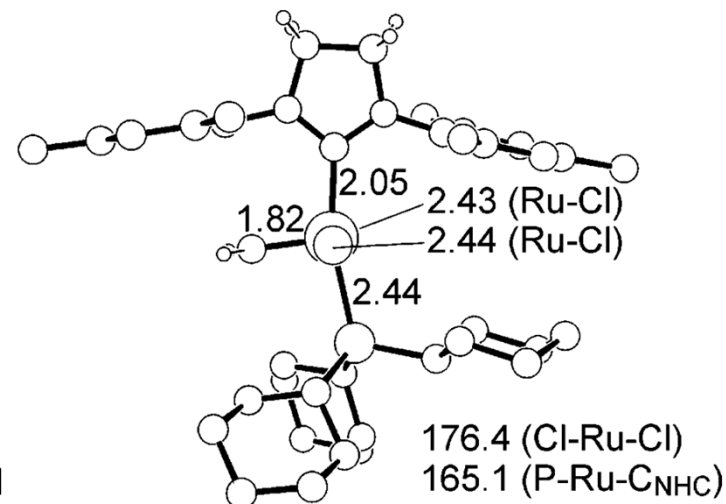
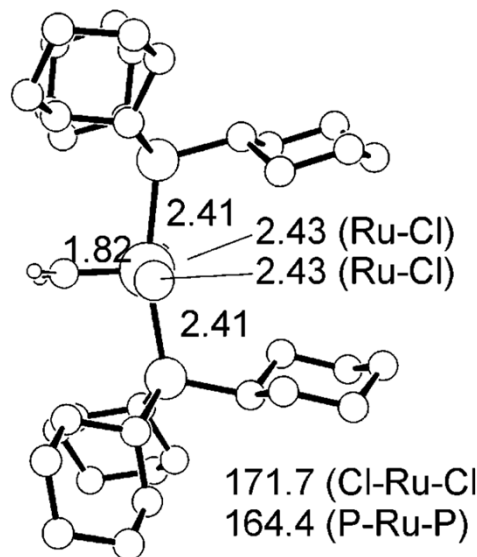
*better
propagation*

Roles that NHCs Play

k_1

NHC vs. Phosphine

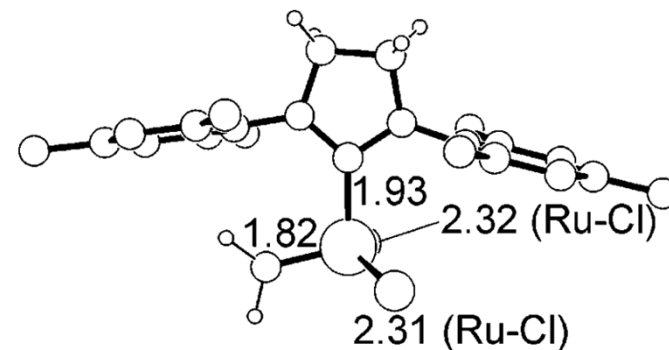
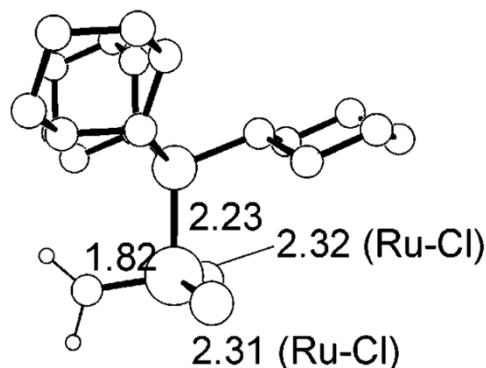
- **Strong Donor**
- **Weak Acceptor**
- *Trans-Effect?*



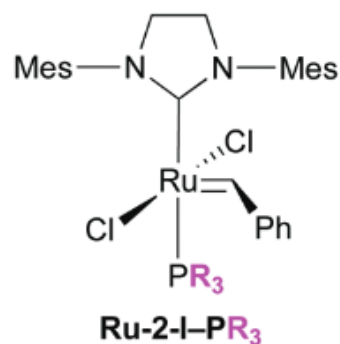
k_{-1}/k_2

Alkene vs. Phosphine

- **Weak Donor**
- **Strong Acceptor**
- Circulation Efficiency



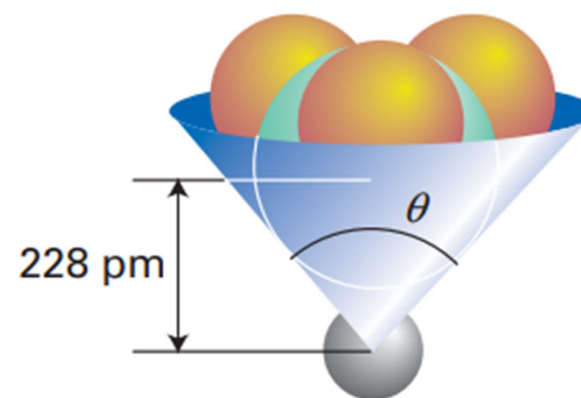
Influenced by Phosphine Ligands



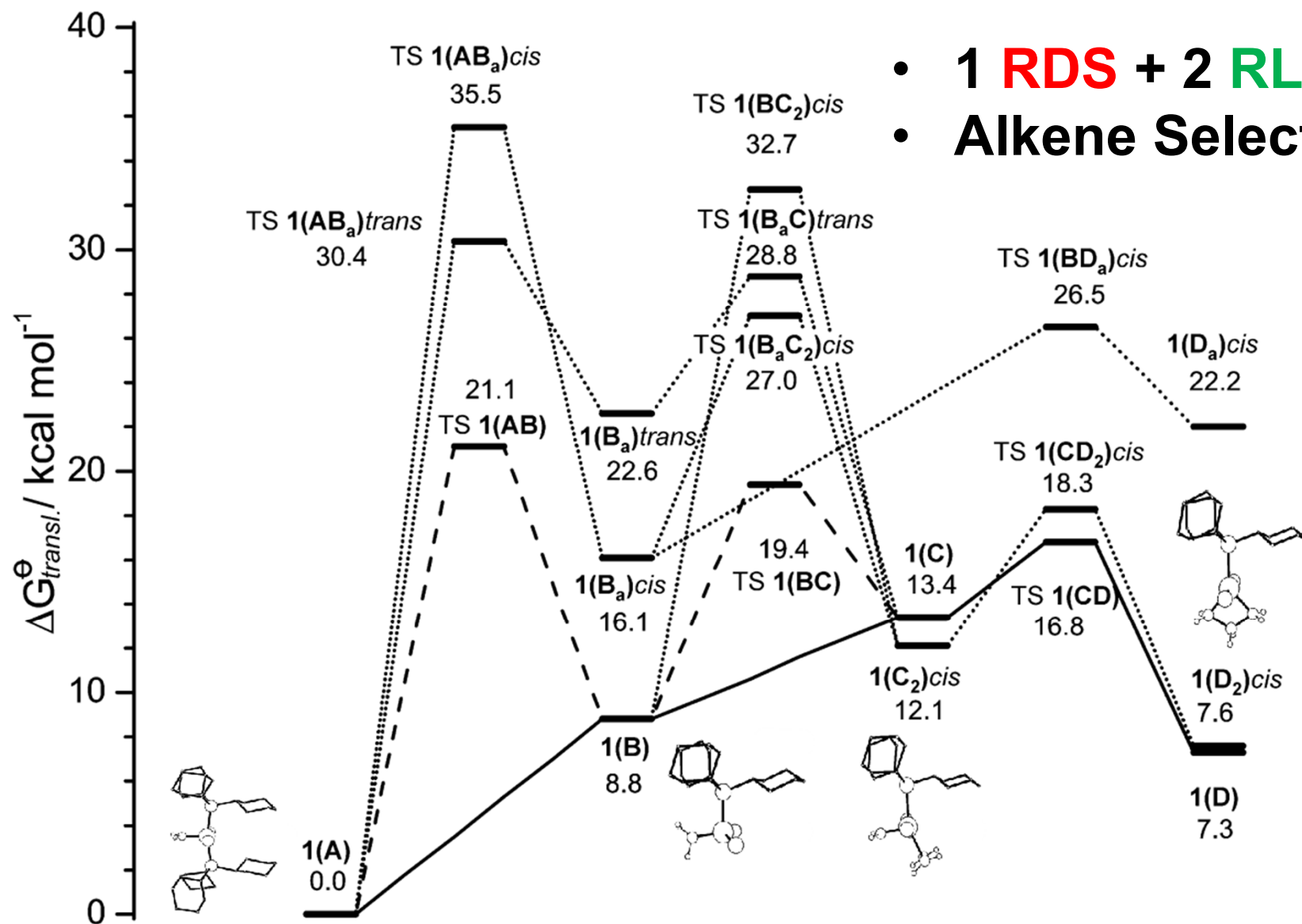
Phosphine: Increase k_1/k_{-1}

- **Electronic Deficient**
- **Large Cone Angle**

Entry	Catalyst-PR ₃	(s ⁻¹)	k_{rel}^a
I	Ru-2-I-PCy ₃	0.13 ± 0.01	1.0
II	Ru-2-I-P(<i>n</i> -Bu) ₃	8.1 × 10 ⁻⁴	0.006
III	Ru-2-I-P(Ph) ₂ (OMe)	1.7 ± 0.4	13
IV	Ru-2-I-PPh ₃	7.5 ± 0.6	58
V	Ru-2-I-P(<i>p</i> -CF ₃ C ₆ H ₄) ₃	48 ± 2	369
VI	Ru-2-I-P(<i>p</i> -ClC ₆ H ₄) ₃	17.9 ± 0.4	138
VII	Ru-2-I-P(<i>p</i> -FC ₆ H ₄) ₃	8.5 ± 0.2	65
VIII	Ru-2-I-P(<i>p</i> -C ₆ H ₅) ₃	7.5 ± 0.6	58
IX	Ru-2-I-P(<i>p</i> -CH ₃ C ₆ H ₄) ₃	4.1 ± 0.2	32
X	Ru-2-I-P(<i>p</i> -CH ₃ OC ₆ H ₄) ₃	1.8 ± 0.1	14

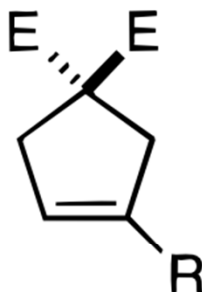


Mechanism Detail: Intermediate & RDS



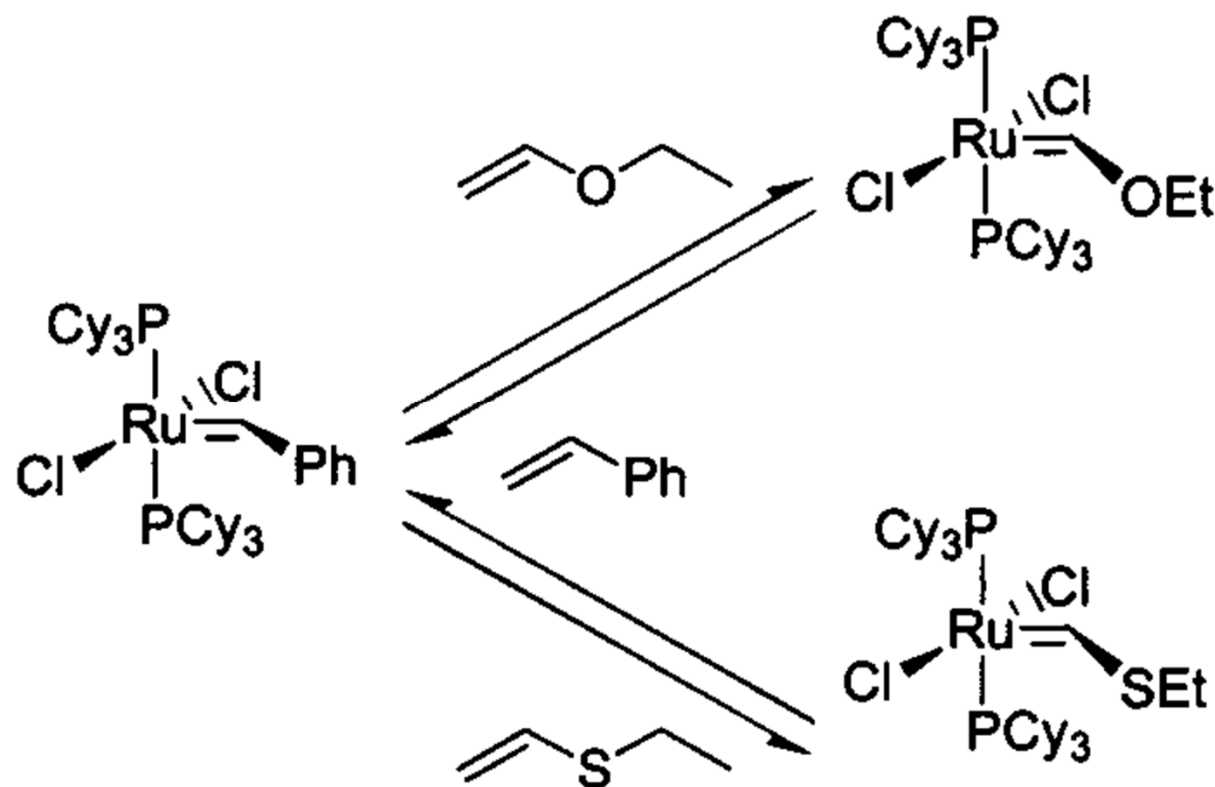
- 1 **RDS** + 2 **RLS**
- **Alkene Selectivity?**

Electron-Rich & Less Steric Hindrance

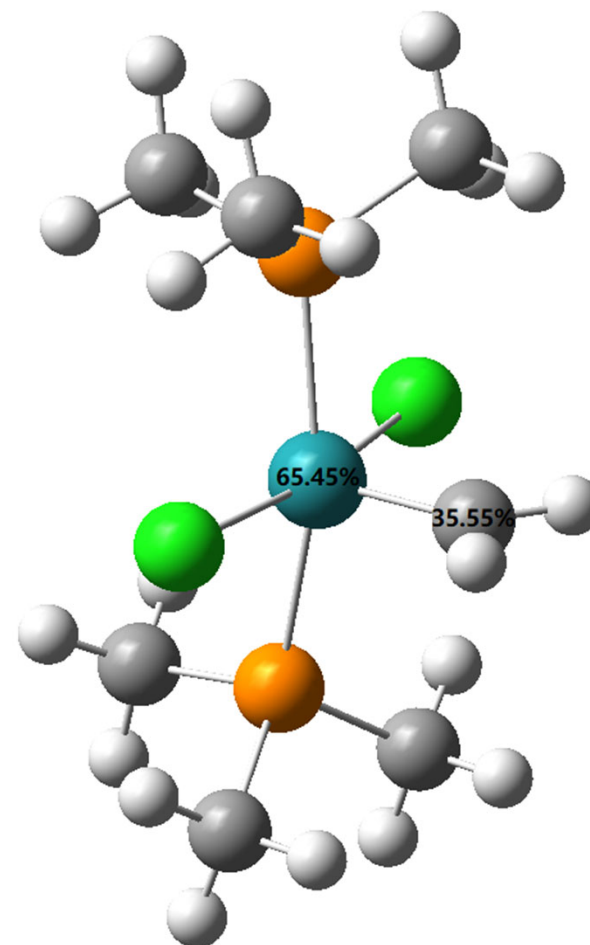
Substrate		Product	Yield With 1	Yield With 2	
					
R = Et	(11a)	R = Et	(11b)	93%	(100%)
R = <i>i</i> Pr	(12a)	R = <i>i</i> Pr	(12b)	98%	(100%)
R = <i>t</i> Bu	(13a)	R = <i>t</i> Bu	(13b)	NR	96%
R = Ph	(16a)	R = Ph	(16a)	(25%)	97%
R = CO ₂ Me	(17a)	R = CO ₂ Me	(17b)	(5%)	89%

Regioselectivity & Fischer Tendency

- Regioselectivity for Alkenes
- Steric: **Ru-Part** Favored
- **EDG** vs. **EWG**: **Inertness** vs. **Activity**
- **Polarized Carbene**: $\delta^- \text{Ru}=\text{C}^{\delta+}$



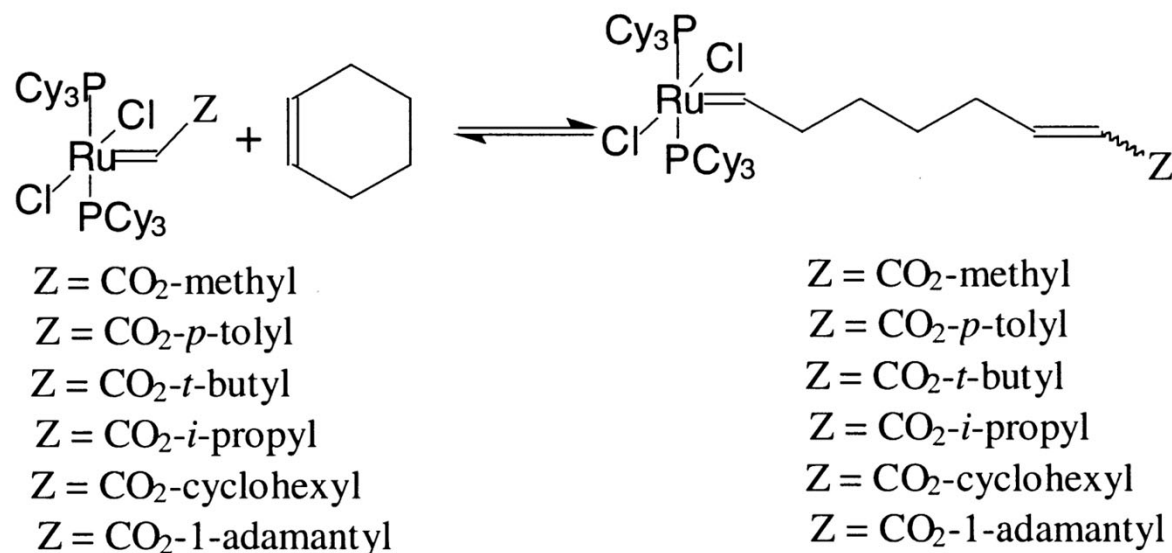
NBO Distribution of Ru=C π Bond



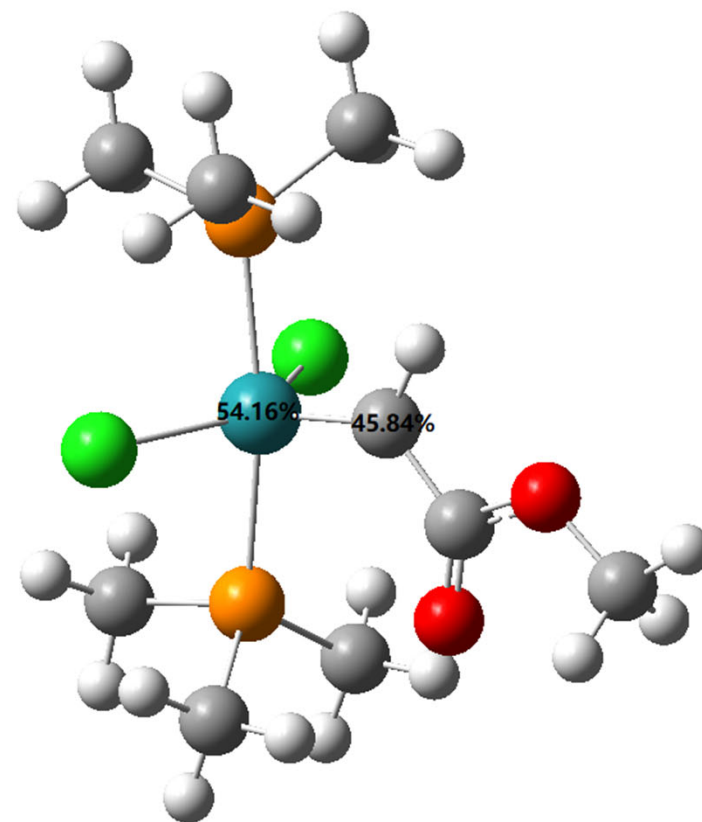
PBE0(D3BJ)/def2tzvpp

Regioselectivity & Fischer Tendency

- Regioselectivity for Alkenes
- Steric: **Ru-Part** Favored
- **EDG** vs. **EWG**: **Inertness** vs. **Activity**
- **Polarized Carbene**: $\delta^- \text{Ru}=\text{C}^{\delta+}$



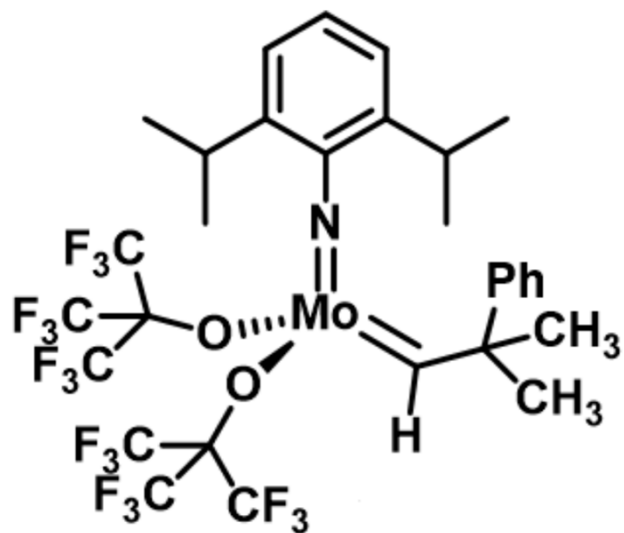
NBO Distribution of Ru=C π Bond



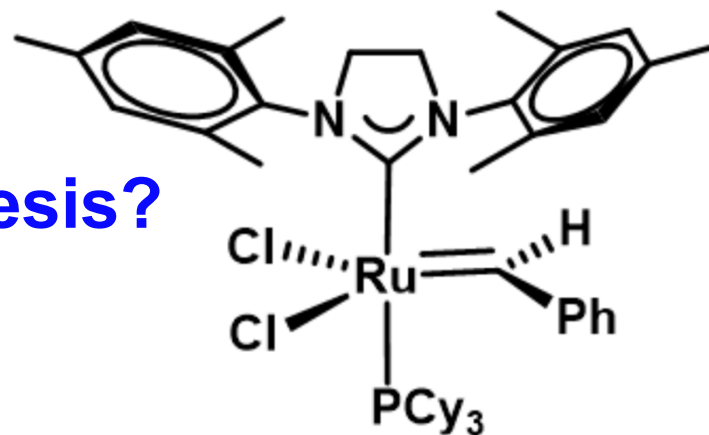
PBE0(D3BJ)/def2tzvpp

Comparing Schrock & Grubbs Cat.

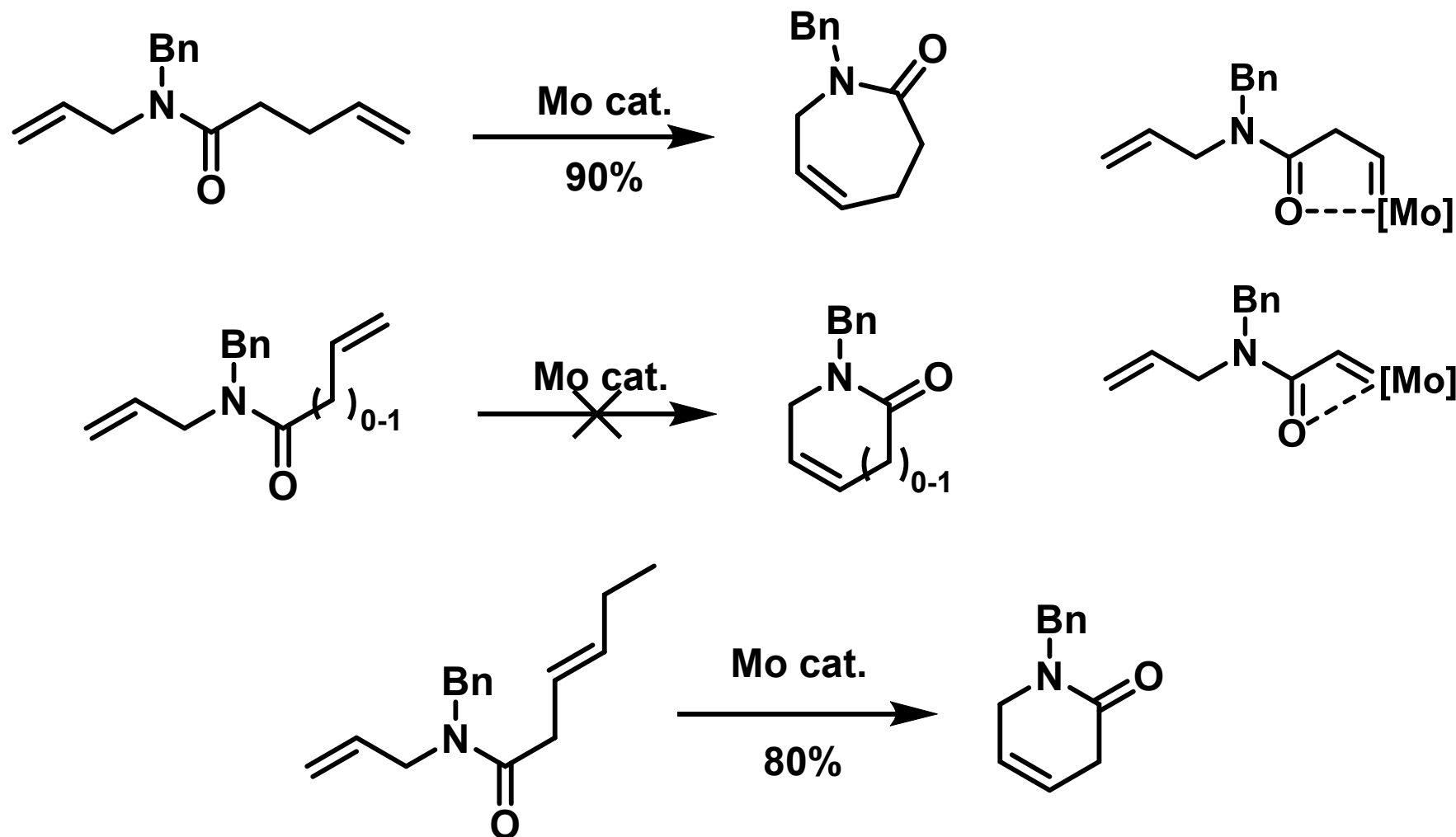
- More Reactive
- **Nucleophilic** Mo=C Bond
- **Polarized** Carbene: $\delta^+\text{Mo}=\text{C}^{\delta-}$
- Ring Formation Determining
- **Hard** Metal-Center
- More Stable
- **Electrophilic** Ru=C Bond?
- **Polarized** Carbene: $\delta^-\text{Ru}=\text{C}^{\delta+}$
- Coordination & Ring Formation
- **Soft** Metal-Center



Effect on Metathesis?

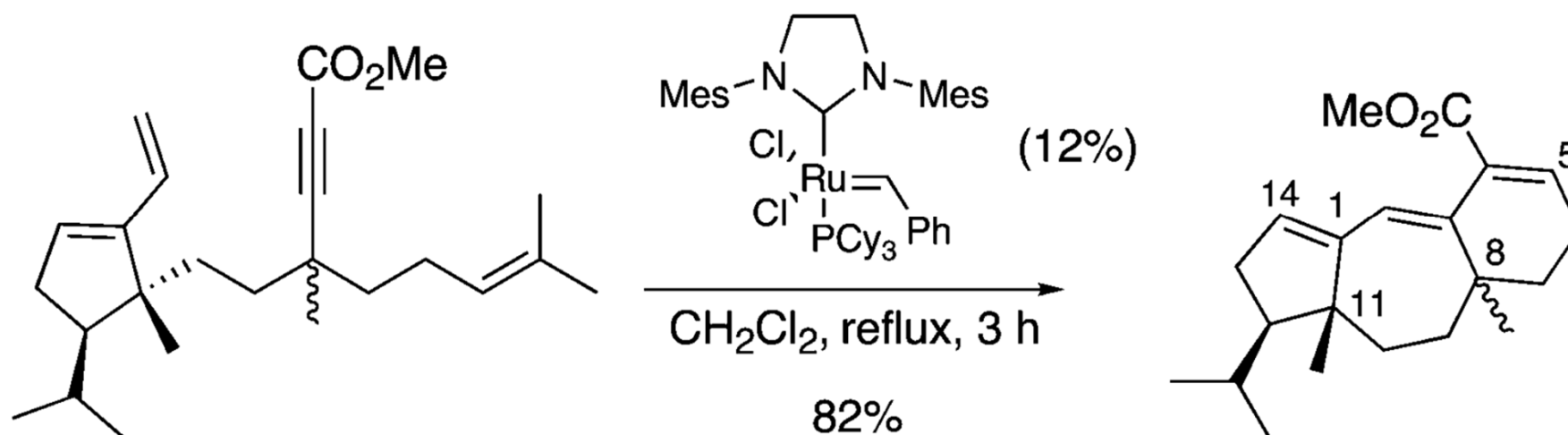


Schrock RCM: Killed by Coordination

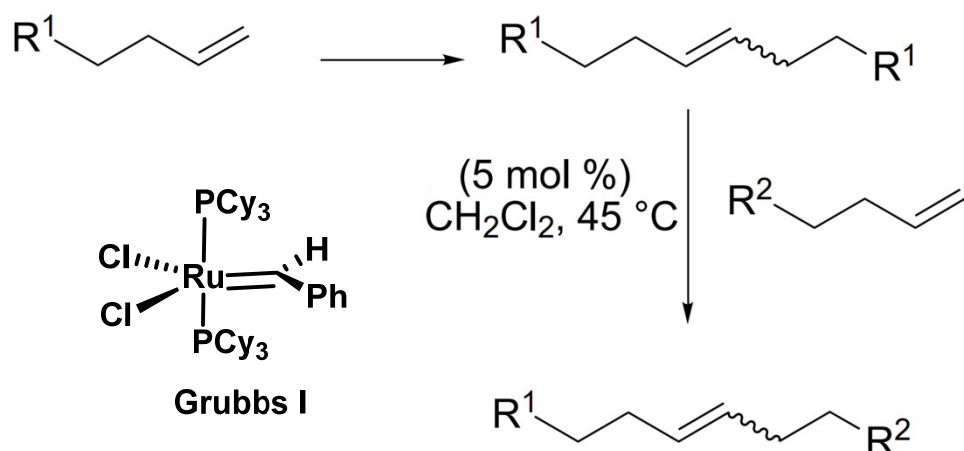


Grubbs RCM: Well Developed

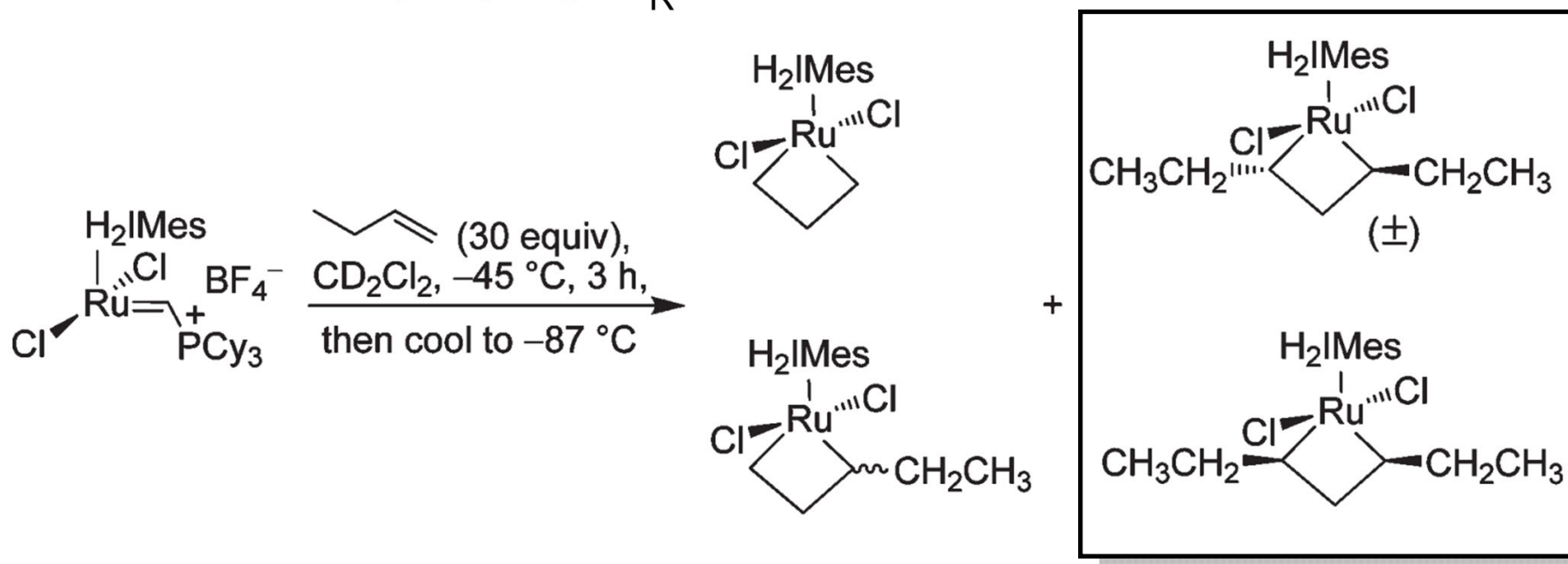
- Functional Groups Tolerance
- **Reactivity** & **Regioselectivity** **NOT** Very Important
- **Stereoselectivity** Controlled by Substrates
- Various RCM Strategies in Total Synthesis



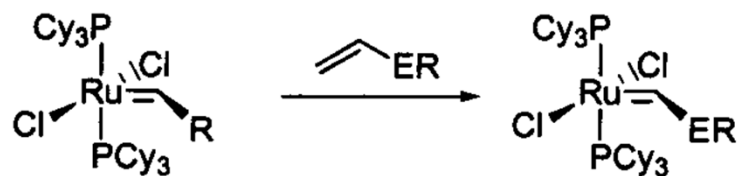
Grubbs CM: Early Stage



- **Cross vs. Self**
- α, α' : **Non-Productive**
- **“Excess”** Strategy
- Alkene-Steric-Determination
- **Distinguishable** Alkenes?



Grubbs I CM: Restrictions & New Cat.



R = Ph (**1a**)

R = CHC(CH₃)₂ (**1b**)

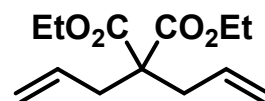
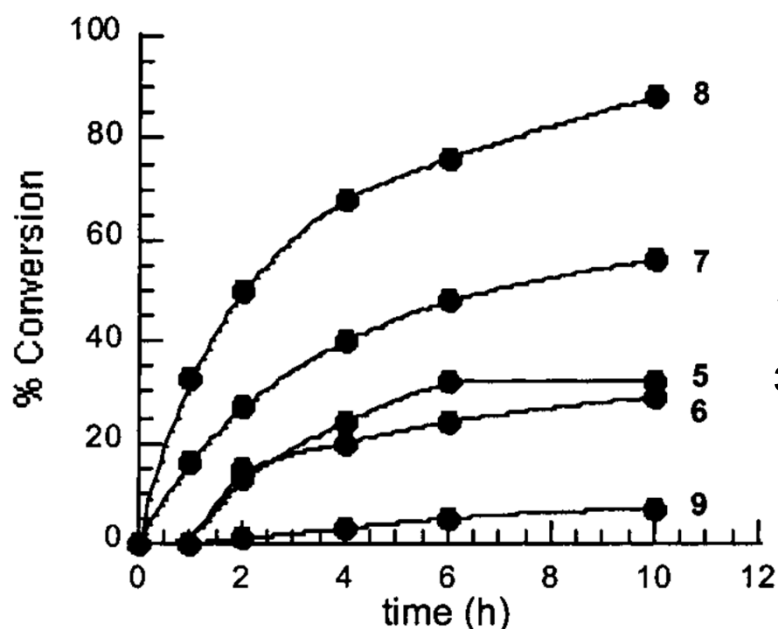
5 ER = OCH₂CH₃, 66%

6 ER = SCH₂CH₃, 71%

7 ER = SPh, 87%

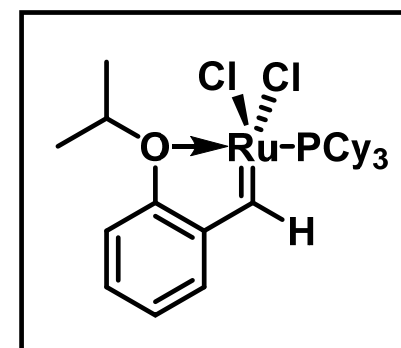
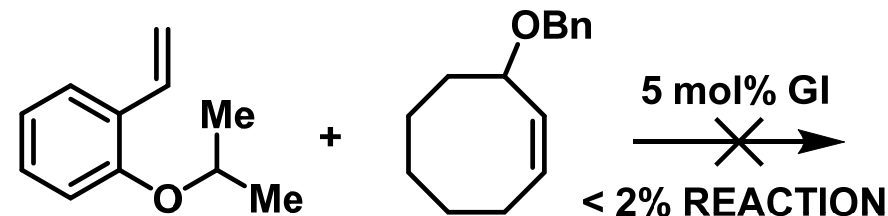
8 ER = N(carbazole), 77%

9 ER = N(pyrrolidinone), 86%



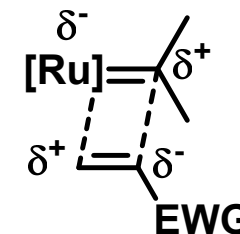
3 mol% cat., 60 °C

- Electron-Rich **First** but **Bad**
- EWA Restricted by k_1/k_{-1} & k_2
- EWA: Grubbs II Work
- Catalysts More Suitable?
- By **Accident**



Grubbs CM: E-W Alkenes

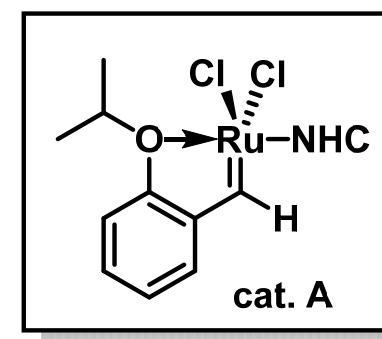
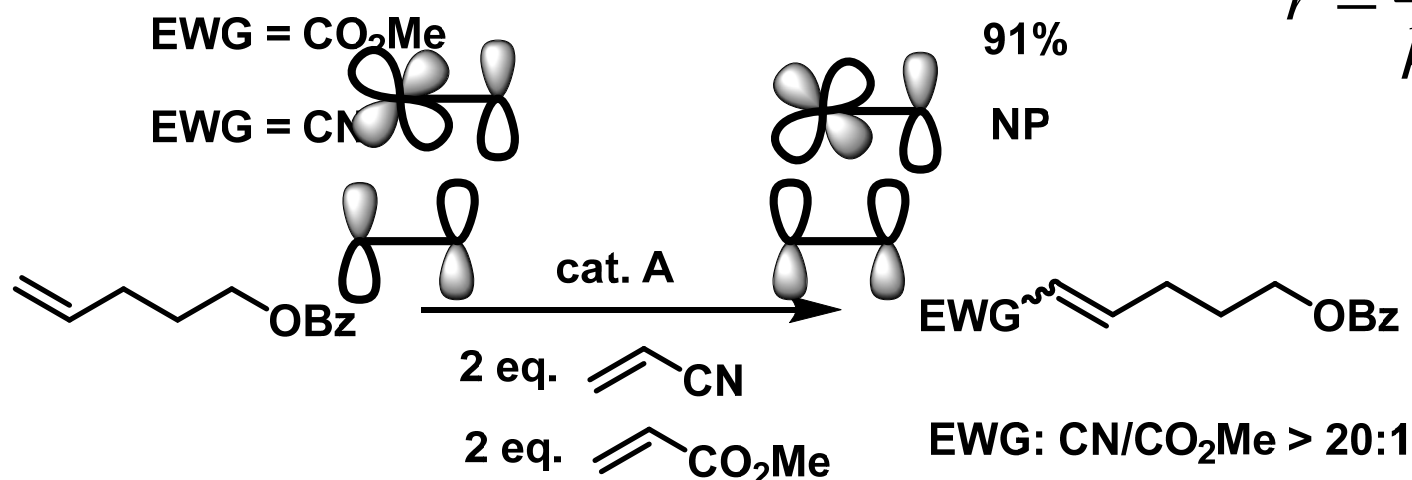
- Start from **Non-Electron-Withdrawing Alkenes**
- Correct Regioselectivity**
- Ring Formation Prefer **EWG**
- Late** but **Work!**



Cat. A vs. Grubbs II in RCM?



$$r = \frac{k_1 k_2 [\text{RuP}][\text{Alkene}]}{k_{-1} [\text{P}] + k_2 [\text{Alkene}]}$$



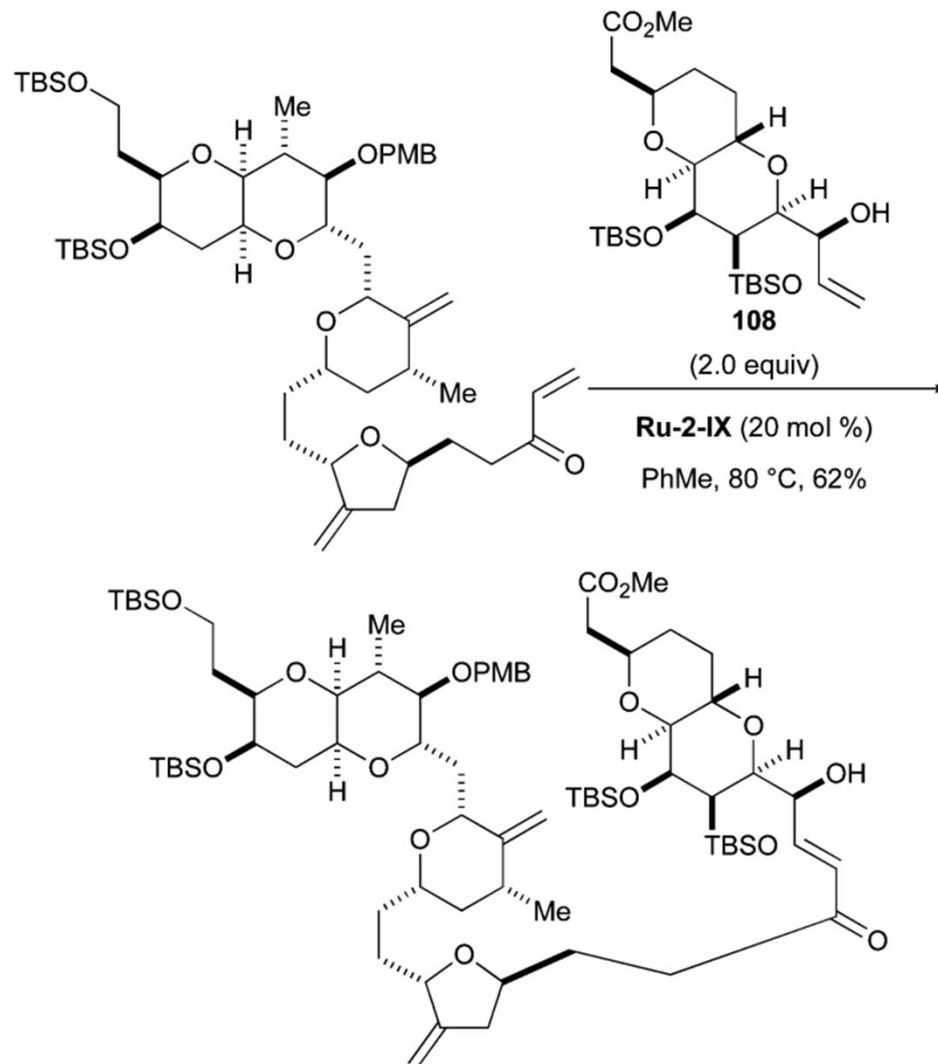
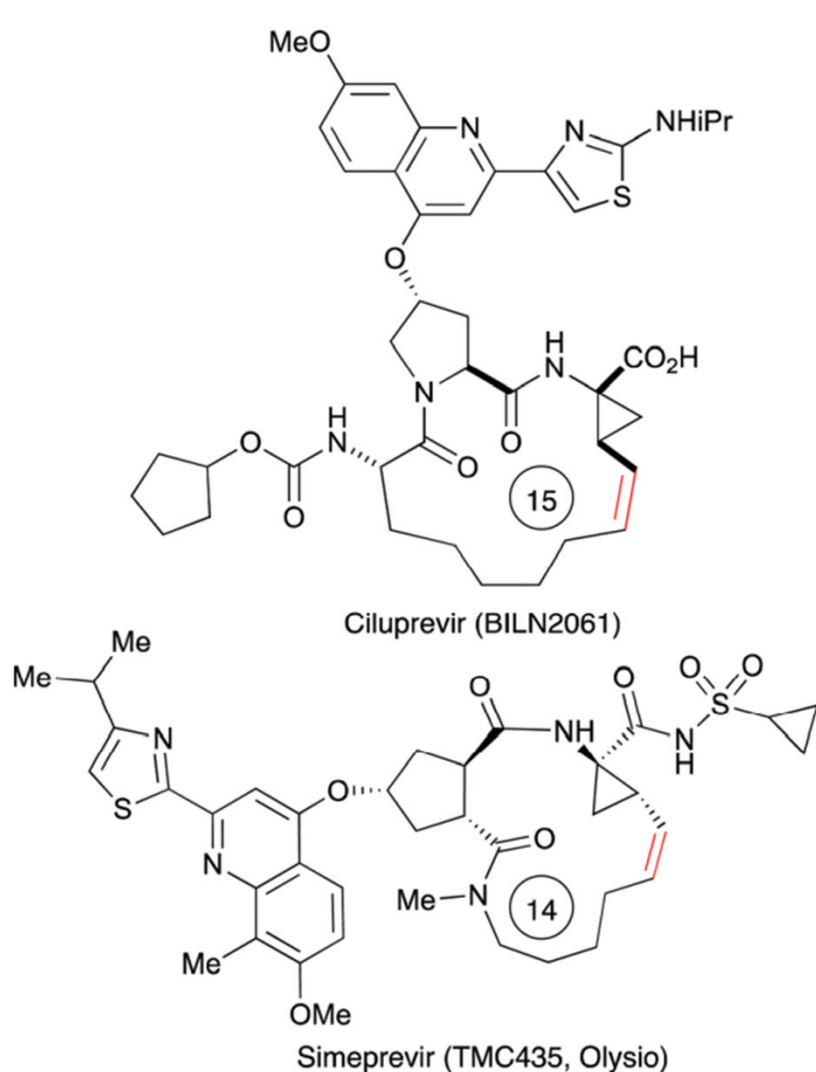
Electron-Withdrawing Alkenes

- Catalyzed by **Grubbs II** or **Cat. A**

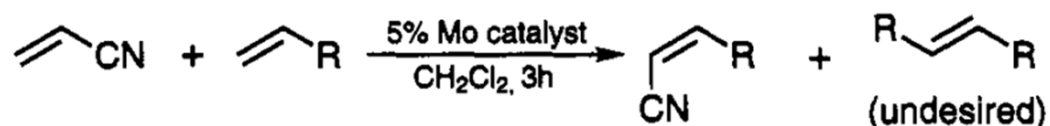
entry	terminal olefin	α -functionalized olefin (equiv.)	product	isolated yield(%)	<i>E/Z</i> ^b
1				62	>20:1
2				91	4.5:1
				92	>20:1
4				62	1.1:1
5				99	>20:1
6				95	>20:1

Substrate	Product	Yield (<i>Z/E</i>)
		88 % (4:1)
		68 % (2:1) ^a
		81 % (3:1)
		91 % (4:1)
		76 % (3:1)
		79 % (3:1) ^b
		83 % (3:1) ^b
		83 % (9:1)
		60 % (4:1) ^c

Grubbs CM in Total Synthesis

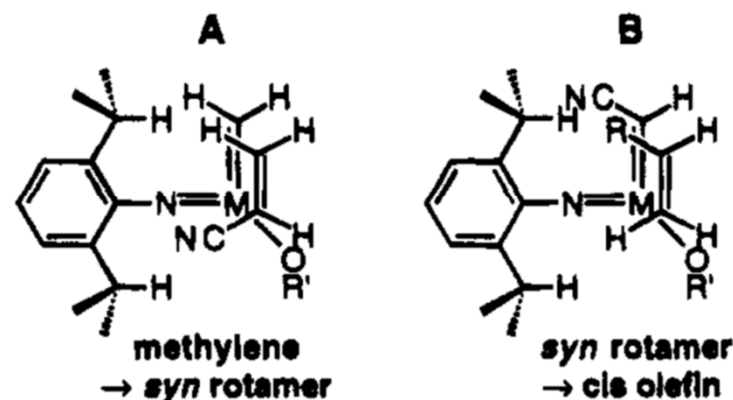


Schrock CM: Priority Inversion

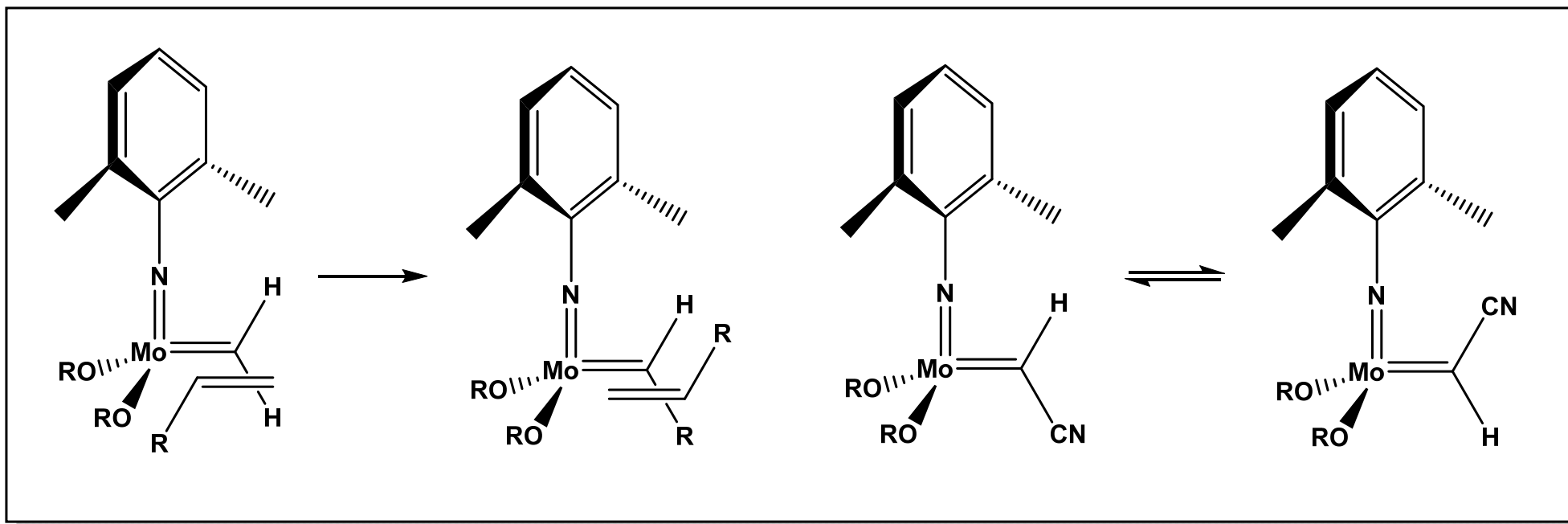


entry	R	NCCH=CHR (%)	cis: trans ^b	RCH=CHR (%)
1	(CH ₂) ₅ CH ₃	56 (75)	9:1	4 ^b
2	(CH ₂) ₇ CH ₃	72 (92)	8.5:1	4 ^b
3	(CH ₂) ₃ Br	45	7.3:1	11 ^b
4	(CH ₂) ₂ Br	17.5	9:1	20 ^b
5	(CH ₂) ₄ OBn	77	9:1	0 ^c
6	(CH ₂) ₃ OBn	60	7.6:1	<1 ^c
7	(CH ₂) ₂ OBn	40	4:1	0 ^c
8	(CH ₂) ₄ OTBS	90	6.7:1	7 ^c
9	(CH ₂) ₃ OTBS	68	5.6:1	0 ^c
10	(CH ₂) ₂ OTBS	73	5.3:1	10 ^c
11	CH ₂ TMS	76	3:1	0 ^b
12	<i>o</i> -MeOC ₅ H ₄ CH ₂	72	9:1	9 ^b
13	(CH ₂) ₆ CH=CH ₂	53 ^d	8:1	19 ^b
14	(CH ₂) ₂ C(O)OBn	44	5.6:1	6 ^b
15	(CH ₂) ₃ CH(OMe) ₂	79	7:1	11 ^b
16	CH ₂ CMe ₂ CH ₂ CH(OMe) ₂	64 ^e	3:1	0 ^b

- EWG Faster
- Active EWG Carbene
- **Rate** vs. **Regio**
- E-D Alkenes Work!
- **Stereo-Improve?**



Schrock CM: Selectivity Explain

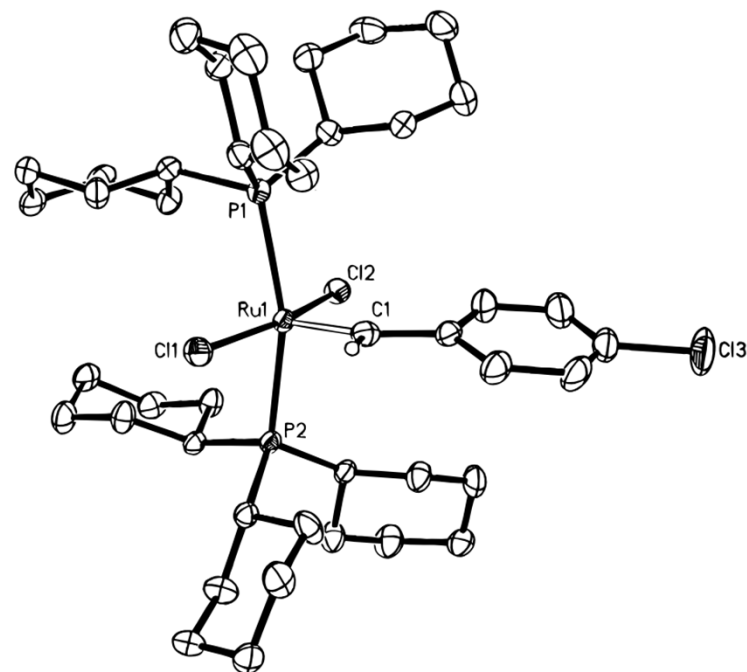
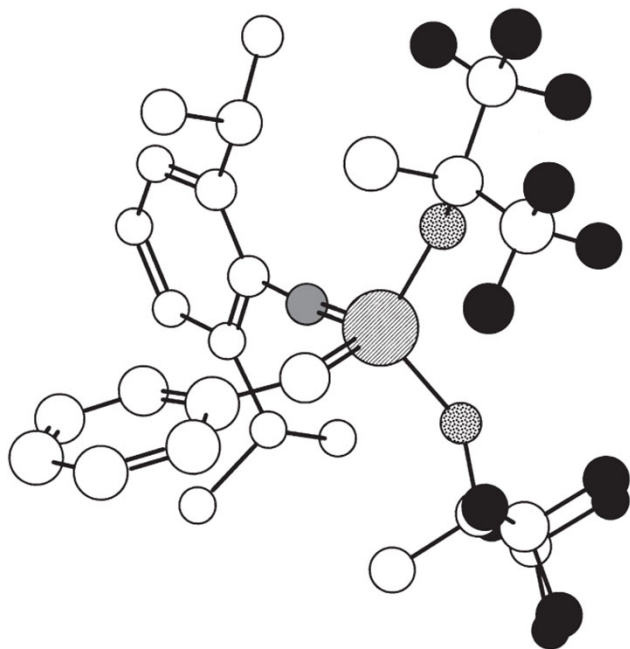


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 - Solutions of Grubbs Catalysts
 - Solutions of Schrock Catalysts
- **Summary**
- **Acknowledgement**

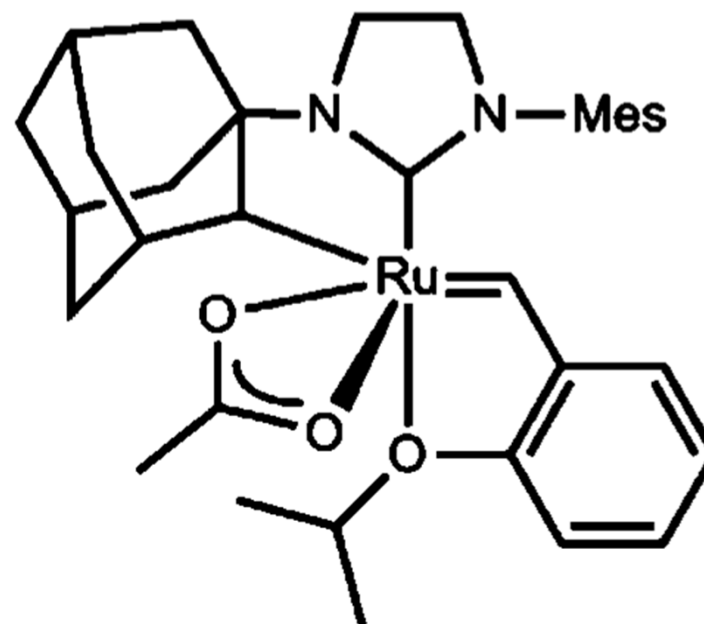
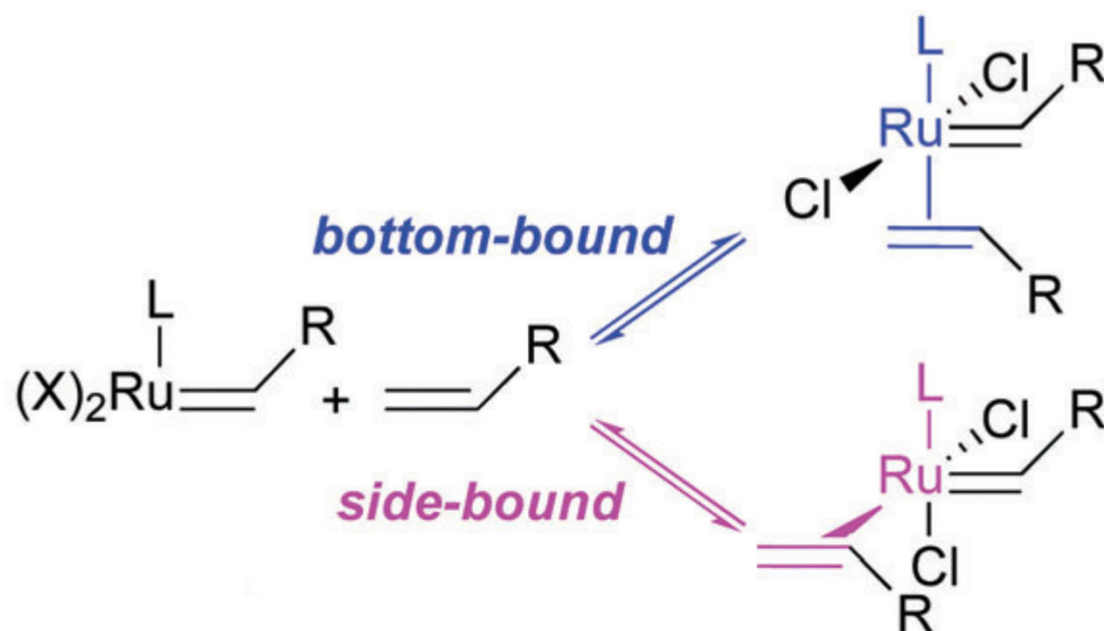
Restrictions of Classical Catalysts

- Stereoselectivity Controlled by Alkenes Rather than Catalysts.
- Multi-Substituted & Functionalized Alkenes?
- **Types of Catalysts** & **Types of Problems**?
- Make the Best of the Both Worlds?

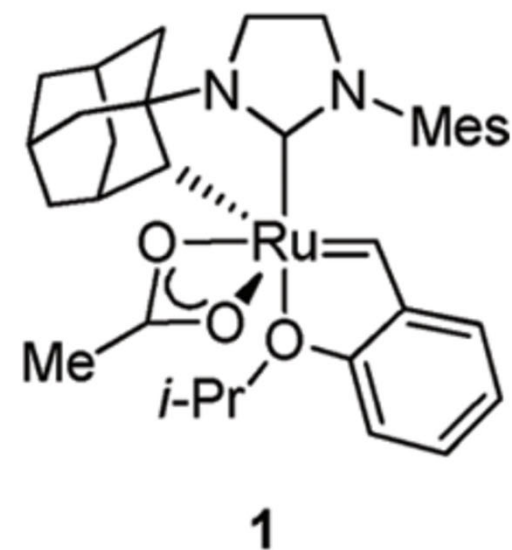
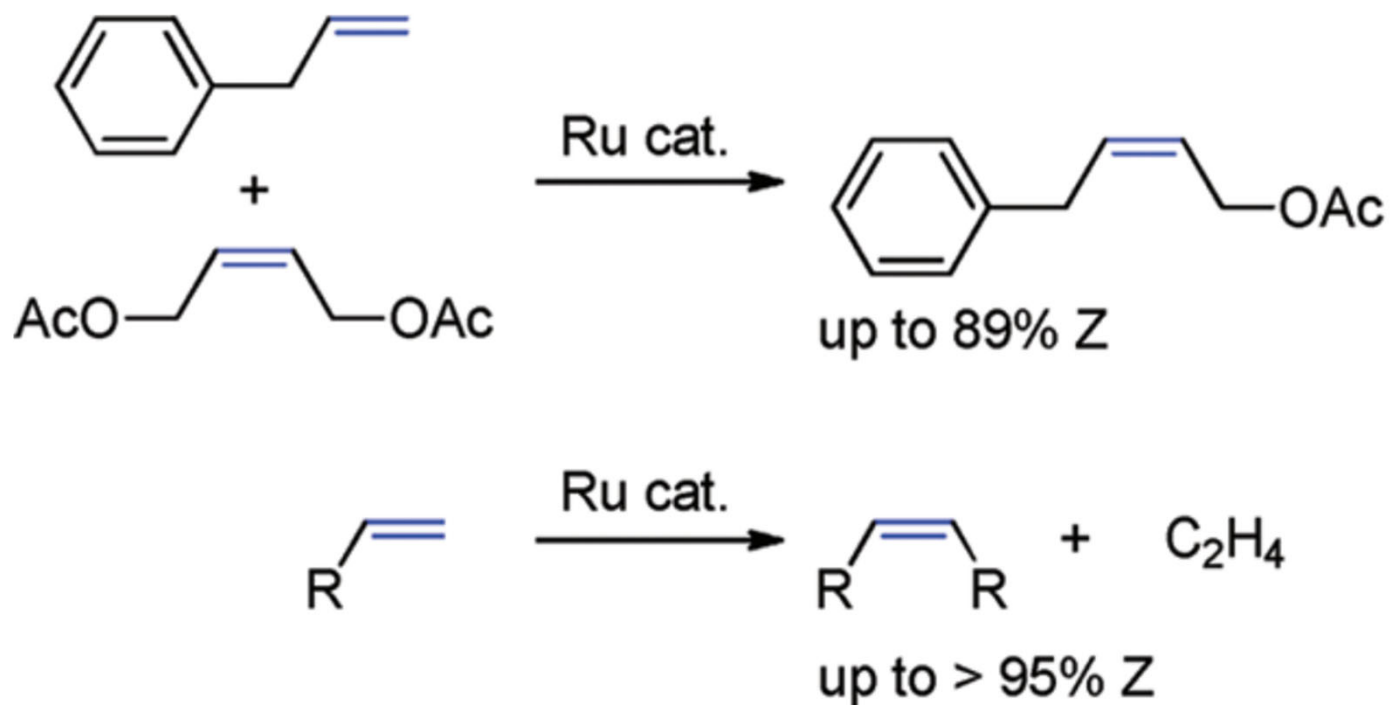


Grubbs: Change Attacking Direction

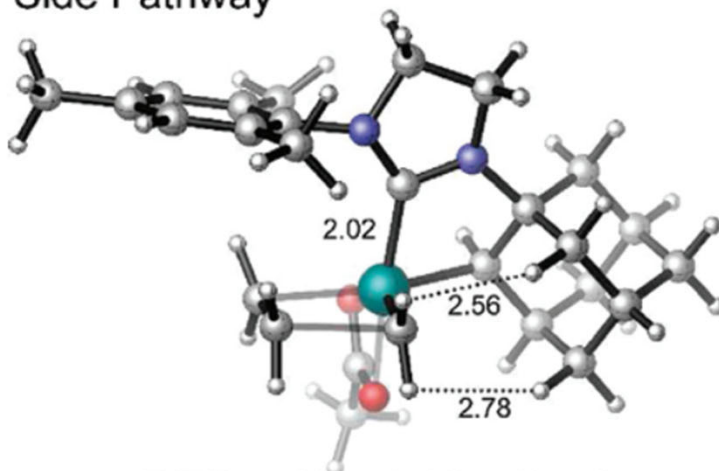
- Normally: **Bottom-Bound** Favored
- **Side-Bound** leads to **Asymmetric**
- **Destroy Advantages?**
- **Turn Waste into Wealth?**



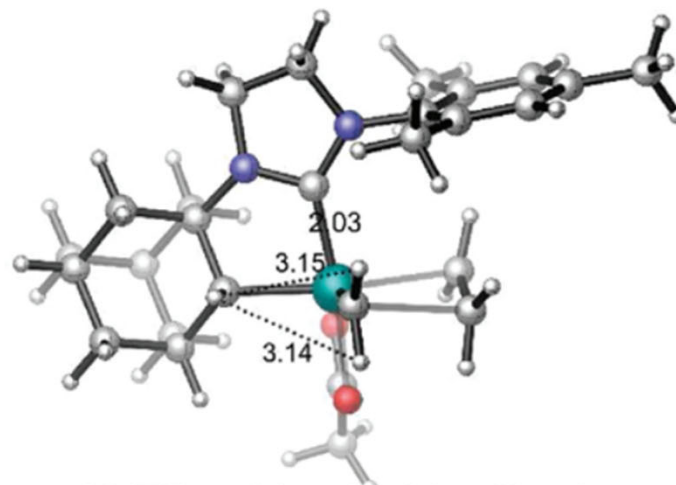
Grubbs III: Z-Selective Metathesis



Side Pathway

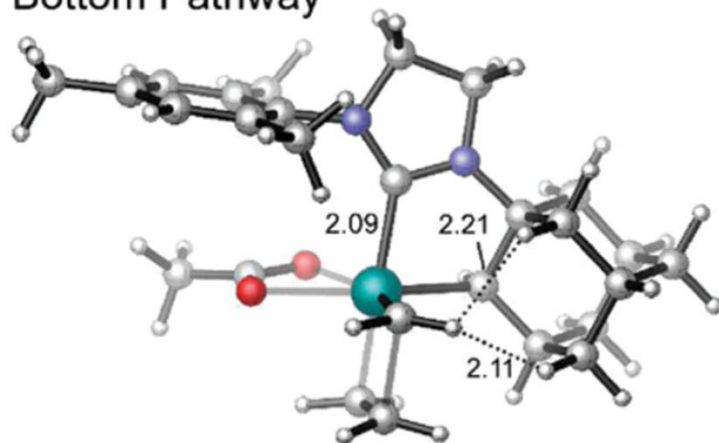


7-TS, $\Delta G^\ddagger = 4.1$ kcal/mol

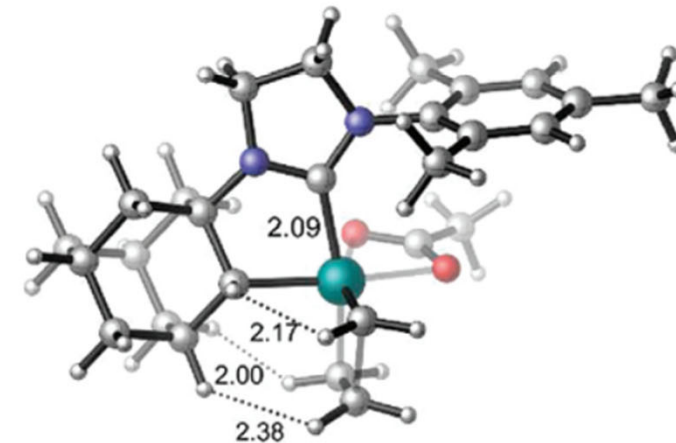


12-TS, $\Delta G^\ddagger = 11.4$ kcal/mol

Bottom Pathway



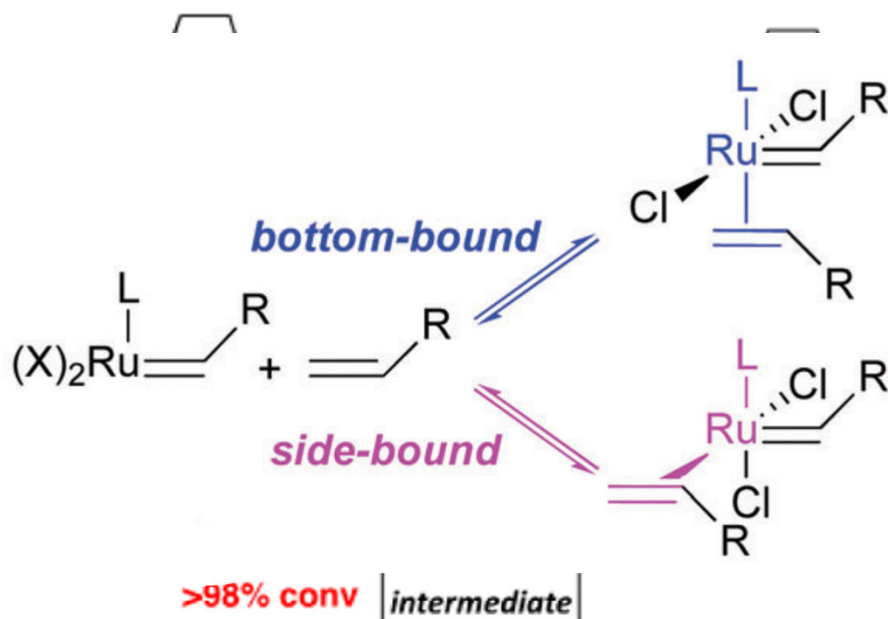
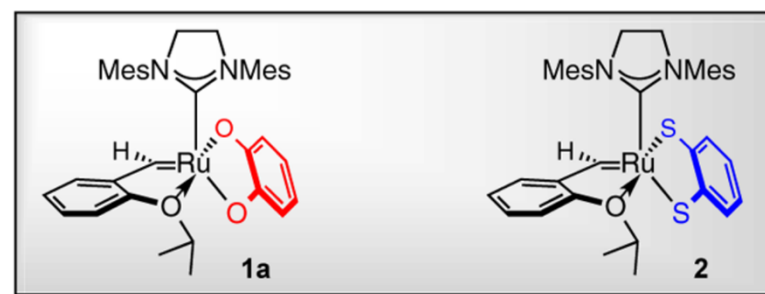
25-TS, $\Delta G^\ddagger = 14.5$ kcal/mol



28-TS, $\Delta G^\ddagger = 13.5$ kcal/mol

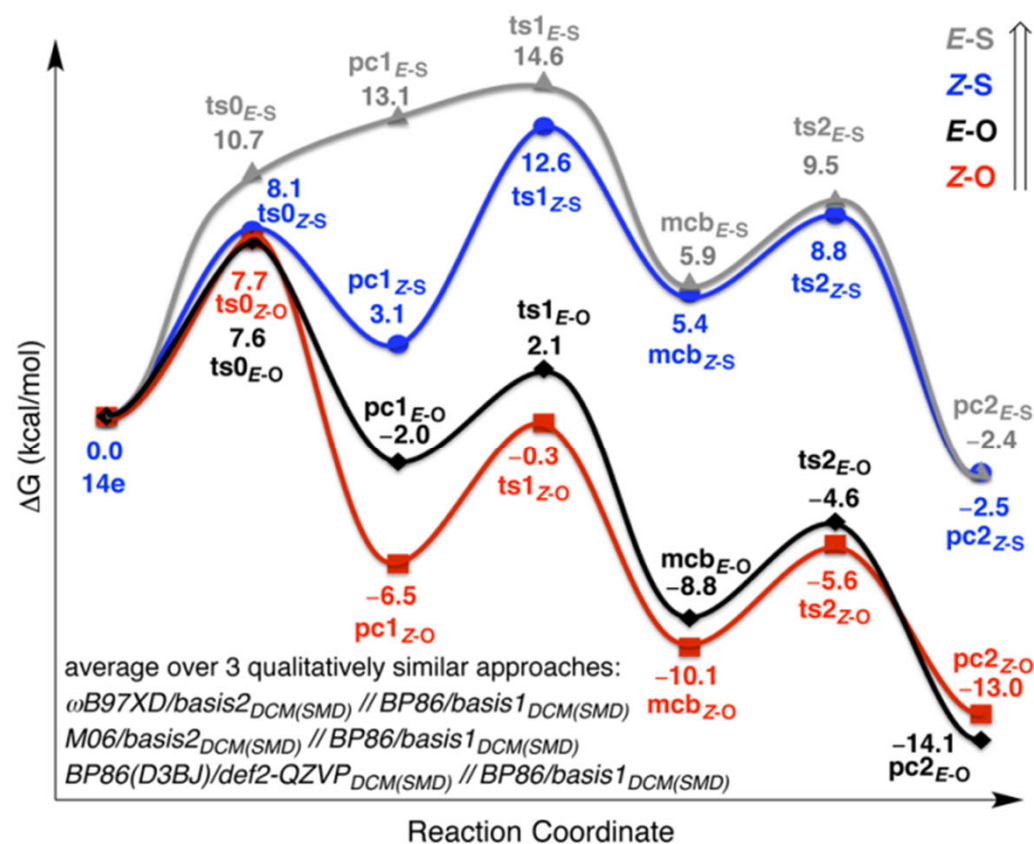
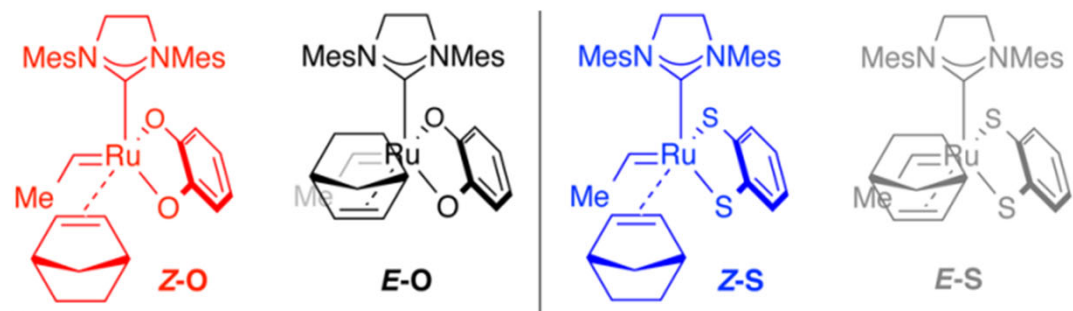
Hoveyda: Restricting Bond Angle

- **Side-Bound** Only
- **Oxygen** vs. **Sulfur**
- Stereoselectivity
- Tolerance

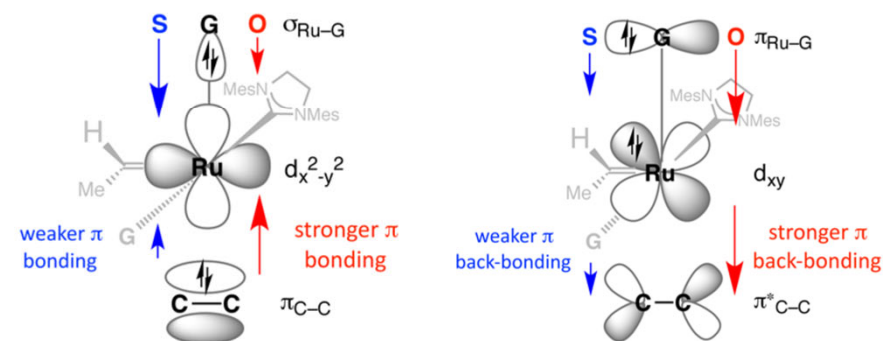


entry	product	R	with 1a conv (%); ^b Z:E; ^b	with 2 conv (%); ^b Z:E; ^b
1		a C ₆ H ₅	>98; 55:45 ^c	>98; 97:3
2		b CH ₂ OH	<2; na	>98; 88:12
3		c (CH ₂) ₂ OH	<2; na	>98; 87:13
4		a C ₆ H ₅	<2; na	>98; 97:3
5		b C ₆ H ₁₁	<2; na	88; >98:2

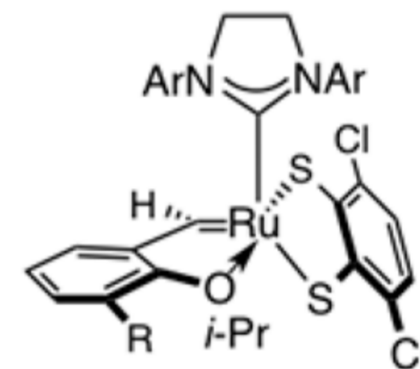
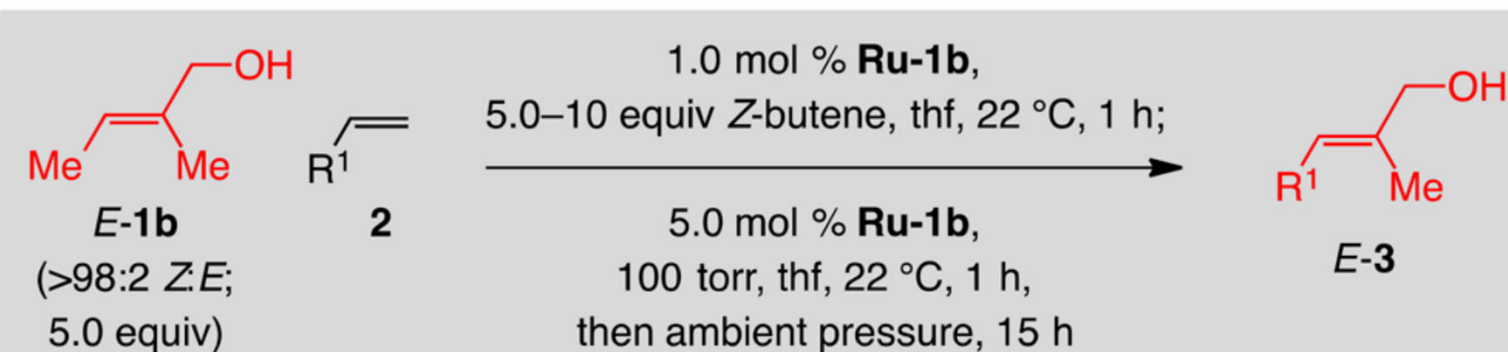
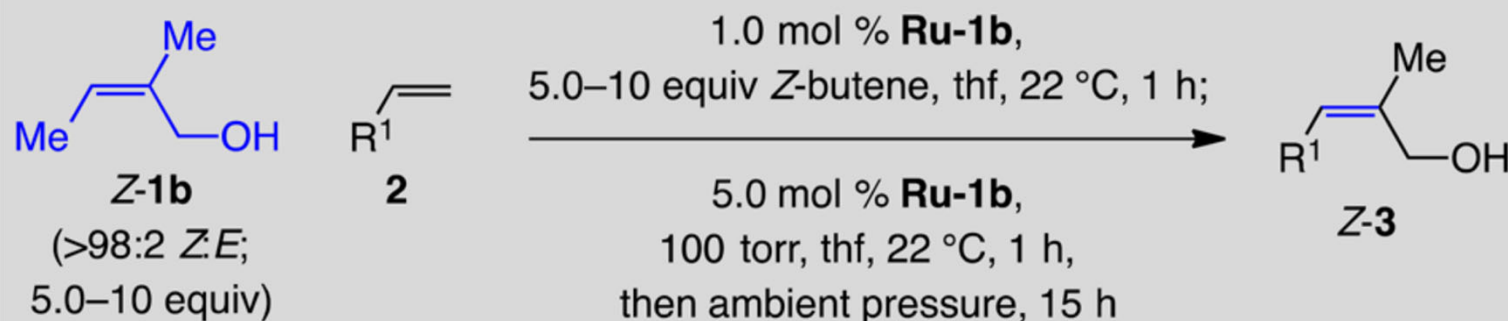
Theoretical Study: O vs. S



- **O**: Over Stable PC
- **S**: Ring-Forming RDS
- **Steric-Sensitive Step!**
- Similar to **Cat. A**
- E-W Alkenes First?
- **Steric but E-W Alkene?**



Z-/E-Trisubstituted Allylic Alcohols

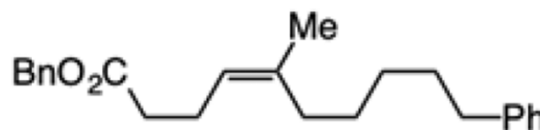


Ar = 2-F,6-Me-C₆H₃; R = H



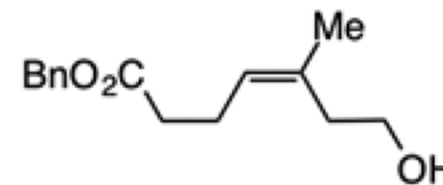
16

70% conv, <5% yield



15

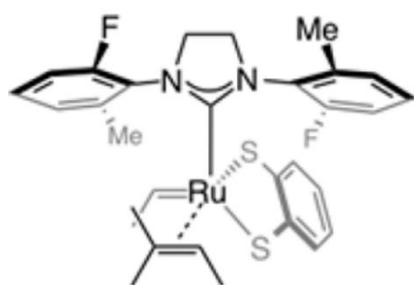
79% conv, <5% yield



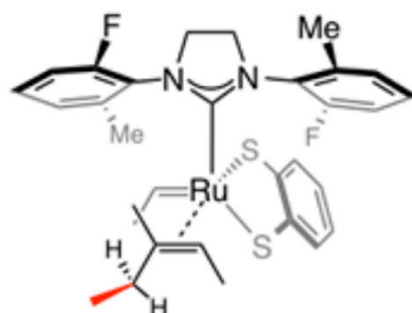
14

83% conv, <5% yield

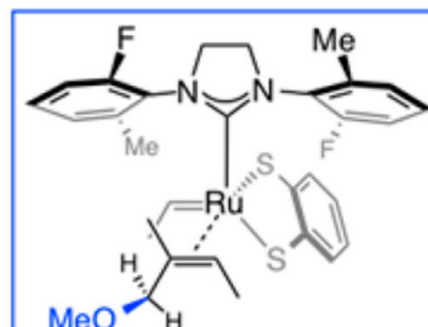
Steric Hindrance but E-W Alkenes



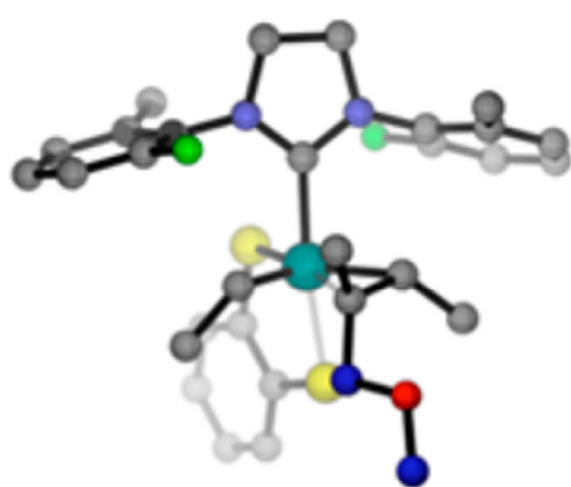
overall
barrier **A**
16.3 kcal/mol



B
17.9 kcal/mol



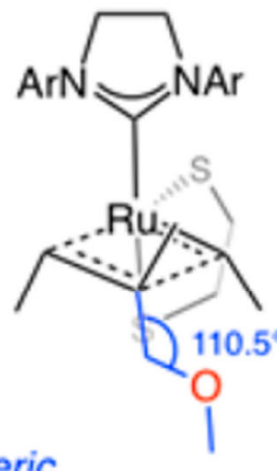
C
15.8 kcal/mol



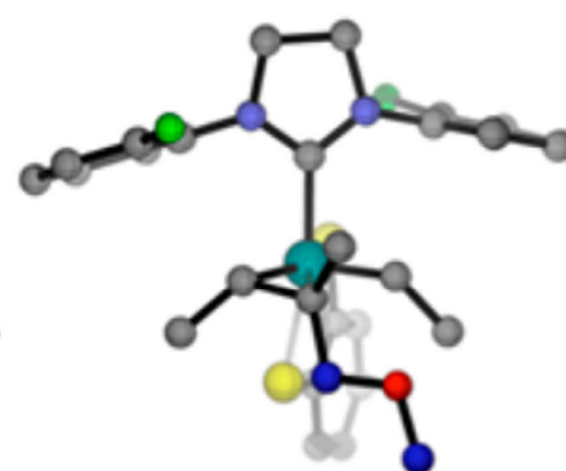
ts1_C (14.9 kcal/mol)



*less steric
pressure*

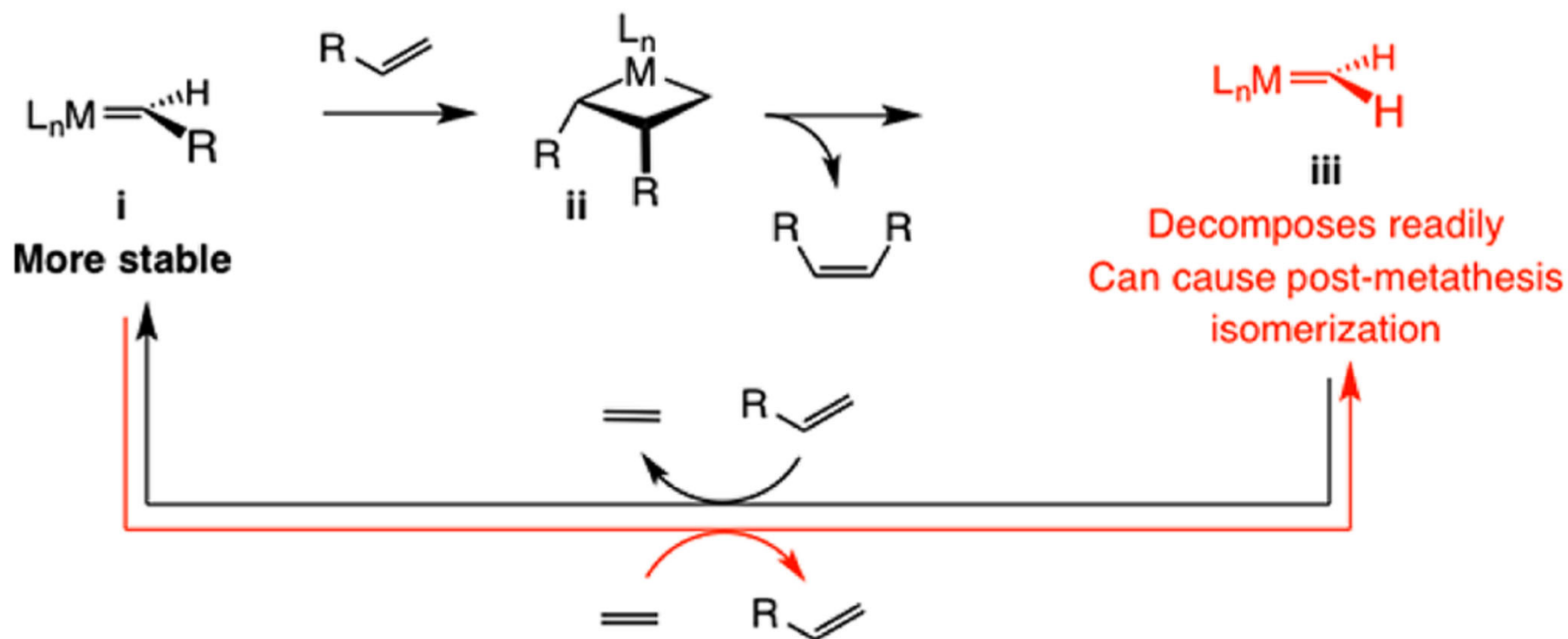


ts2_C (15.8 kcal/mol)

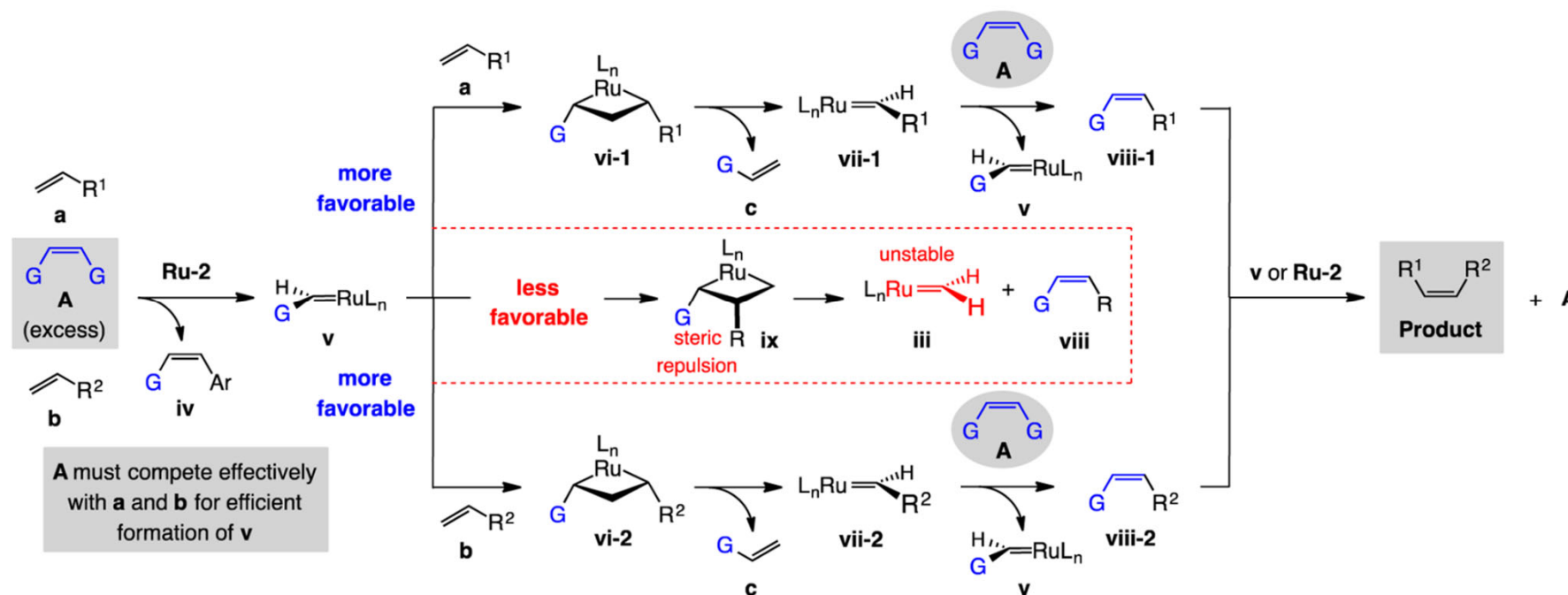
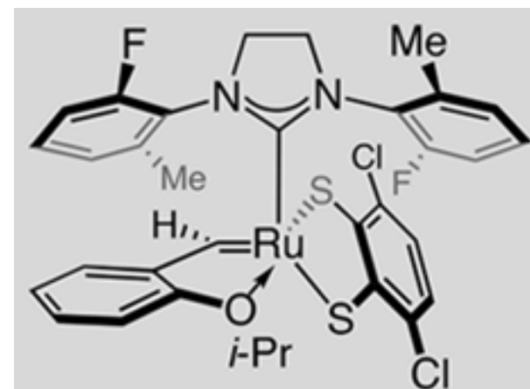
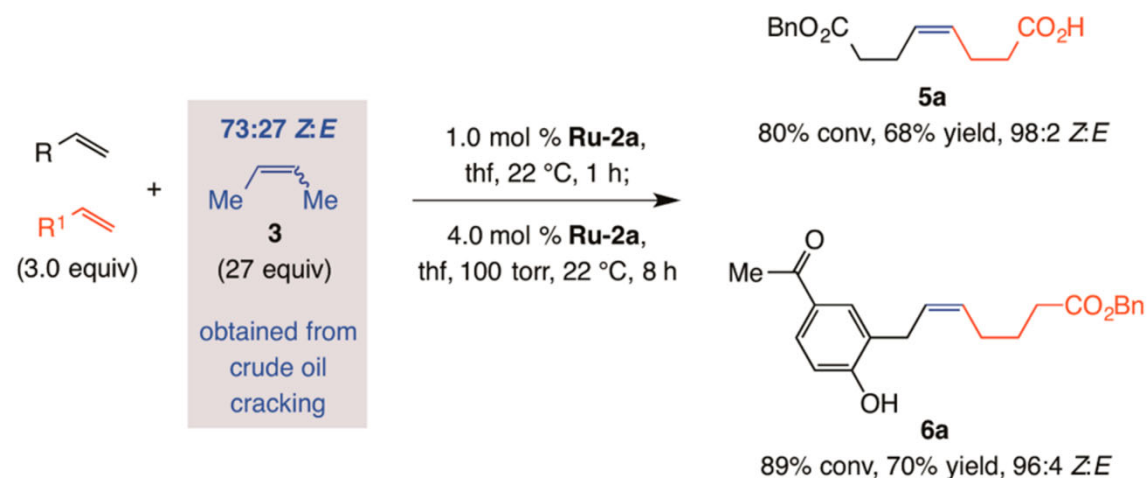


Challenge: Unstable Methylene-Carbene

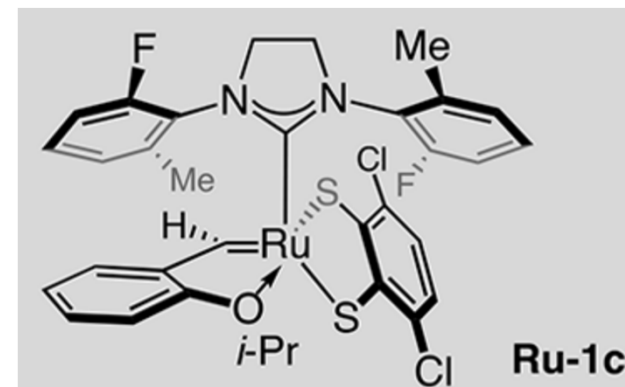
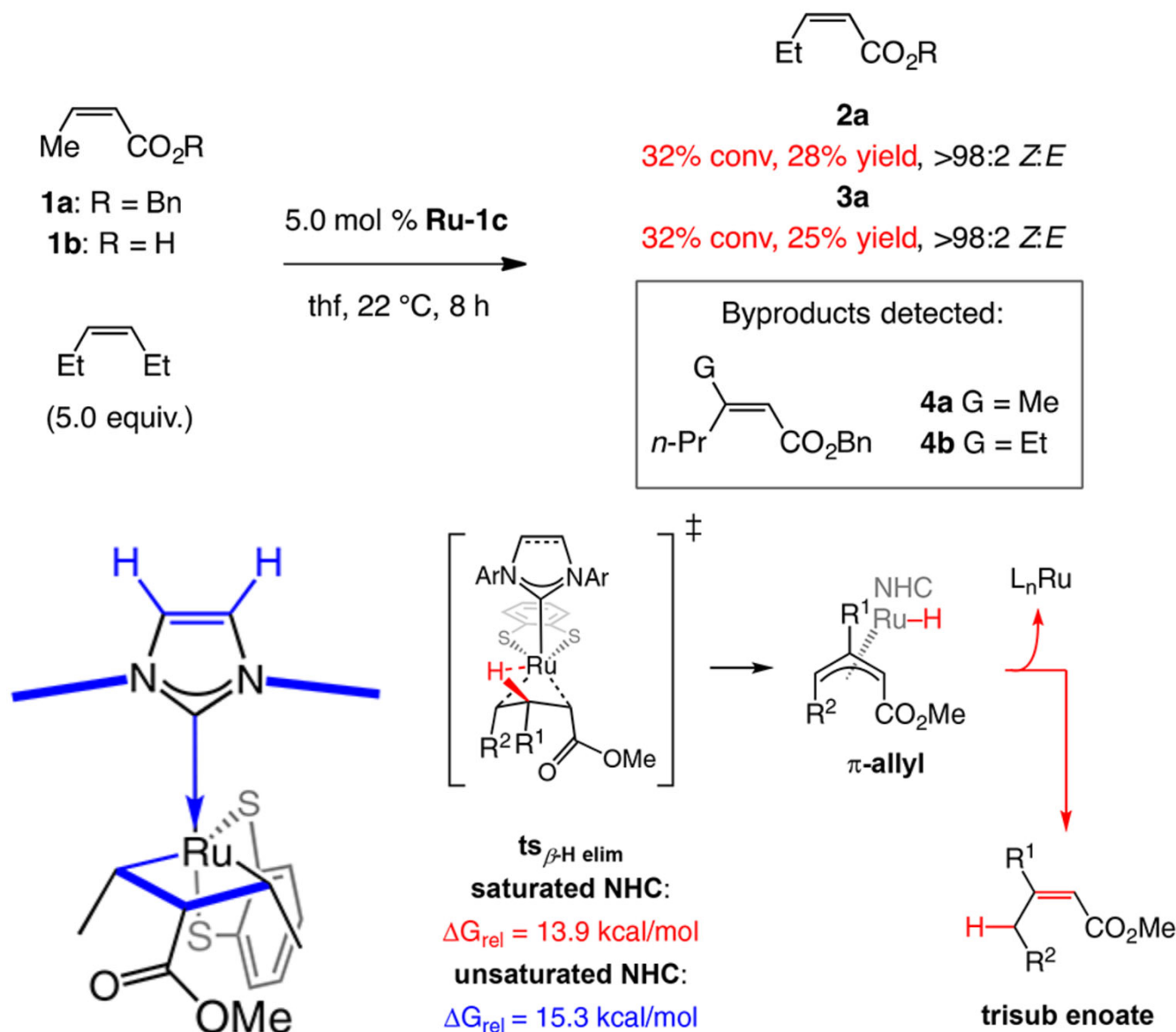
“However, we have shown that the corresponding methyldiene complexes are too unstable (partly due to 1,2-shift of the sulfide trans to the NHC to the carbene carbon), and thus reactions with terminal olefins are typically inefficient (i.e., $\leq 10\%$ conversion).”



Solution: In Situ Methylene Capping

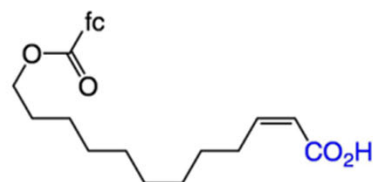
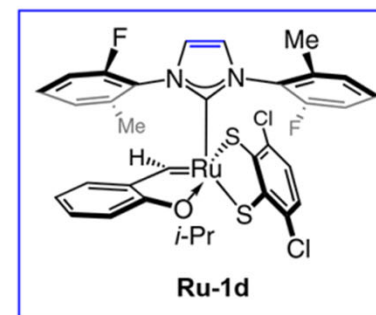
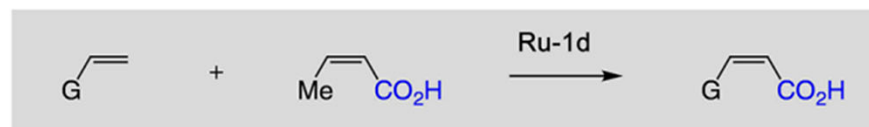


Classical E-W Alkenes: Elimination



- NHC-S Relation
- *trans*-Influence
- Unsaturated NHC
- **Variation**

Unsaturated NHCs Avoid Elimination



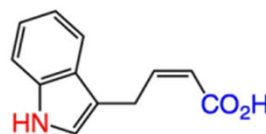
3b

Condition A:
60% conv, 33% yield,
>98:2 Z:E
Condition B:
89% conv, 52% yield,
>98:2 Z:E



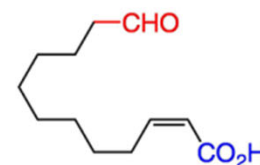
3c

Condition A:
65% conv, 41% yield,
>98:2 Z:E
Condition B:
92% conv, 65% yield,
>98:2 Z:E



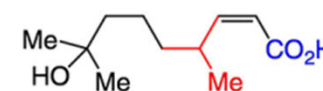
3d

Condition B:
86% conv, 52% yield,
>98:2 Z:E



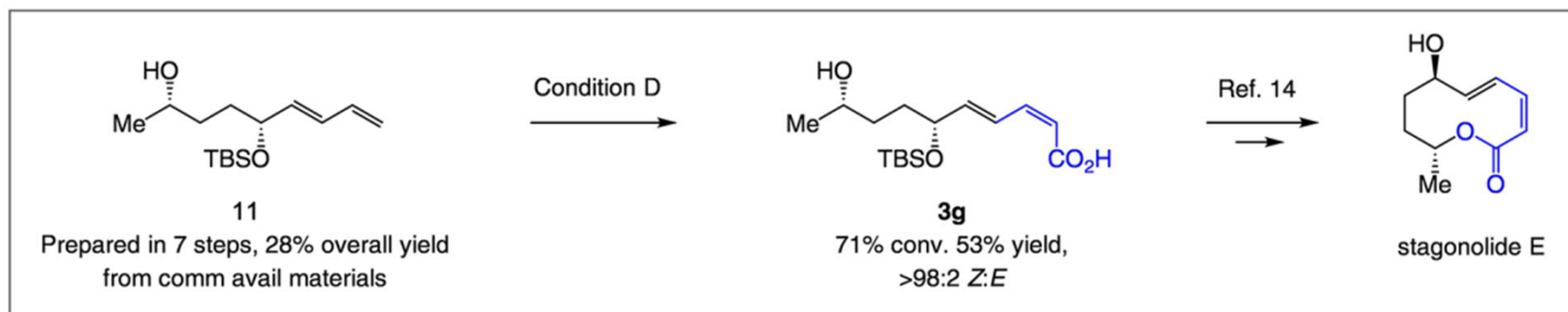
3e

Condition B:
86% conv, 49% yield,
>98:2 Z:E

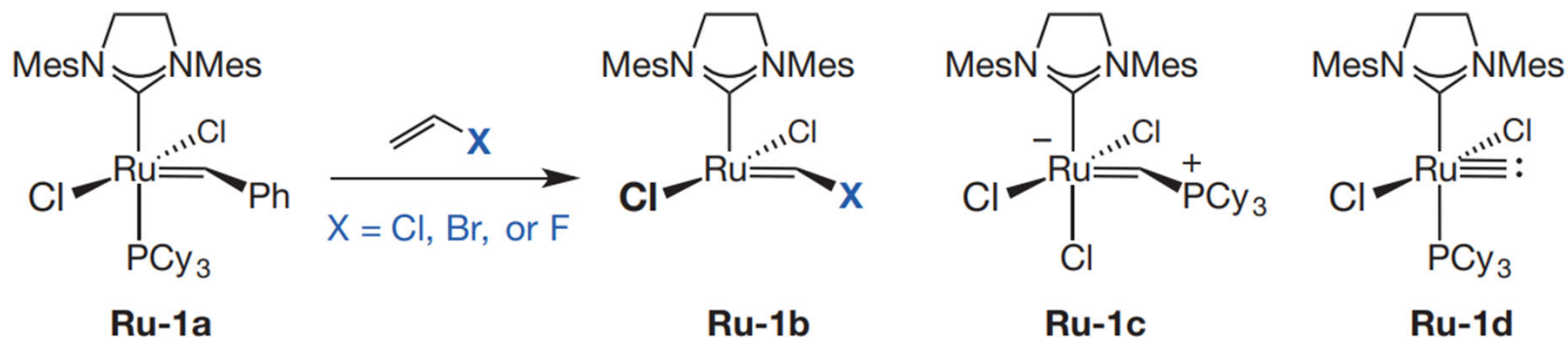


3f

Condition C:
66% conv, 63% yield,
98:2 Z:E

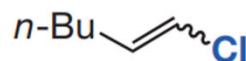
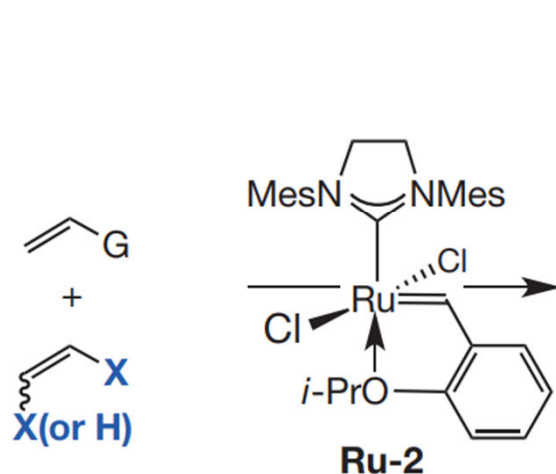


Grubbs: ~~Stability~~ or ~~Stereoselectivity~~

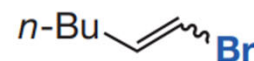


Ru-1b
Fischer-type carbene,
low activity

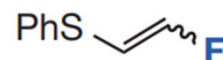
Ru-1c **Ru-1d**
Decomposition products
with X = Cl and Br



With Z-dichloroethene,
5.0 mol%, 50 °C, 3 h:
>98% conv., yield ND,
Z:E = 60:40

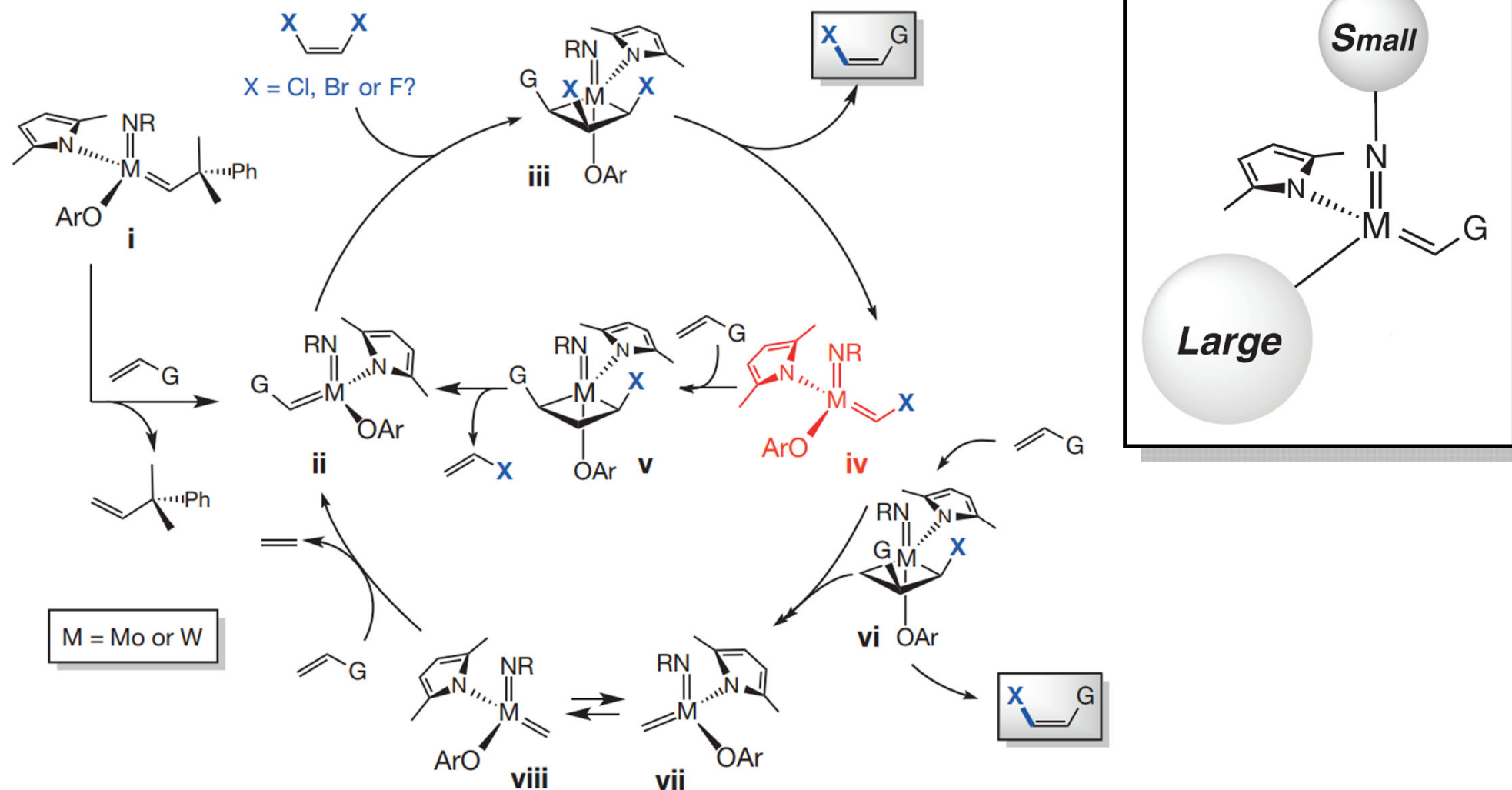


With Z/E-dibromoethene,
5.0 mol%, 50 °C, 3 h:
16% conv., yield ND,
Z:E = 33:66

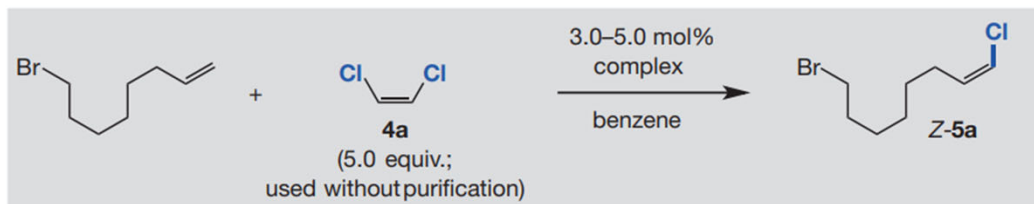


With vinyl fluoride,
5.0 mol%, 23 °C, 24 h:
<5% conv., yield NA,
Z:E = NA

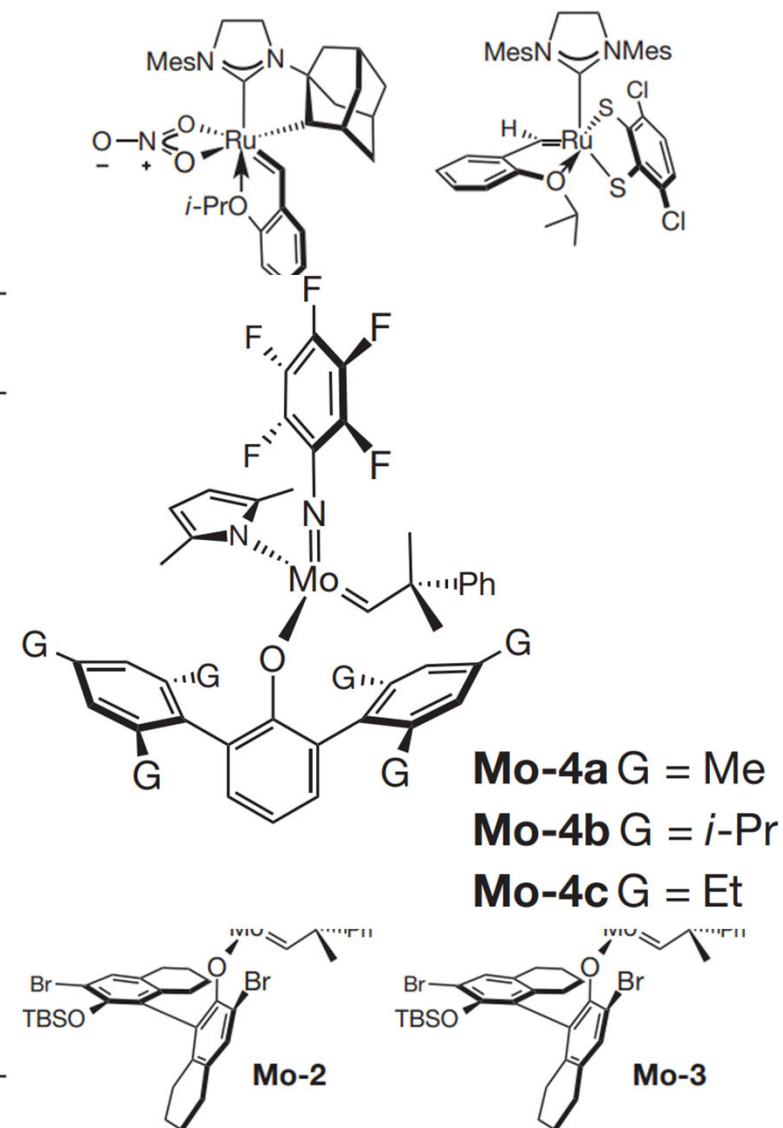
Schrock Cat.: Rational Design



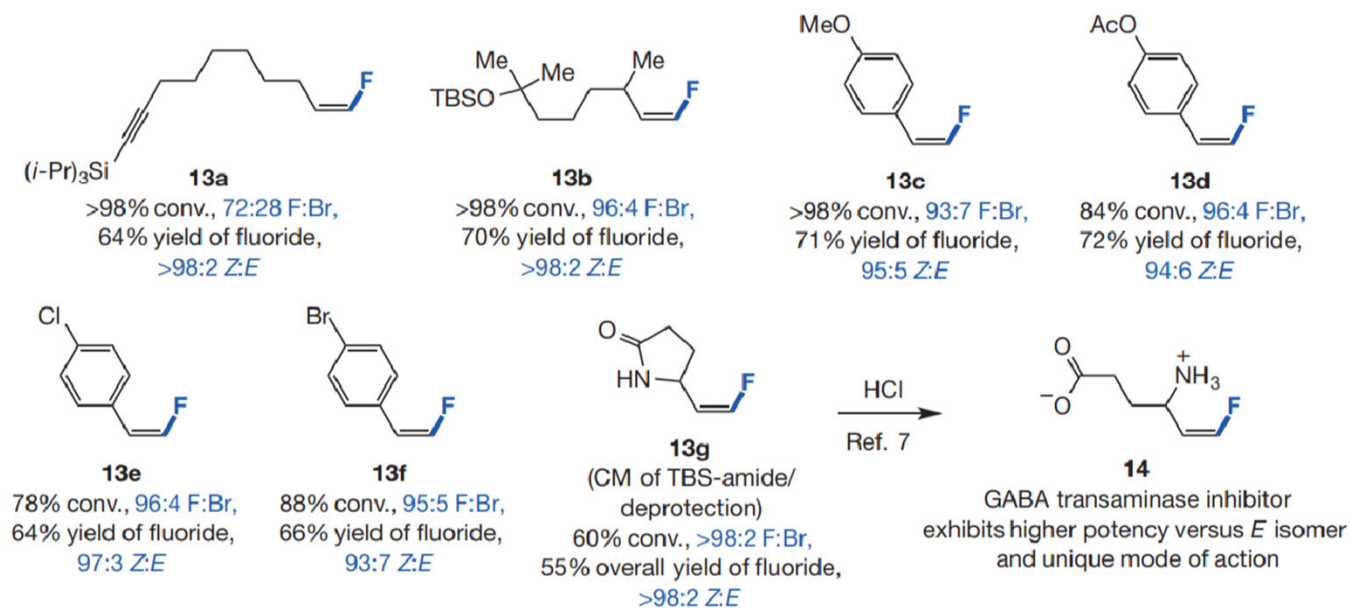
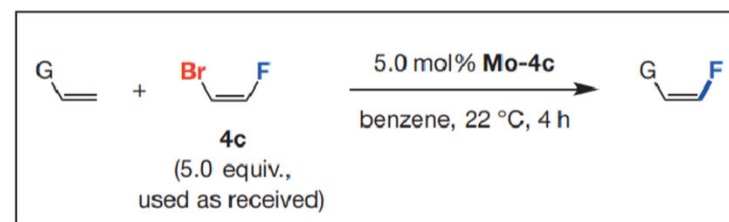
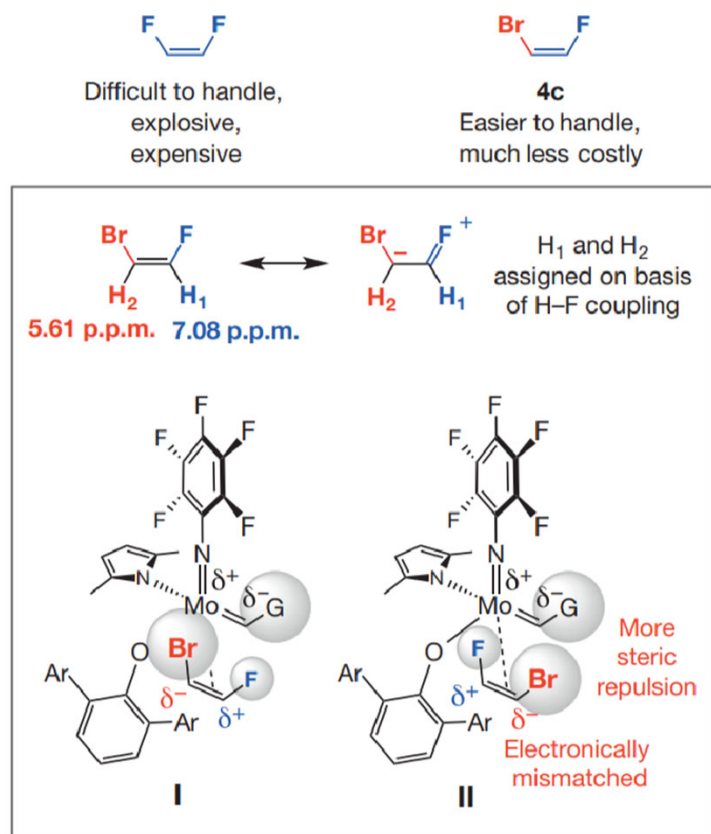
Steric & Reactivity & Stability



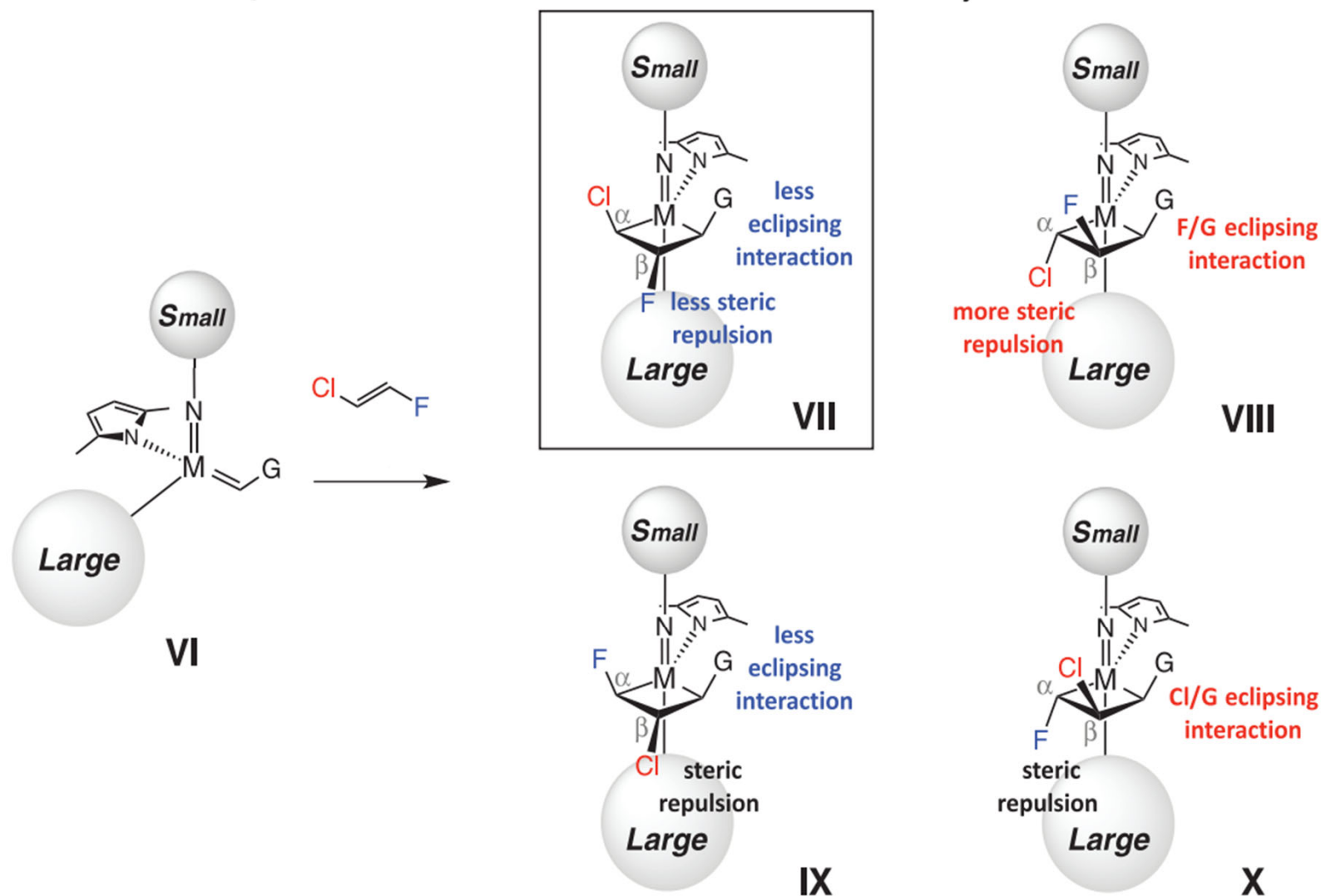
Entry number	Complex; loading (mol%)	Time (h); temperature (°C)	Conversion (%) [*] ; yield (%) [†]	Z:E [‡]
1	Ru-2 ; 5.0	4; 50	82; 59	58:42
2	Ru-3 ; 5.0	4; 50	10; <5	NA
3	Ru-4 ; 5.0	4; 50	<10; <5	NA
4	Mo-1 ; 5.0	4; 22	67; <5	NA
5	W-1 ; 5.0	4; 22	45; <10	ND
6	Mo-2 ; 5.0	4; 22	43; <5	NA
7	Mo-3 ; 5.0	4; 22	60; 27	>98:2
8	Mo-4a ; 5.0	4; 22	87; 60	>98:2
9	Mo-4b ; 5.0	4; 22	62; 40	98:2
10	Mo-4b ; 5.0	12; 22	95; 84	93:7
11	Mo-4c ; 3.0	4; 22	90; 75	>98:2



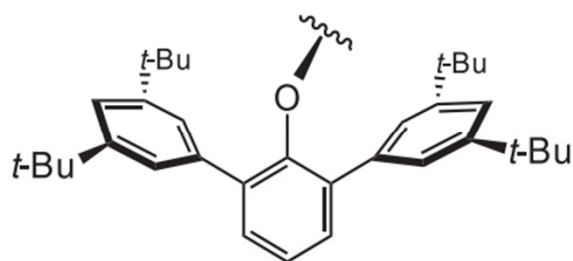
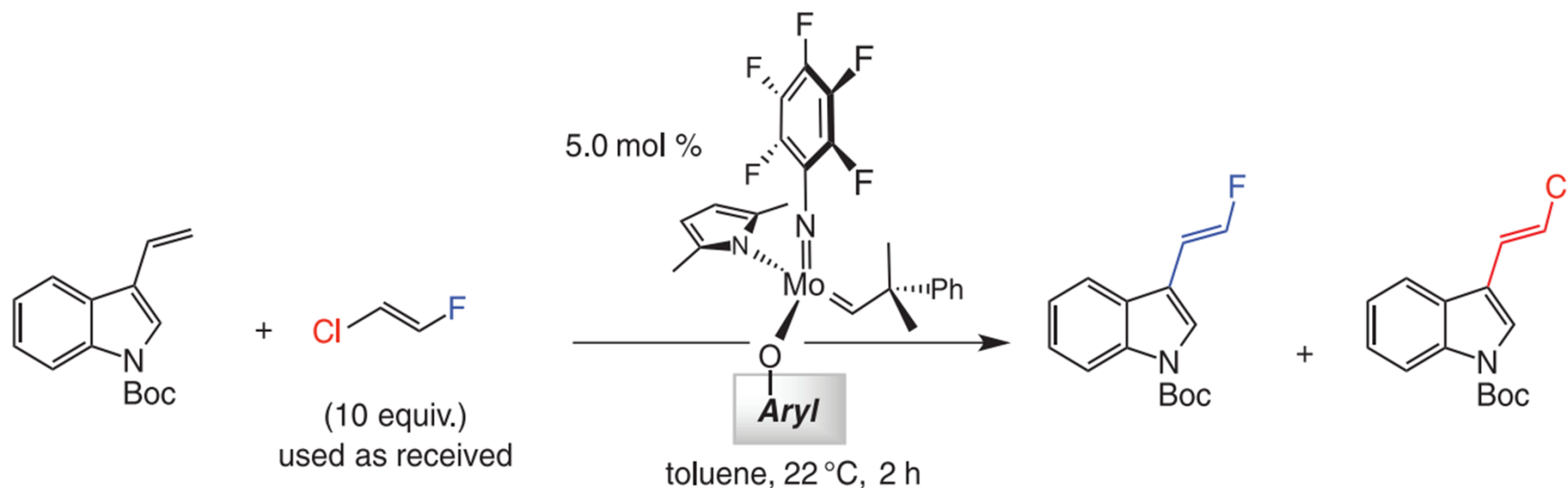
Alkenyl Fluorides: Polarity Match



E-Selectivity: Gearing Effect

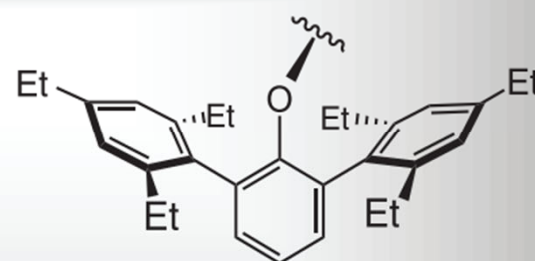


E-Selectivity: Gearing Effect



Mo-1d

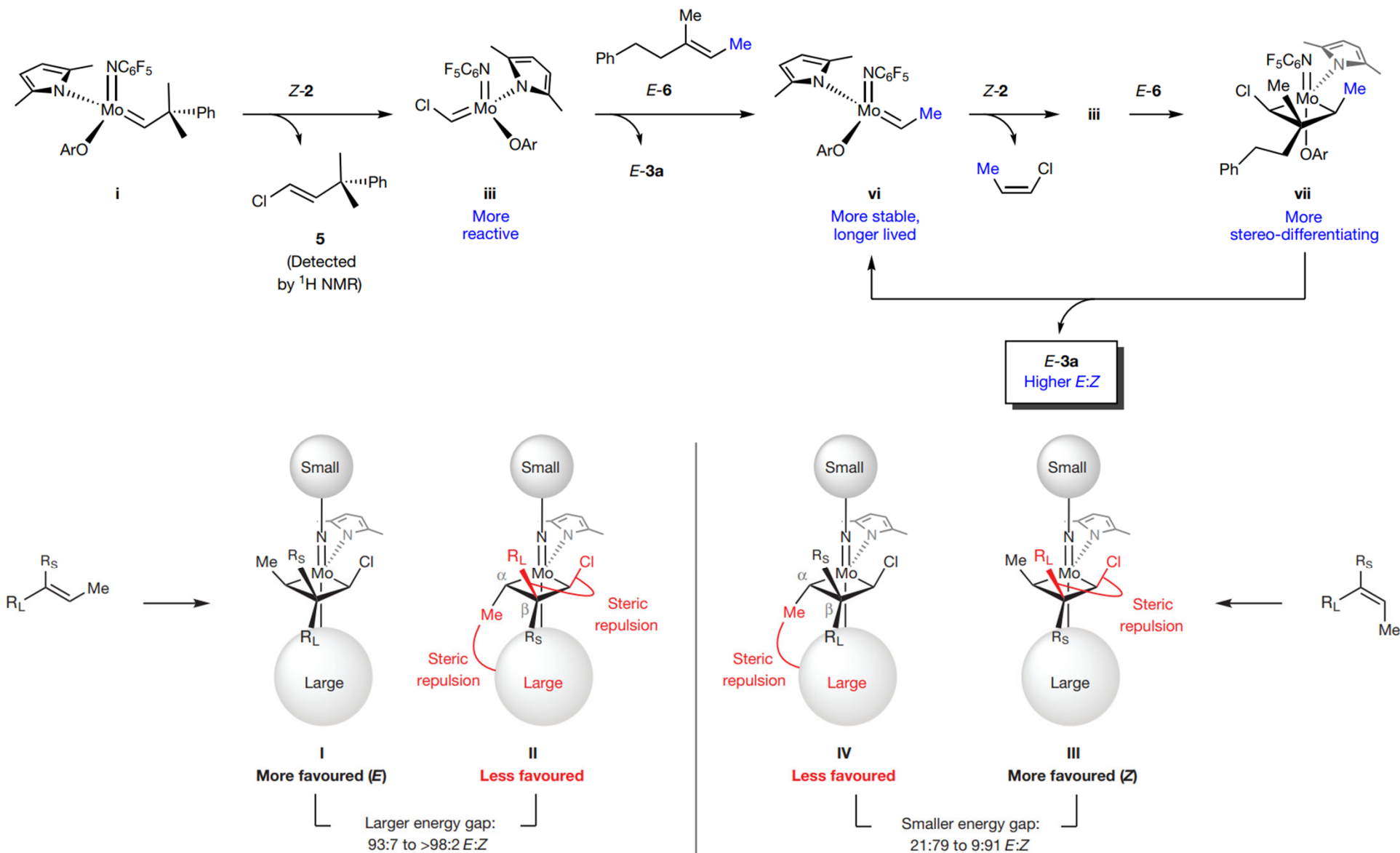
>98% conv., **77:23 19a:4I**
>98:2 *E:Z* for either product



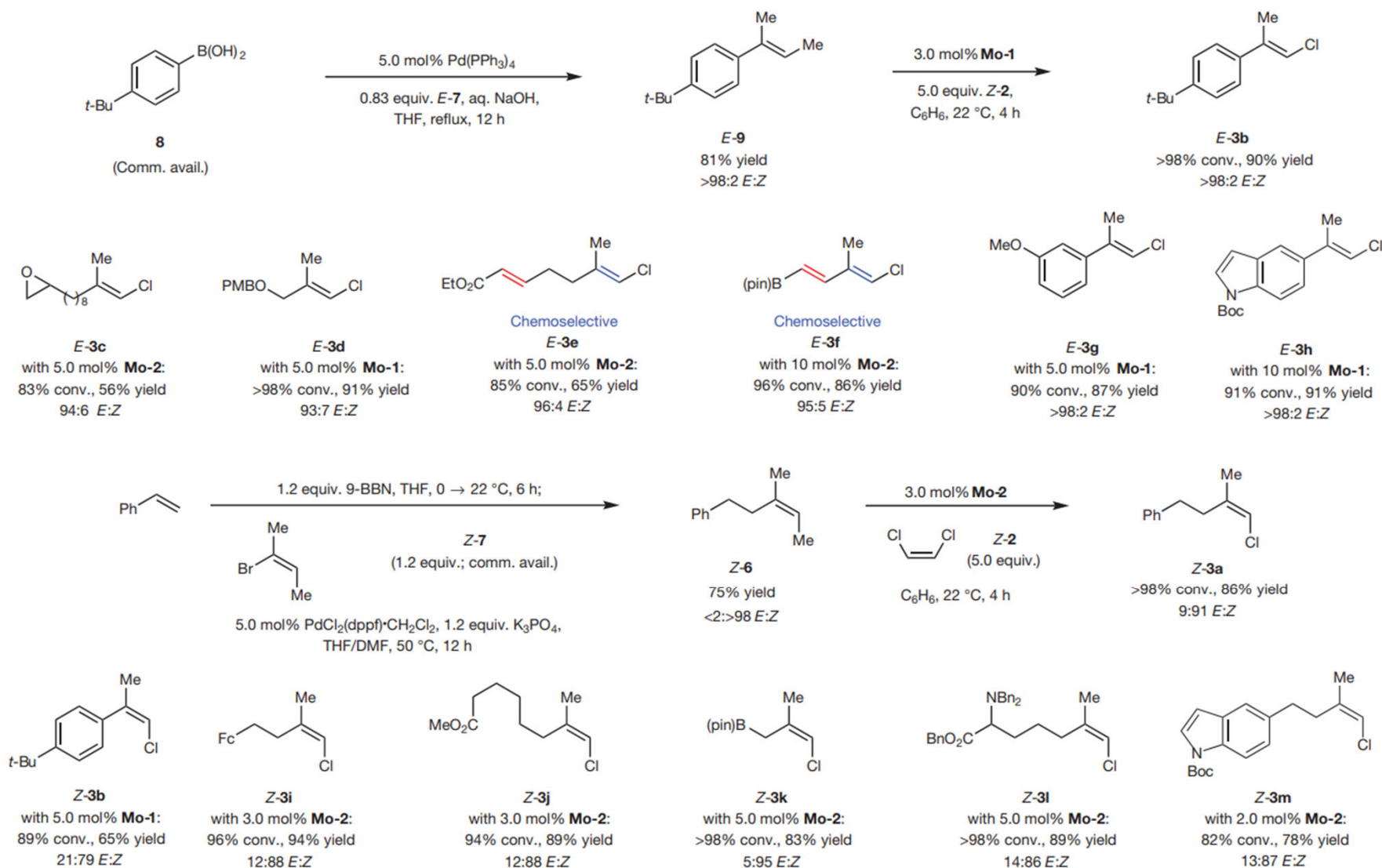
Mo-1a

>98% conv., **89:11 19a:4I**
>98:2 *E:Z* for either product
82% yield of pure fluoride

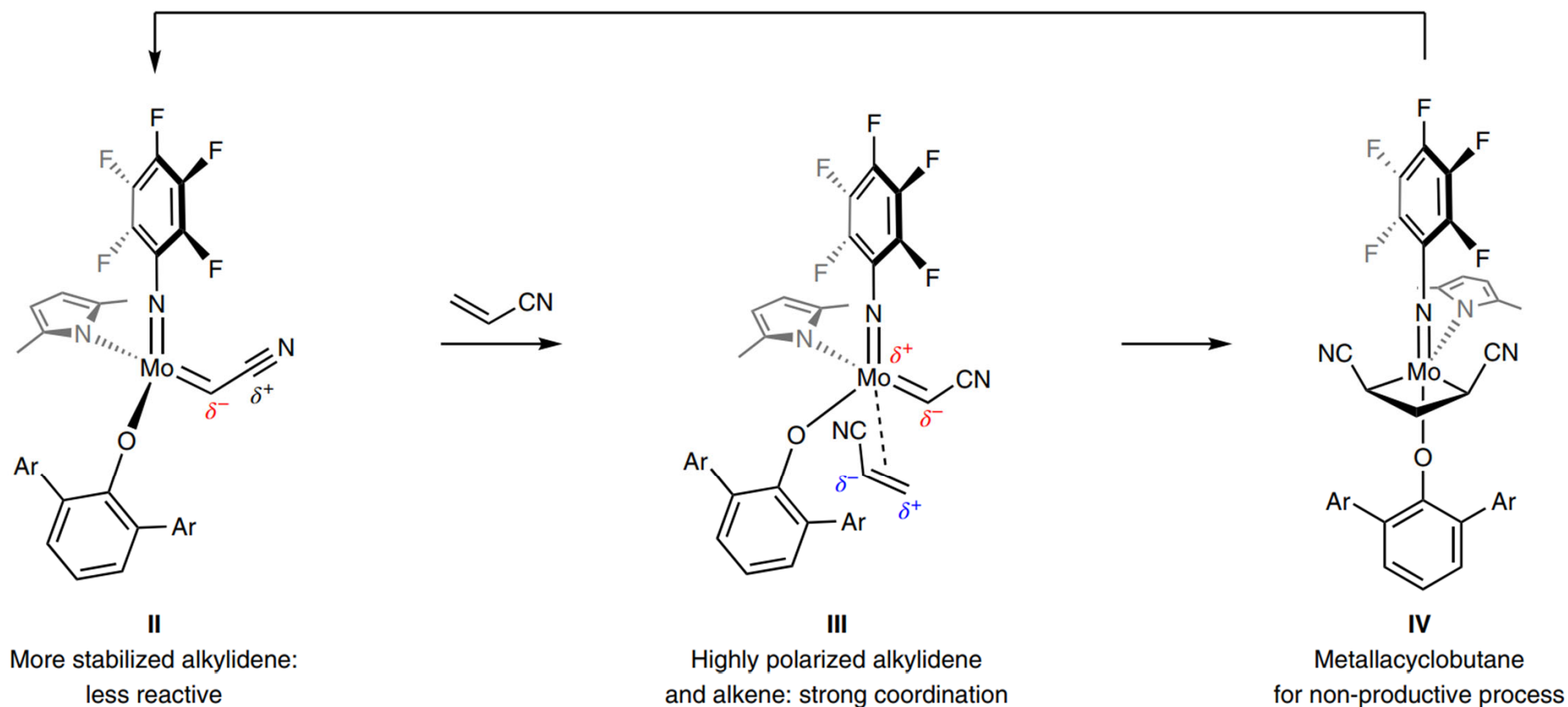
Z- & E-Configuration: Center-Control



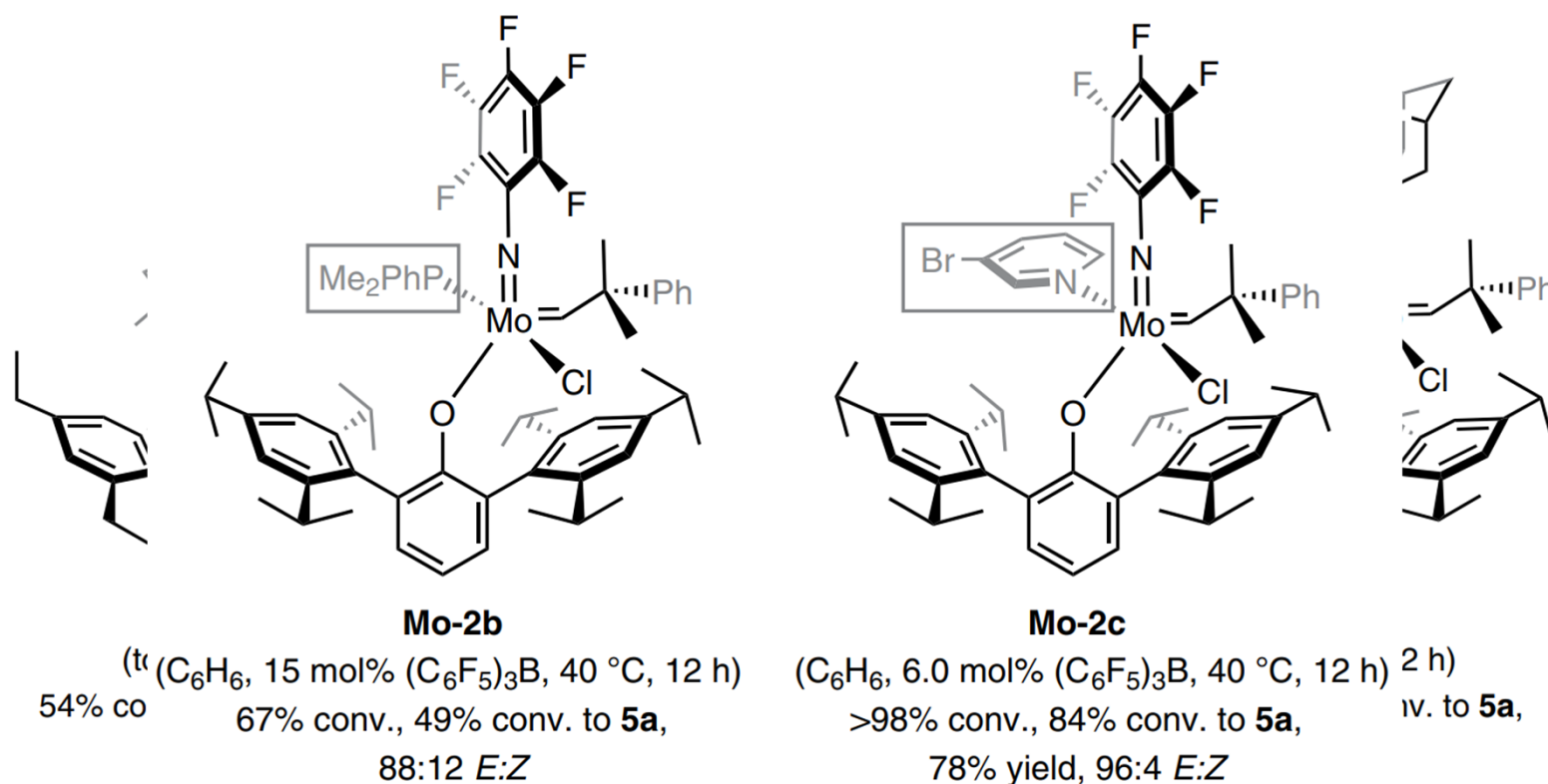
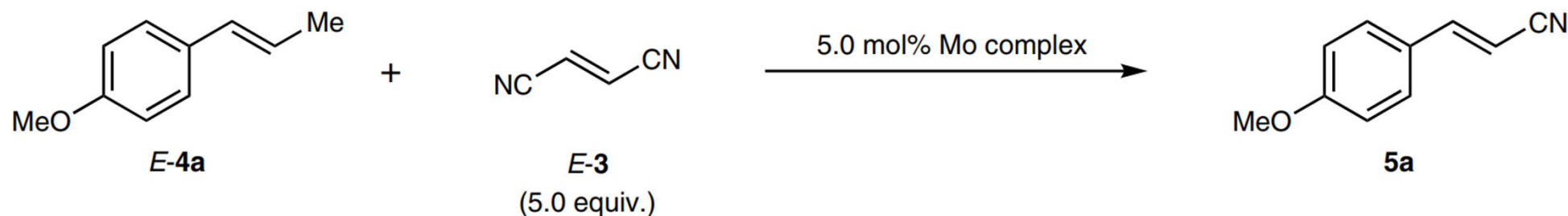
Z- & E-Trisubstituted Alkenyl Halides



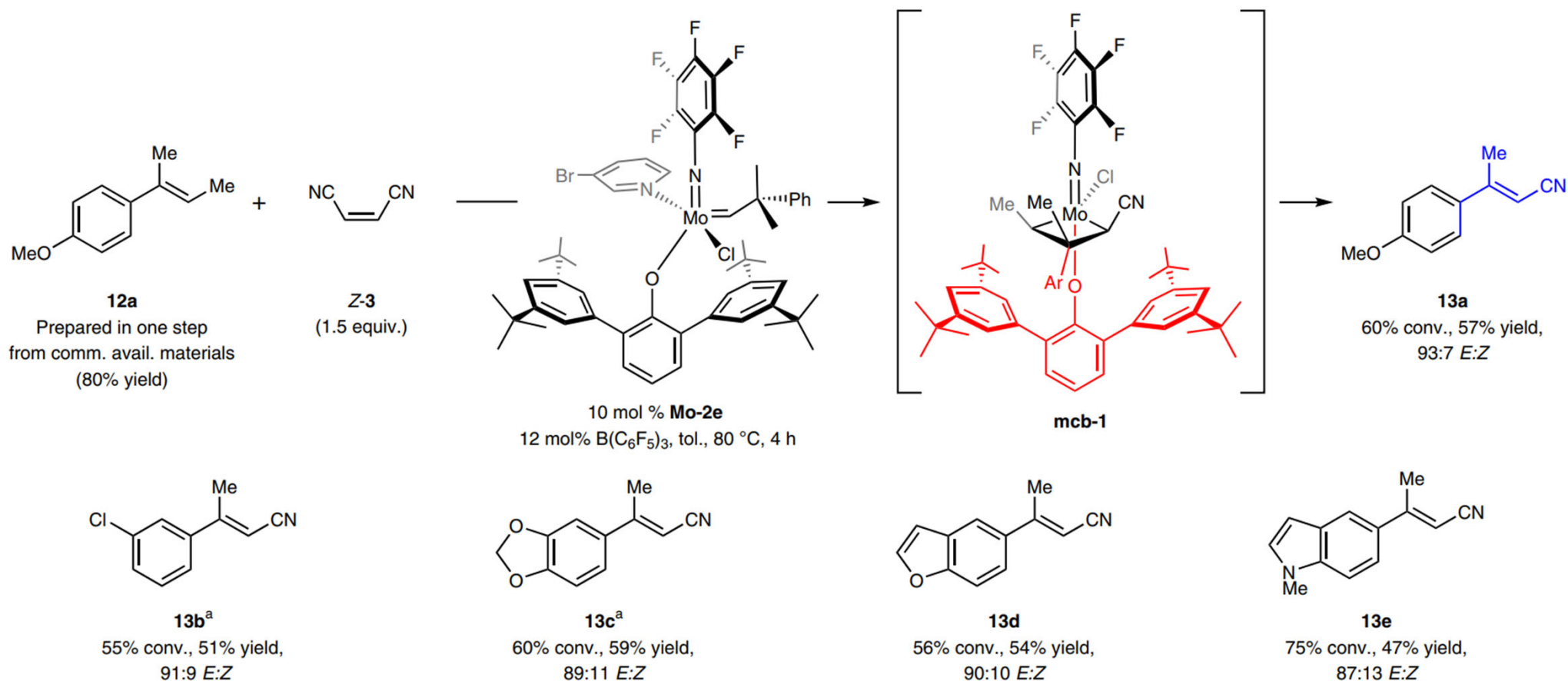
Alkenyl Nitriles: Forced Depolarization



Alkenyl Nitriles: Forced Depolarization



Alkenyl Nitriles: Forced Depolarization



Outline

- **Introduction**
- **Classical Catalysts System**
 - Schrock Catalysts
 - Grubbs Catalysts
 - RCM Reaction
 - Classical CM Reaction
- **Catalysts Improvement**
 - Restrictions of Classical Catalysts
 - Solutions of Grubbs Catalysts
 - Solutions of Schrock Catalysts
- **Summary**
- **Acknowledgement**

Summary

- Normal-Schrock Carbene: Suitable
- Schrock: Ring Formation Determining
- Grubbs: Coordination vs. Ring Formation
- Nucleophilic Mo Carbene & Electrophilic Ru Carbene
- Electronic Effect of Olefins: Fast $\neq$ Suitable
- Complex to Ring: Electron-Withdrawing Preference
- Grubbs System Improvement: Attacking Direction
- Schrock System Improvement: Stereo-Differentiating
- Carbene with Functional Groups: Back to Suitable Carbene
- A Story of Catalyst Development: To be Continued...