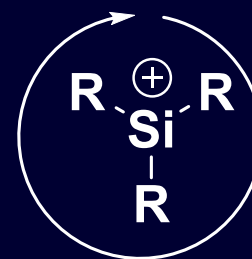
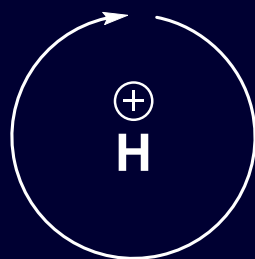
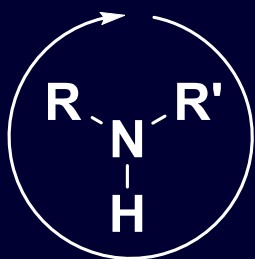


Benjamin List : From Enamine Catalysis to Brønsted-acid(Lewis-acid) Catalysis



Lingran Kong

College of Chemistry and Molecular Engineering

12/4/2021

Introduction



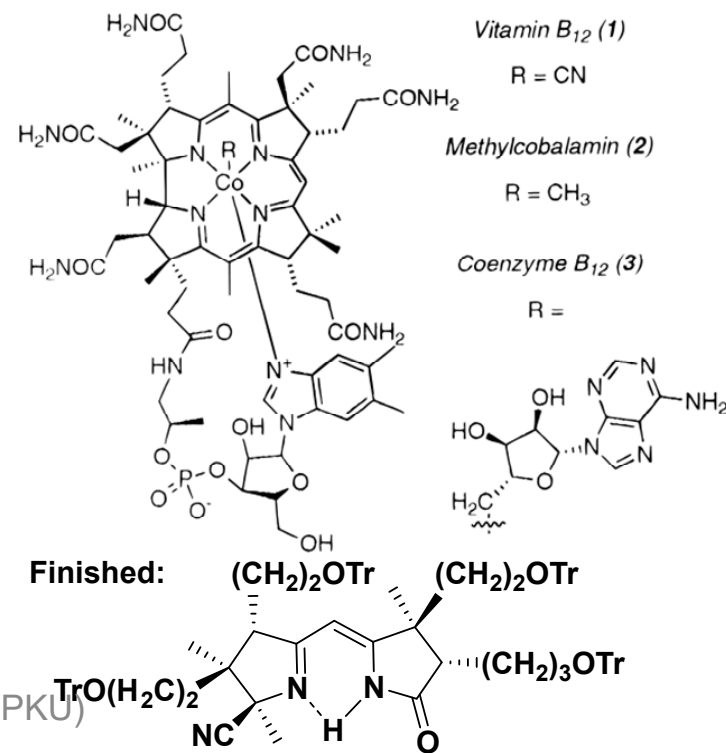
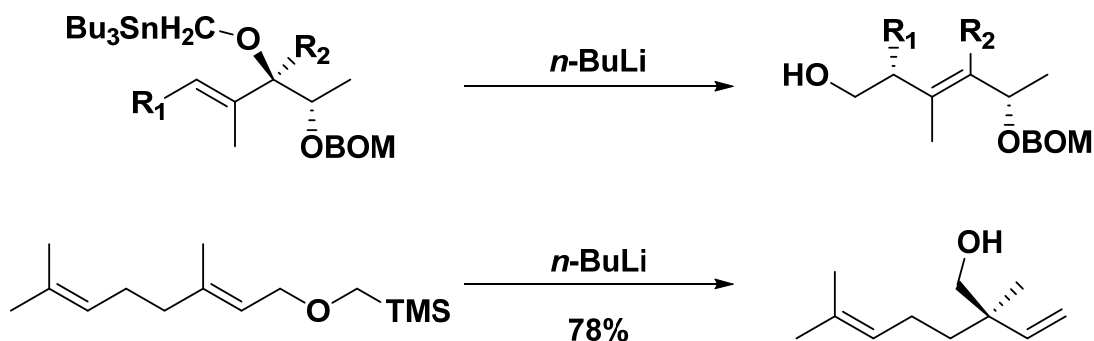
since 2003 Director at the Max-Planck-Institut für Kohlenforschung

1999 - 2003 Assistant Professor, Scripps Research Institute

1993-1997 PhD, University Frankfurt (J. Mulzer)

1993 Chemistry Diplom, Free University Berlin

1968 Born in Frankfurt/Germany



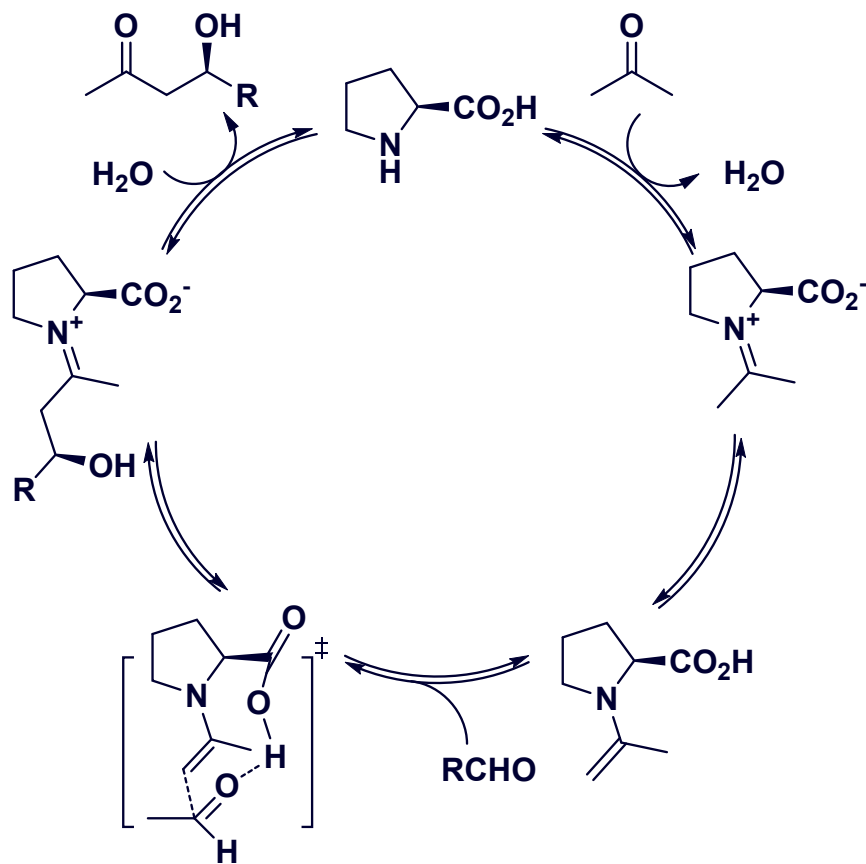
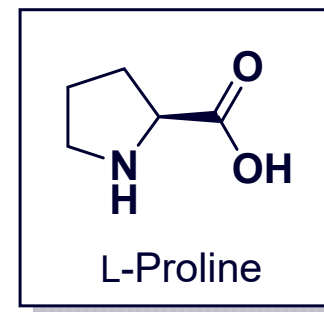
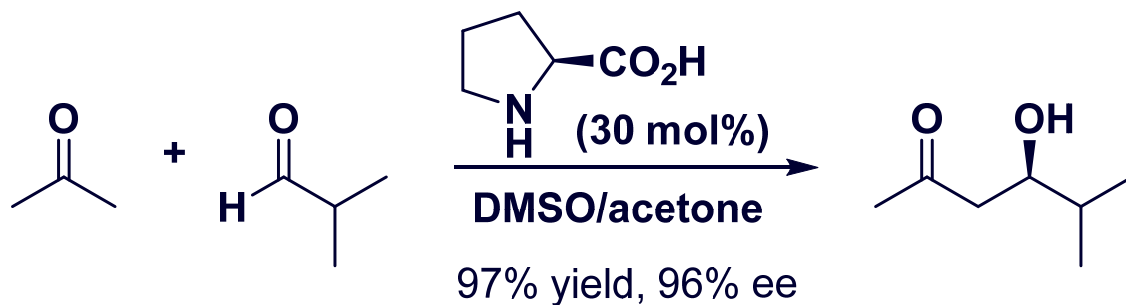
Mulzer, J.; List, B. *Tetrahedron Lett.* **1994**, 35, 9021.

Mulzer, J.; List, B. *Tetrahedron Lett.* **1996**, 37, 2403.

Mulzer, J.; List, B.; Bats, J. W. *J. Am. Chem. Soc.* **1997**, *119*, 5512.

1997, 119, 5512

Proline Catalyzed Transformations



Transformations Covered:

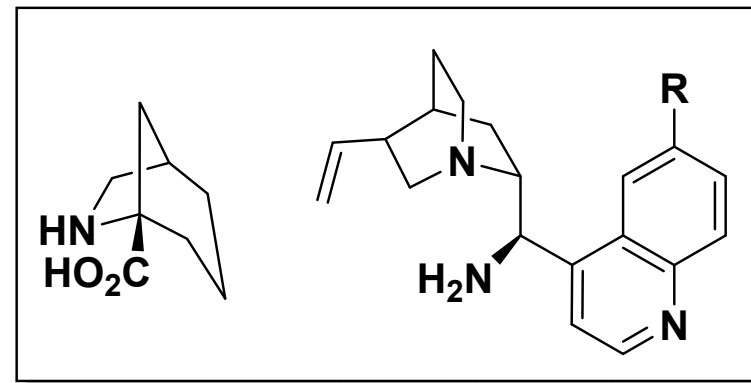
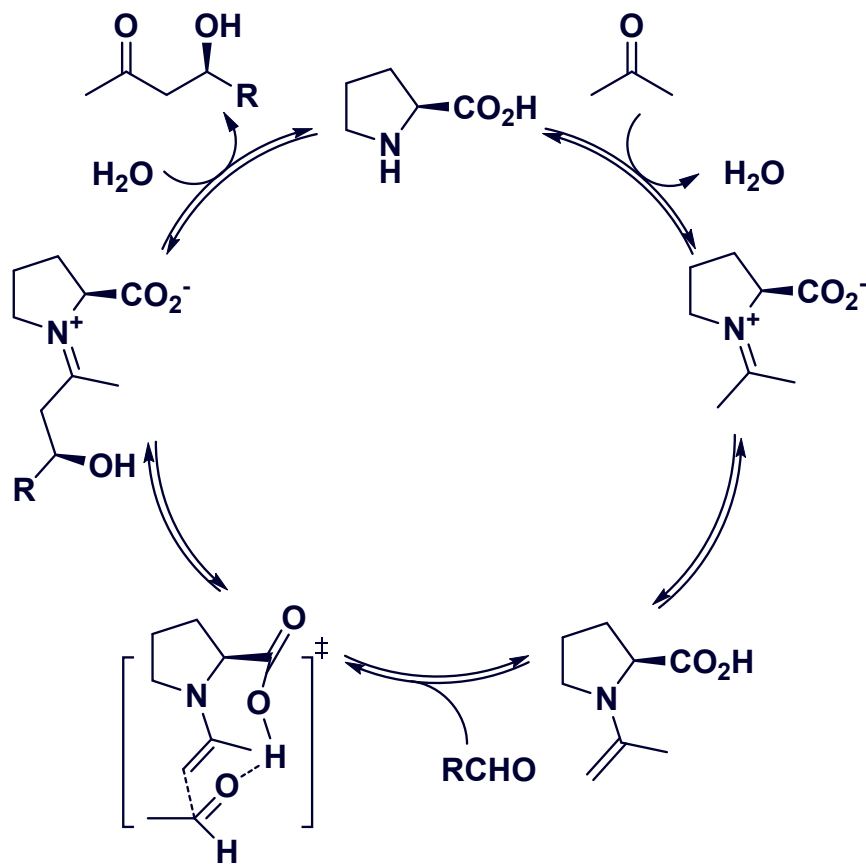
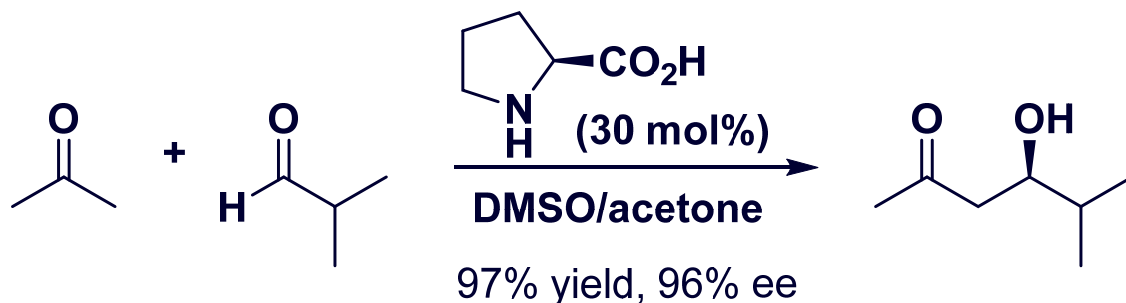
Aldol Reactions
Mannich Reactions
Michael Reactions
A lot of other
transformations

List, B.; Lerner, R. A.; Barbas, C. F. *J. Am. Chem. Soc.* **2000**, 122, 2395-2396.

Mukherjee, S.; Yang, J. W.; Hoffmann, S.; List, B.
Chem. Rev. **2007**, 107, 5471-5569.

From Dr. Luo: Stereochemistry-Lecture 5

Other Chiral Amines Catalyzed Transformations

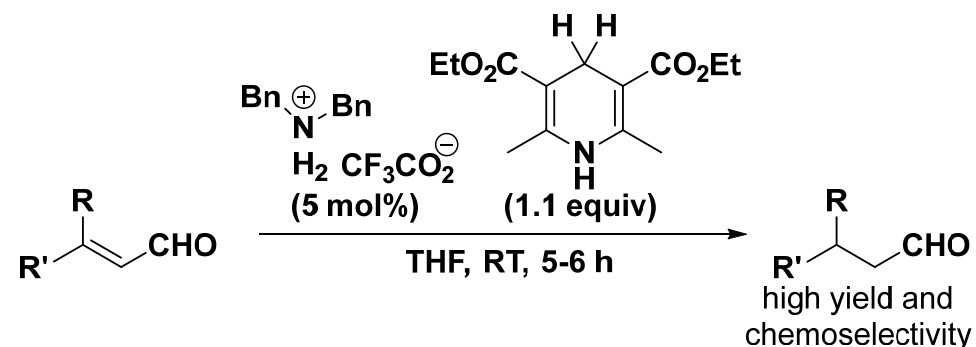
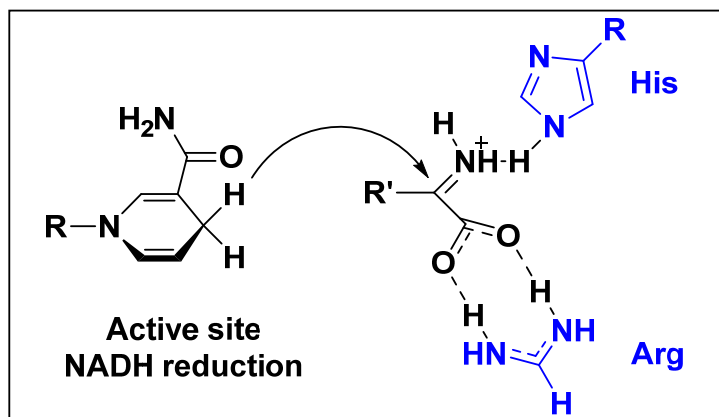


Transformations Covered:

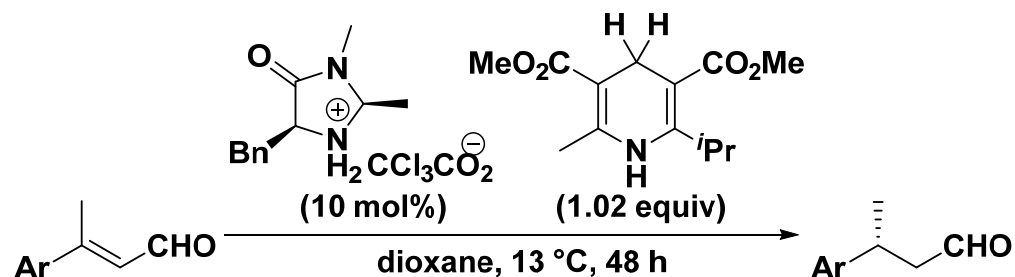
Alkylation
Epoxidation
A lot of other
transformations

Asymmetric Transfer Hydrogenation

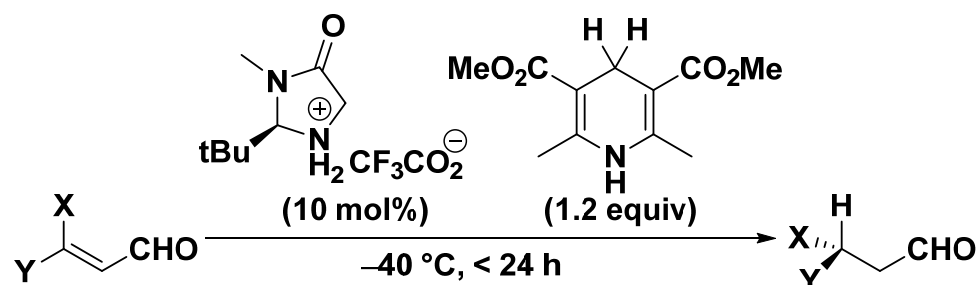
NADH: Nature's Reduction (Hydrogenation)
Reagent (Coenzyme)



List, B. et al. *Angew. Chem. Int. Ed.* **2004**, 43, 6660. Received: August 28, 2004



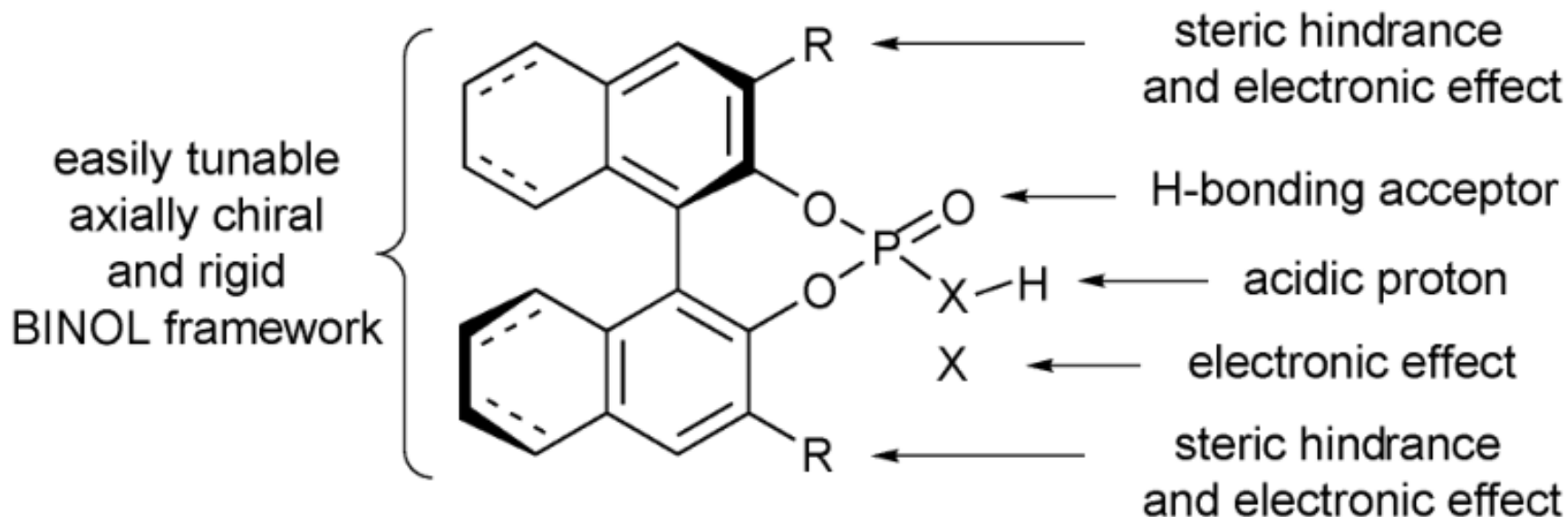
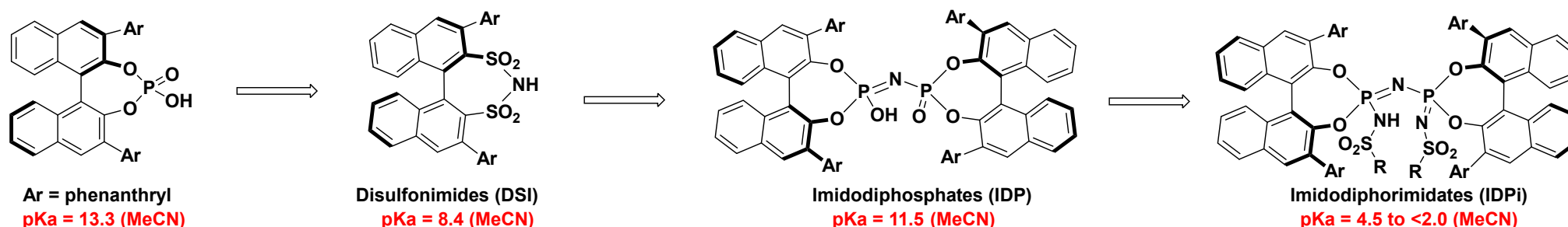
List, B. et al. *Angew. Chem. Int. Ed.* **2005**, 44, 108.
Received: October 26, 2004



Macmillan, D. W. C. et al. *J. Am. Chem. Soc.* **2005**, 127, 32.
Received: October 10, 2004

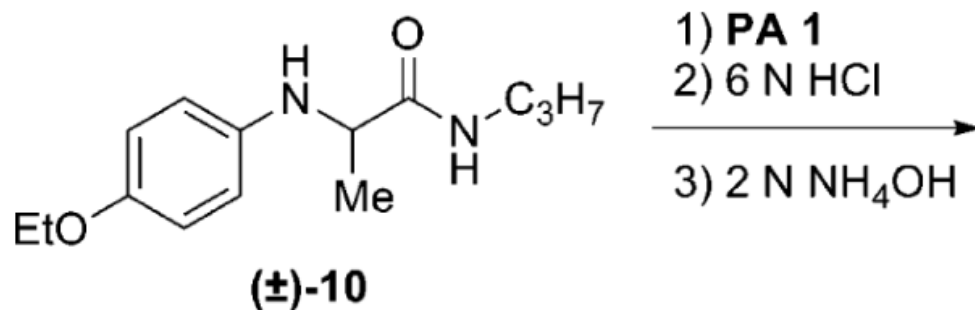
Chiral Phosphoric Acid: Bifunctional Activation

Organocatalysts developed by List group:

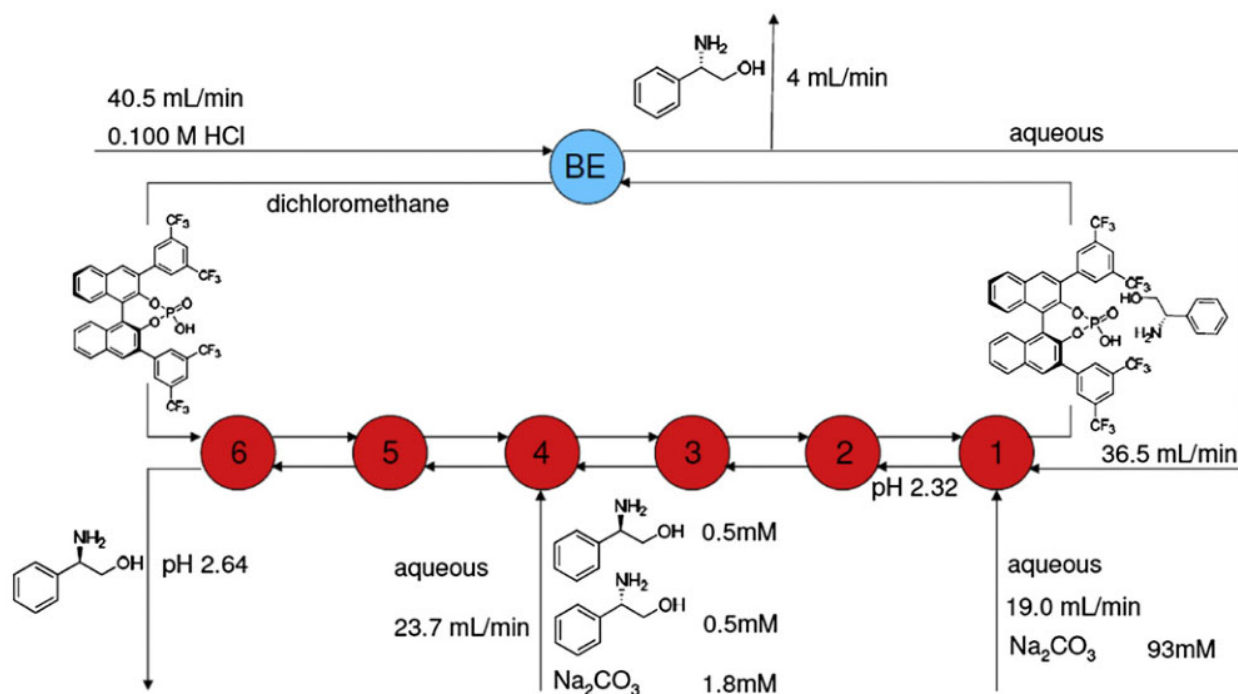
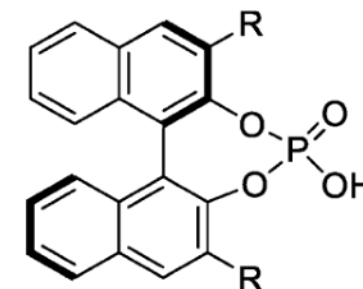


Chiral Phosphoric Acid

- 1971: Chiral phosphoric acid were used as resolving agents for chiral amines



(+)-10
43%, >99% ee



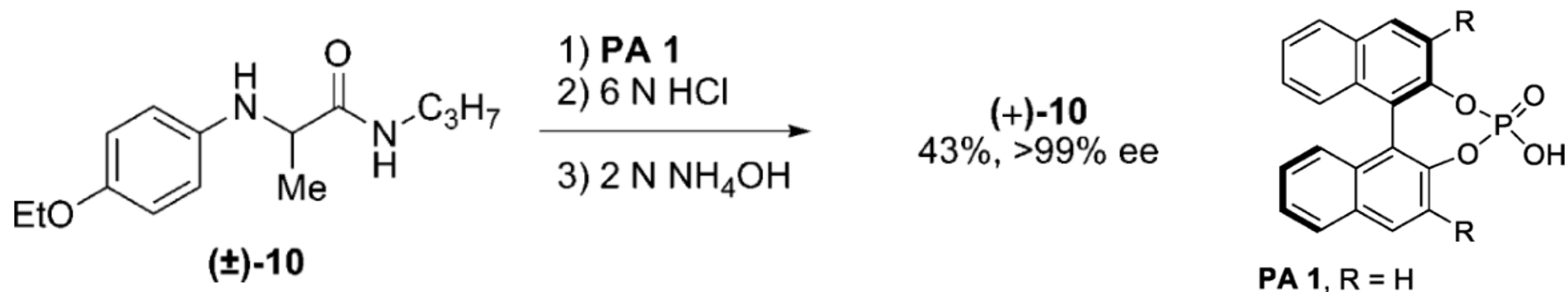
Jacques, J.; Fouquey, C.; Viterbo, R. *Tetrahedron Lett.* **1971**, 4617.

Wilen, S. H.; Qi, J. Z.; Williard, P. G. *J. Org. Chem.* **1991**, 56, 485.

Schuur, B.; Verkuijl, B. J. V.; Bokhove, J.; Minnaard, A. J.; de Vries, J. G.; Heeres, H. J.; Feringa, B. L. *Tetrahedron.* **2011**, 67, 462.

Chiral Phosphoric Acid

- 1971: Chiral phosphoric acid were used as resolving agents for chiral amines



- From 1990s: Chiral phosphoric acid were used as ligands in transition-metal catalysis

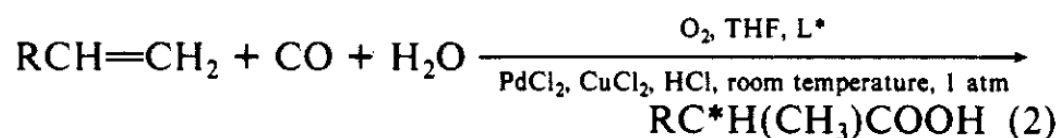
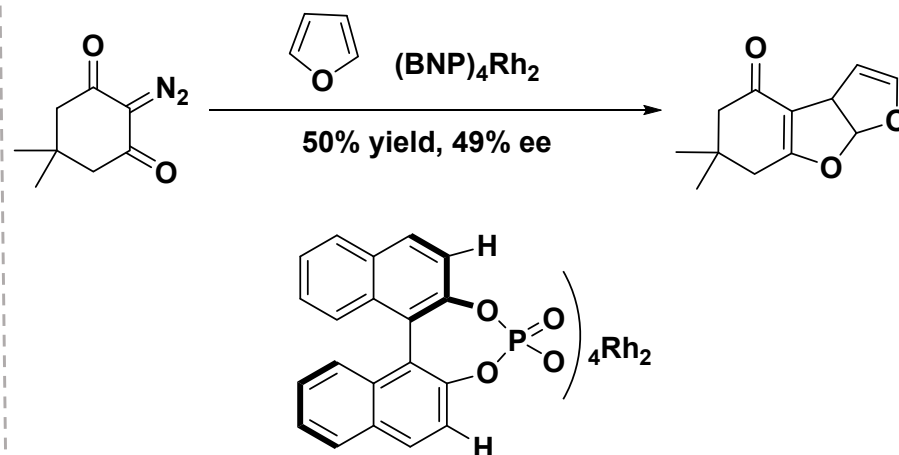


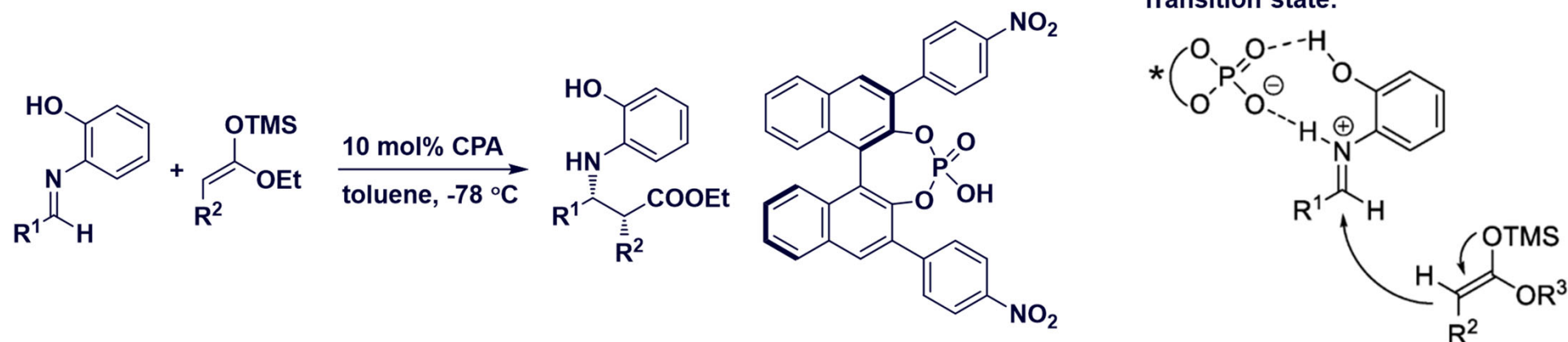
Table I. Hydrocarboxylation of *p*-Isobutylstyrene (**1**) and 2-Vinyl-6-methoxynaphthalene (**2**)

substrate	L*	1 (or 2)/ L*/PdCl ₂	product yield, ^a %	optical yield, ^b %
1	(<i>S</i>)-BNPPA	7.7/0.38/1.0	89	83 (<i>S</i>)
	(<i>S</i>)-BNPPA	7.7/0.77/1.0	80	55 (<i>S</i>)
	(<i>R</i>)-BNPPA	7.7/0.38/1.0	81	84 (<i>R</i>)
2	(<i>S</i>)-BNPPA	4.2/0.42/1.0	46	72 (<i>S</i>)
	(<i>S</i>)-BNPPA	10/0.5/1.0	71	85 (<i>S</i>)
	(<i>R</i>)-BNPPA	4.2/0.42/1.0	48	76 (<i>R</i>)
	(<i>R</i>)-BNPPA	7.7/0.38/1.0	64	91 (<i>R</i>)

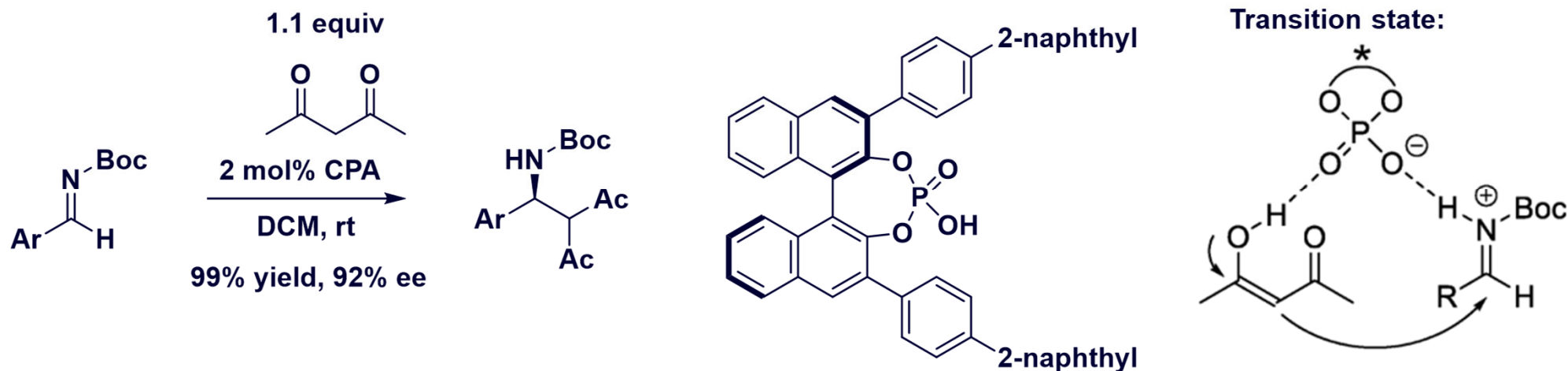


Chiral Phosphoric Acid: Organocatalysts

- 2004: Chiral phosphoric acid were used as organocatalysts



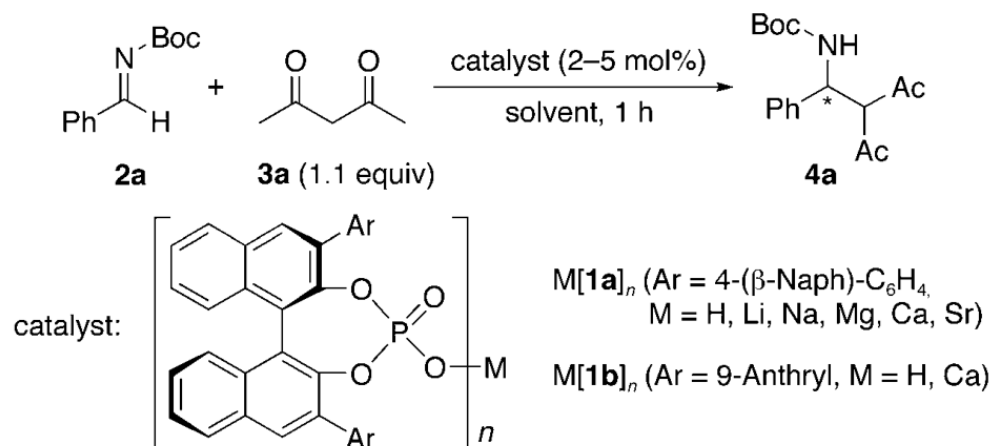
Akiyama, T.; Itoh, J.; Yokota, K.; Fuchibe, K. *Angew. Chem., Int. Ed.* **2004**, 43, 1566.
 Yamanaka, M.; Itoh, J.; Fuchibe, K.; Akiyama, T. *J. Am. Chem. Soc.* **2007**, 129, 6756.



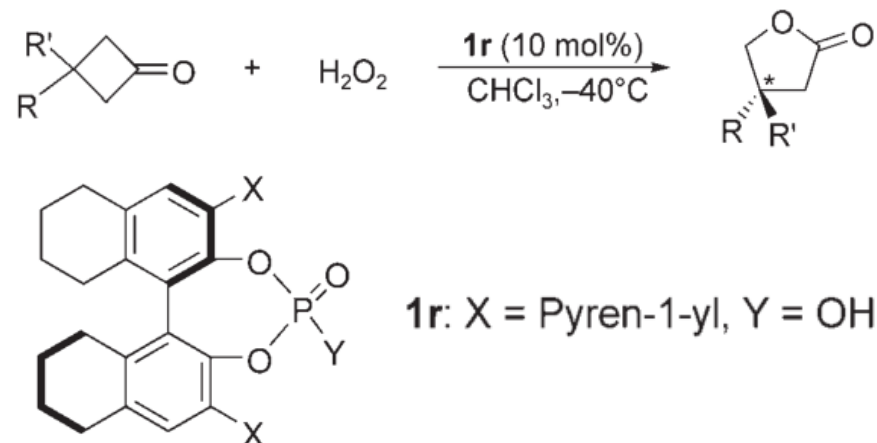
Uraguchi, D.; Terada, M. *J. Am. Chem. Soc.* **2004**, 126, 5356.
 Simón, L.; Goodman, J. M. *J. Org. Chem.* **2011**, 76, 1775.

Actual Catalyst: Chiral Phosphoric Acid or Chiral Calcium Phosphate?

- BINOL-derived phosphoric acids are readily neutralized to alkali or alkaline-earth metal salts by purification on silica gel



Entry	Catalyst (mol%) ^[a]	Solvent	T [°C]	Yield [%] ^[b]	ee [%] ^[c] (config.)
1	Li[1a] (5)	CH ₂ Cl ₂	RT	99	11 (S)
2	Na[1a] (5)	CH ₂ Cl ₂	RT	88	9 (S)
3	Mg[1a] ₂ (2.5)	CH ₂ Cl ₂	RT	> 99	43 (R)
4	Ca[1a] ₂ (2.5)	CH ₂ Cl ₂	RT	> 99	92 (R)
5	Sr[1a] ₂ (2.5)	CH ₂ Cl ₂	RT	> 99	59 (R)
6	H[1a] purified on silica gel (2)	CH ₂ Cl ₂	RT	86 ^[d]	92 (R) ^[d]
7	H[1a] washed with HCl (2)	CH ₂ Cl ₂	RT	88	27 (S)
8	H[1b] washed with HCl (5)	CH ₂ Cl ₂	RT	> 99	49 (S)
9 ^[e]	H[1b] washed with HCl (5)	toluene	–30	> 99	93 (S)
10	Ca[1b] ₂ (2.5)	CH ₂ Cl ₂	RT	93	30 (S)

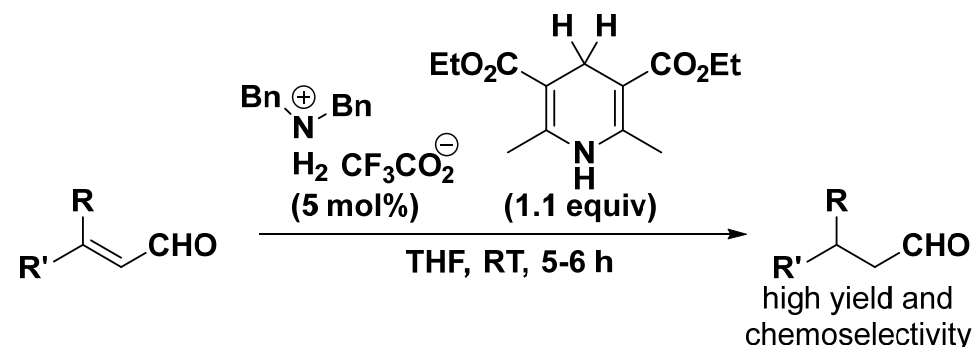
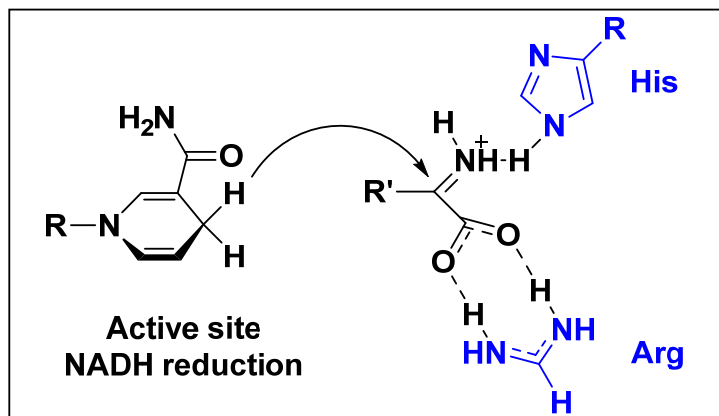


Interestingly, when catalyst **1r** was washed with 4N HCl and water prior to use, its activity was significantly improved without loss of enantioselectivity (Table 1, entry 20). The exact reason for the improvement of the activity is not yet clear, but it might be attributed to the removal of some trace amounts of impurities that poison the catalyst.

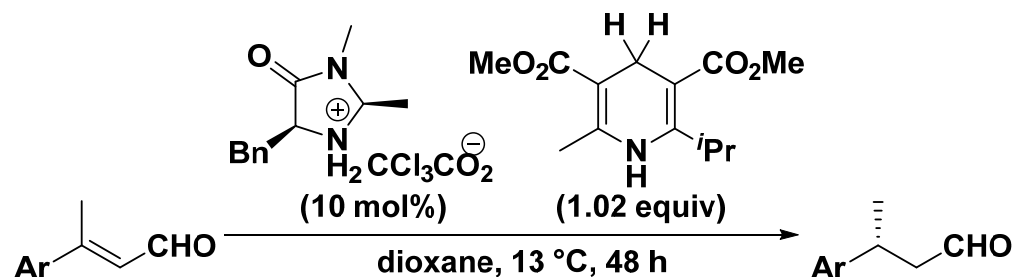
- Key to receiving the free acid in pure form was a thorough washing of CPA with hydrochloric acid after the final step

Asymmetric Transfer Hydrogenation

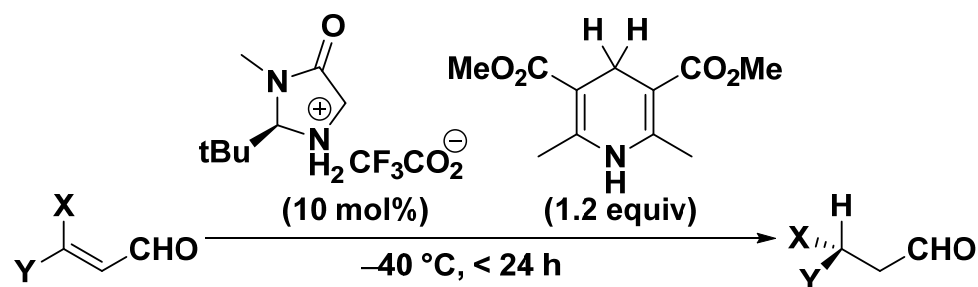
NADH: Nature's Reduction (Hydrogenation)
Reagent (Coenzyme)



List, B. et al. *Angew. Chem. Int. Ed.* **2004**, 43, 6660. Received: August 28, 2004

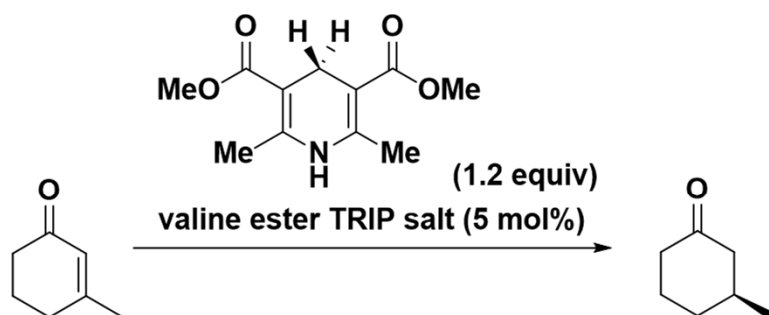
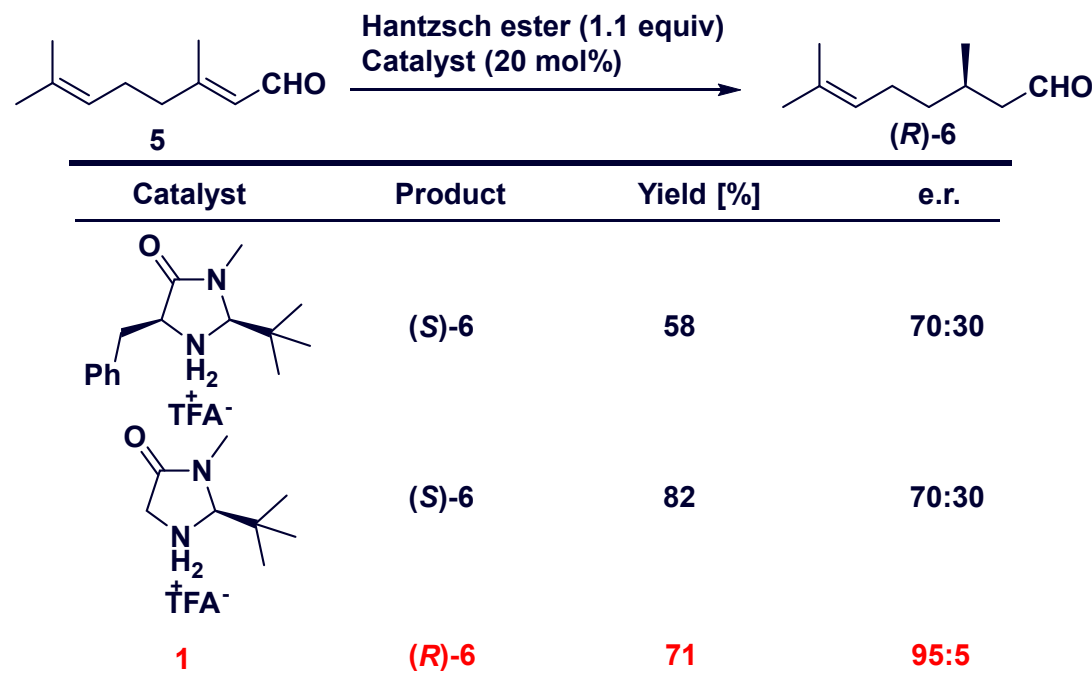
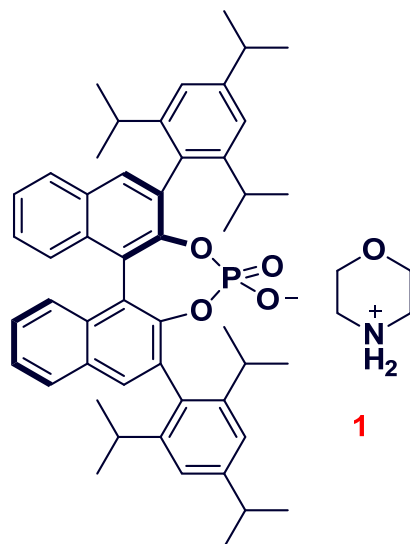
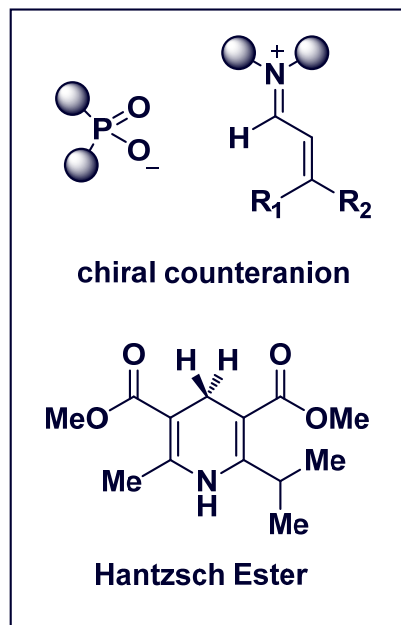


List, B. et al. *Angew. Chem. Int. Ed.* **2005**, 44, 108.
Received: October 26, 2004

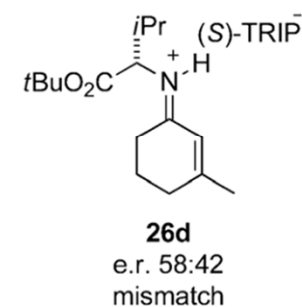
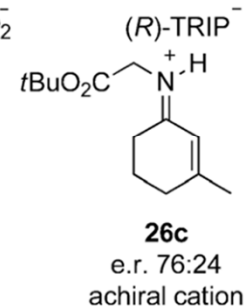
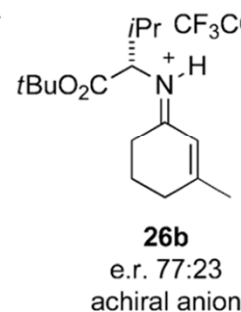
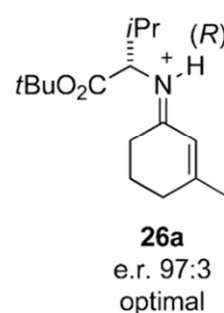


Macmillan, D. W. C. et al. *J. Am. Chem. Soc.* **2005**, 127, 32.
Received: October 10, 2004

List: Asymmetric Counteranion-Directed Catalysis(ACDC)

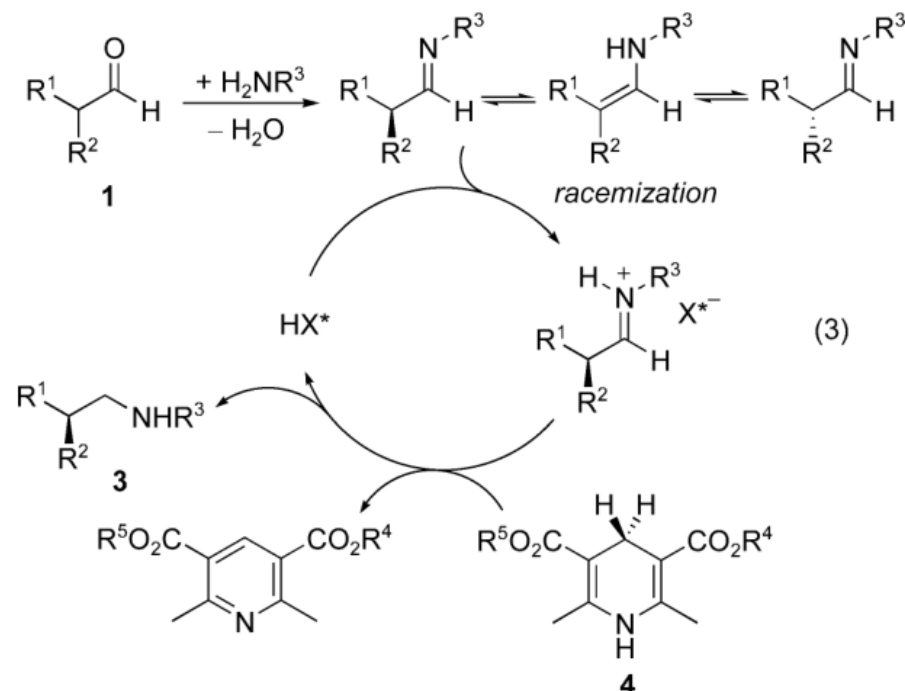
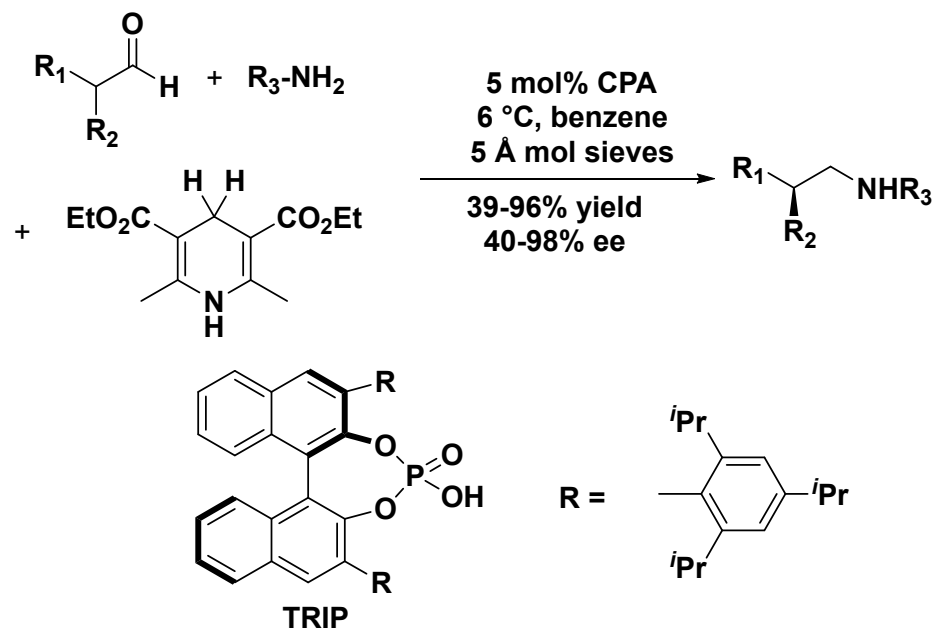


chiral ion-pair intermediates:

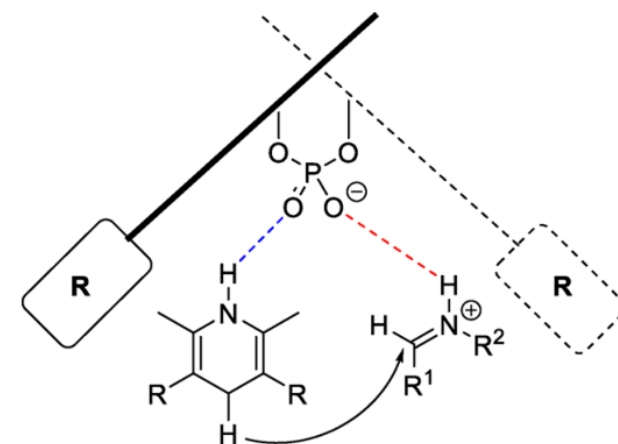
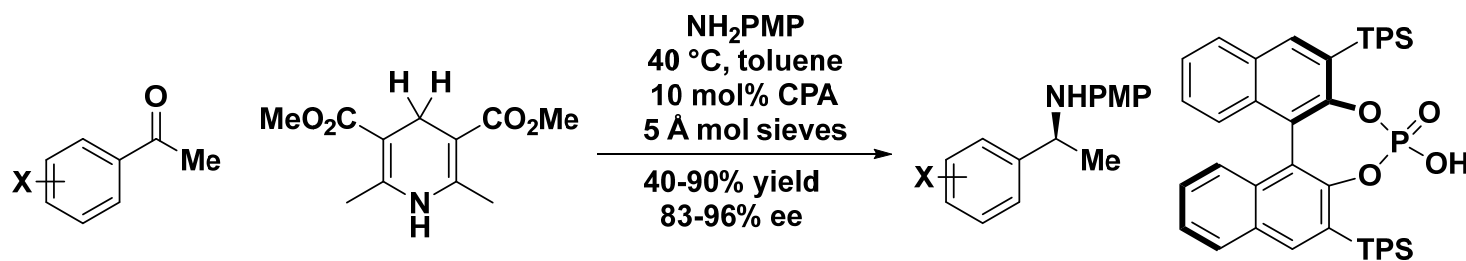


Reductive Amination

➤ Dynamic kinetic resolution via reductive amination by List



Hoffmann, S.; Nicoletti, M.; List, B. *J. Am. Chem. Soc.* **2006**, 128, 13074.



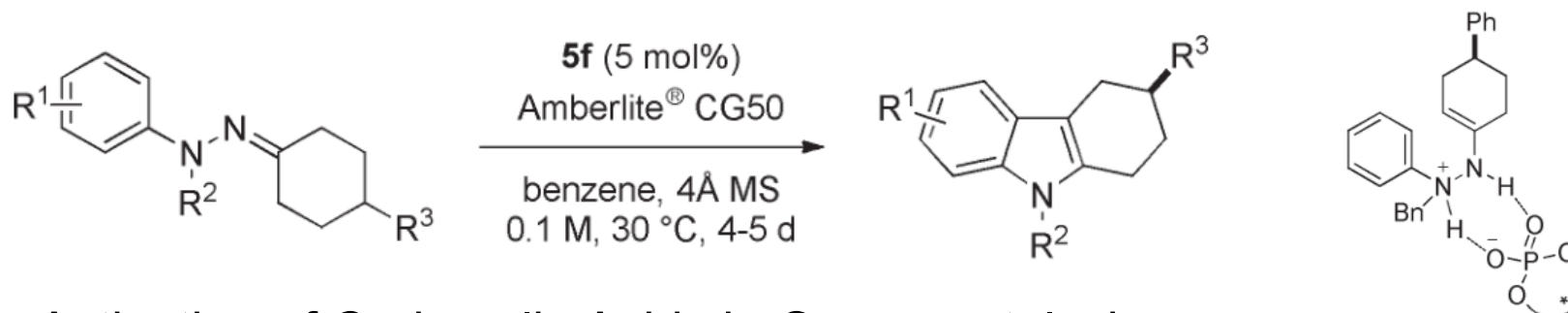
Storer, R. I.; Carrera, D. E.; Ni, Y.; Macmillan, D. W. C. *J. Am. Chem. Soc.* **2006**, 128, 84.

Simón, L.; Goodman, J. M. *J. Am. Chem. Soc.* **2008**, 130, 8741.

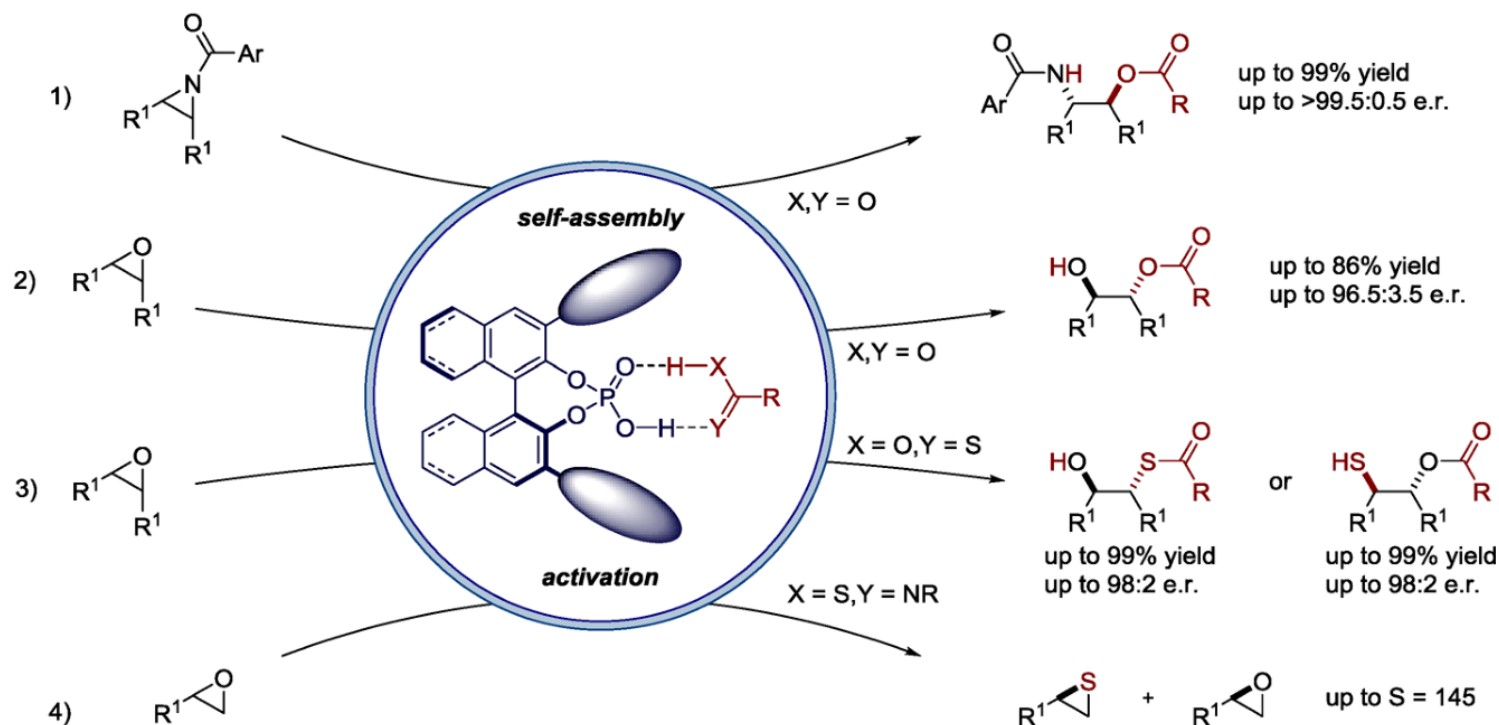
Marcelli, T.; Hammar, P.; Himo, F. *Chem. Eur. J.* **2008**, 14, 8562.

Chiral Phosphoric Acid Catalytic Asymmetric Transformations

➤ Catalytic Asymmetric Fischer Indolization



➤ Activation of Carboxylic Acids in Organocatalysis

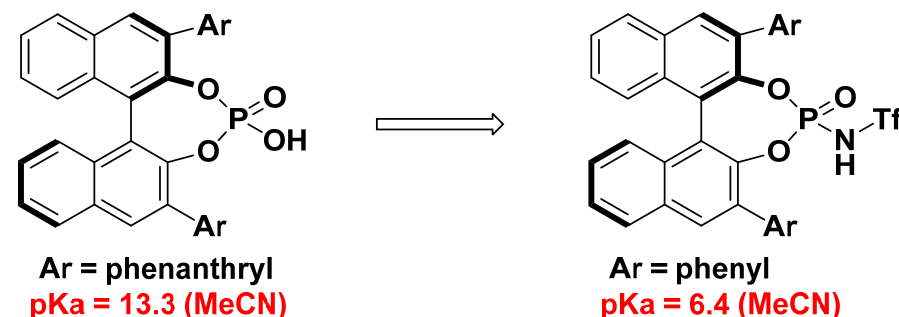


Muller, S.; Webber, M. J.; List, B. *J. Am. Chem. Soc.* **2011**, 133, 18534.

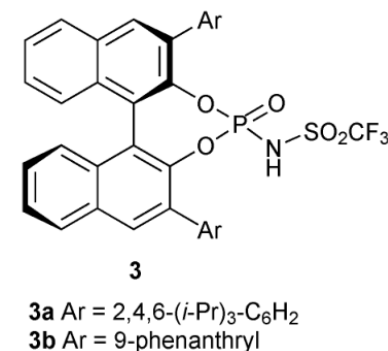
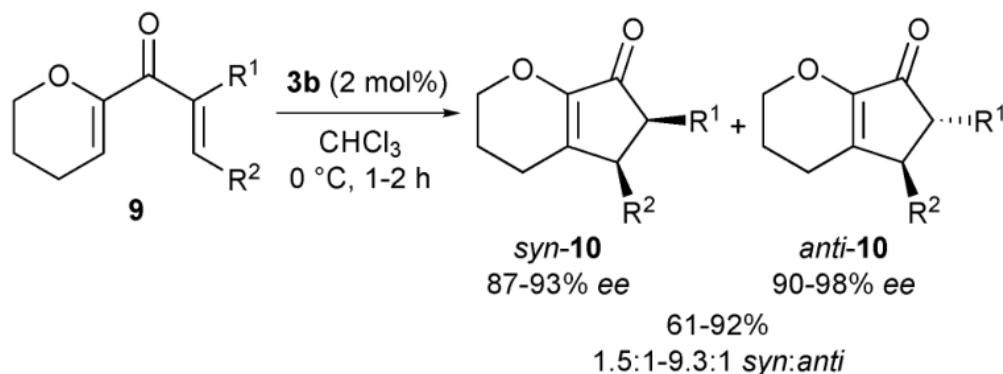
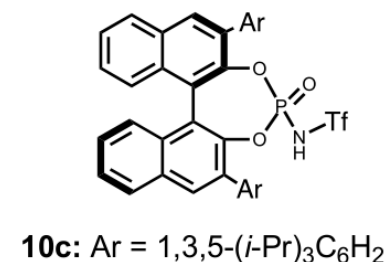
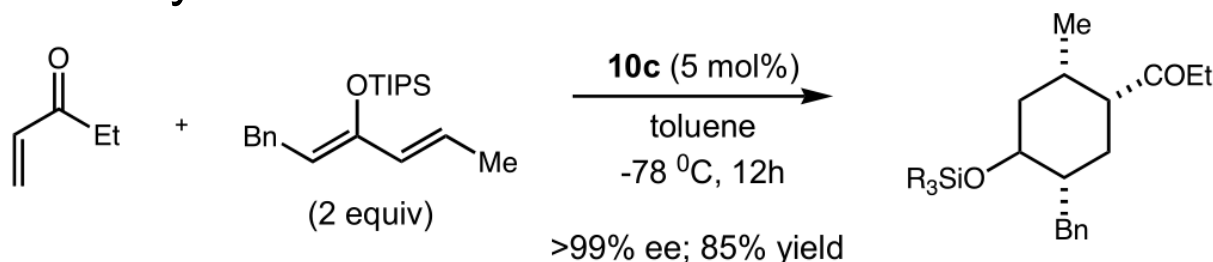
Monaco, M. R.; Fazzi, D.; Tsuji, N.; Leutzsch, M.; Liao, S.; Thiel, W.; List, B. *J. Am. Chem. Soc.* **2016**, 138, 14740.

Chiral Brønsted Acid Catalyst Activates Ketones (The first example)

- Limitation has been the requirement for relatively basic substrates such as imines



- Activation of α,β -unsaturated ketones in an asymmetric Diels–Alder reaction or Nazarov cyclization

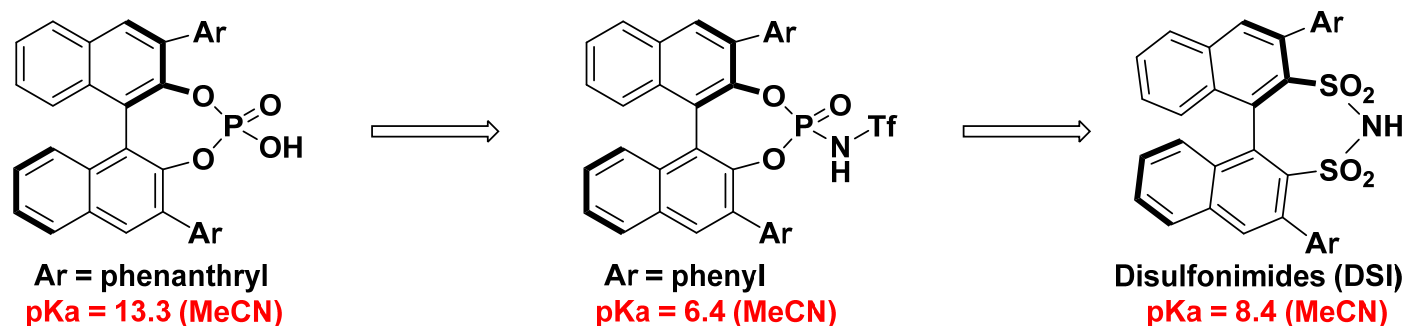


Nakashima, D.; Yamamoto, H. *J. Am. Chem. Soc.* **2006**, 128, 9626.

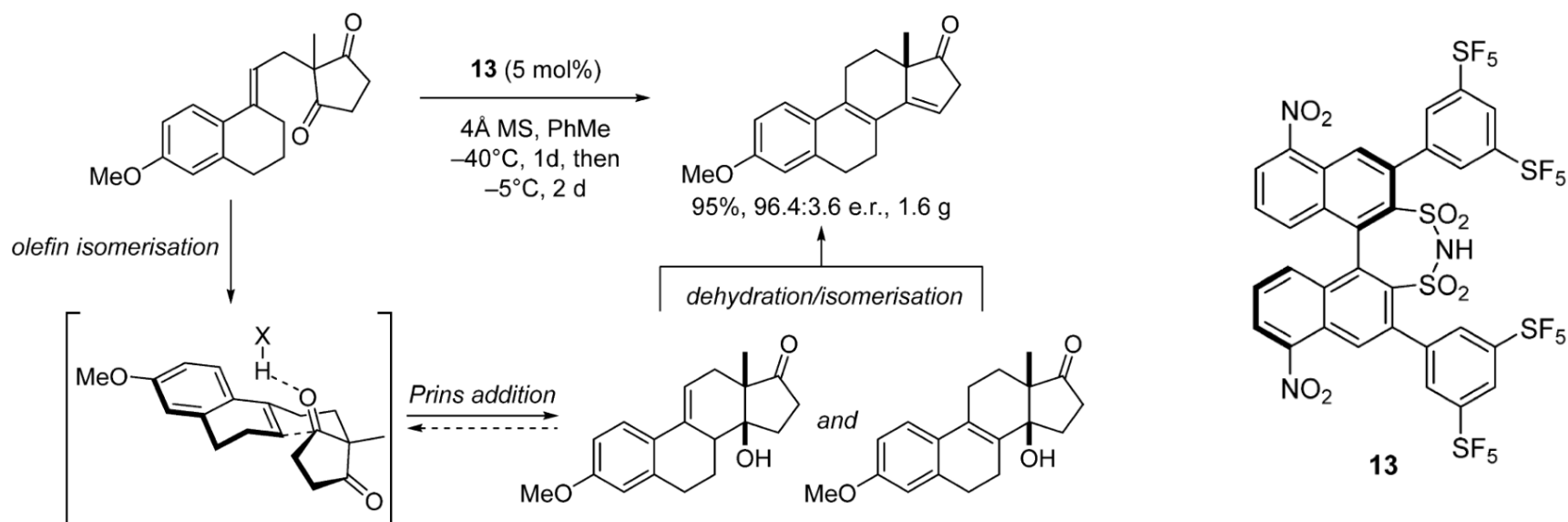
Rueping, M.; leawsuwan, W.; Antonchick, A. P.; Nachtsheim, B. J. *Angew. Chem. Int. Ed.* **2007**, 46, 2097.

Rueping, M.; Kuenkel, A.; Atodiresse, L. *Chem. Soc. Rev.* **2011**, 40, 4539.

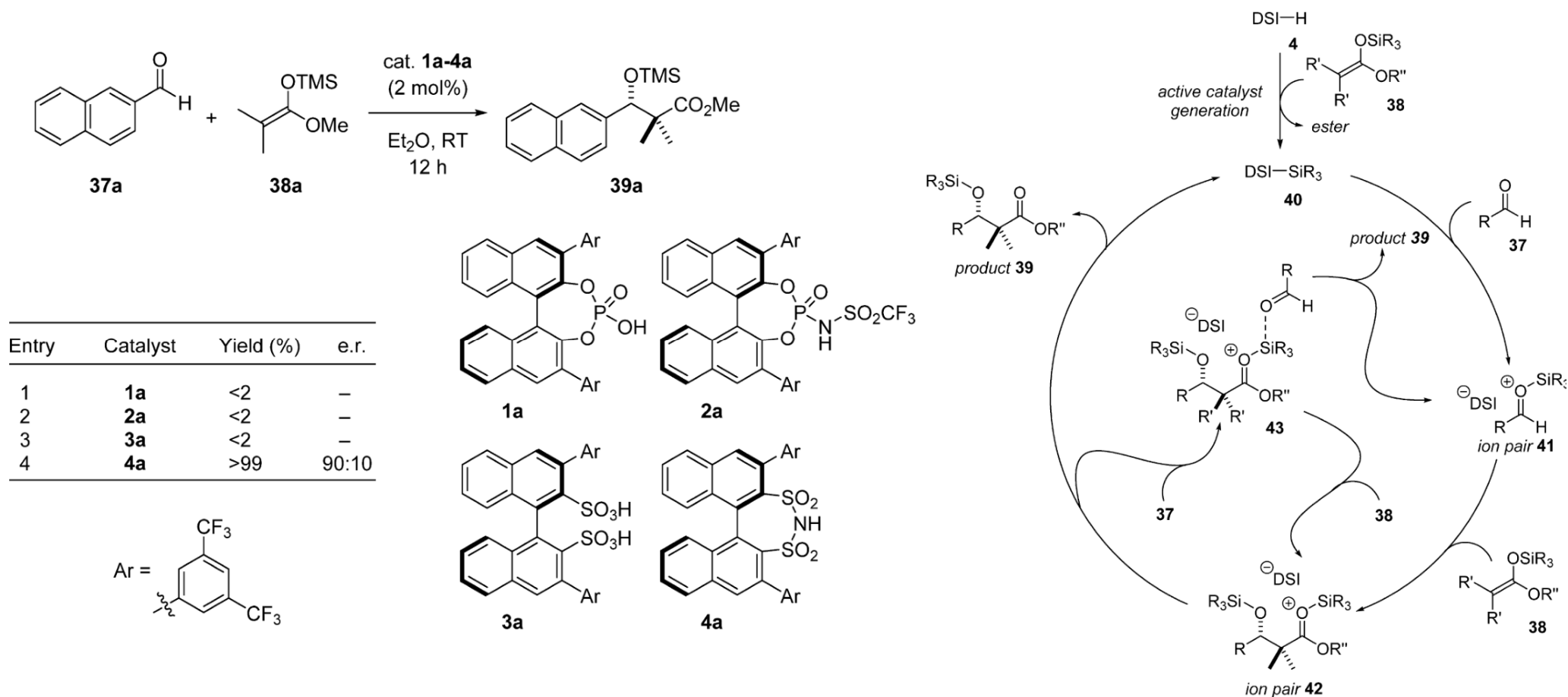
Disulfonimides Catalytic Asymmetric Torgov Cyclization (Brønsted acid catalysis)



- Development of the highly acidic disulfonimide, allowed low-temperature reaction

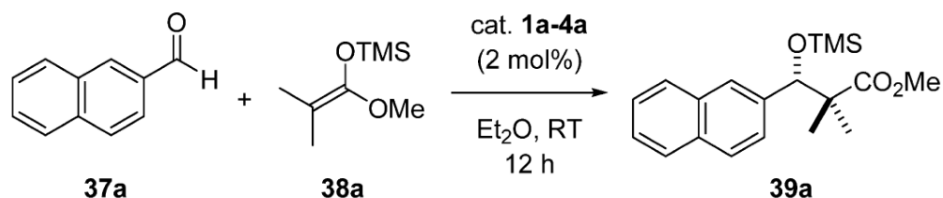


Disulfonimides in Lewis Acid Catalysis

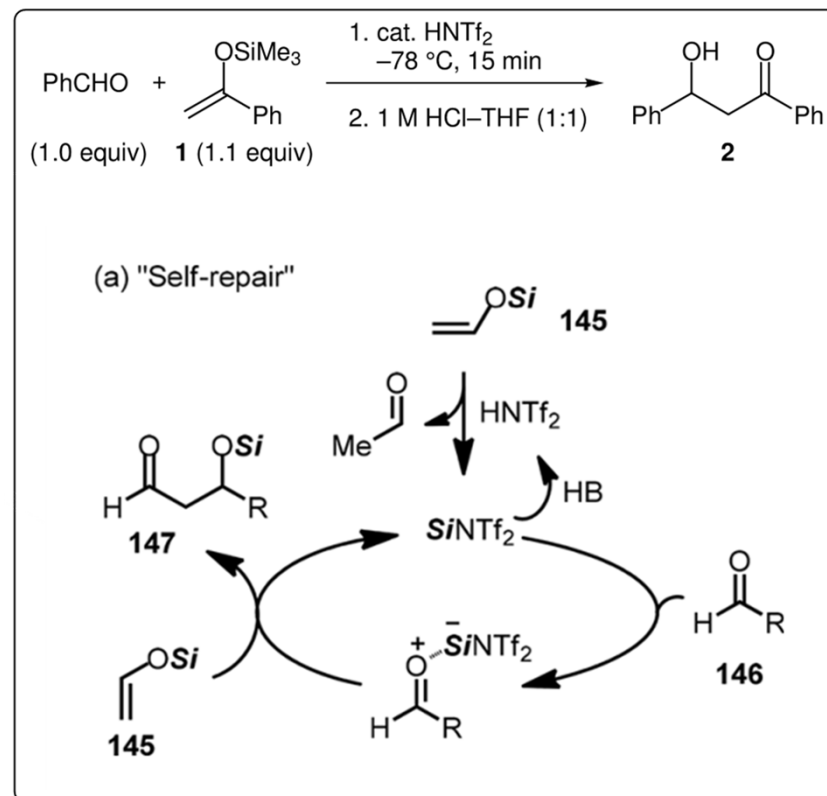
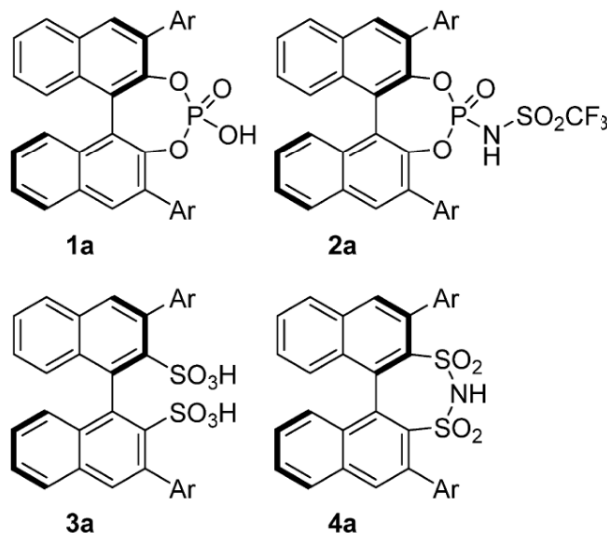
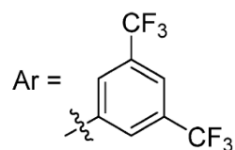


- NMR studies show that silyl ketene acetal 38 readily silylates the disulfonimide 4, validating the initial Lewis acid formation.
- The presence of the Brønsted-basic 2,6-di-tert-butyl-4-methylpyridine was tolerated within this transformation, eliminating any potential Brønsted acid aldehyde activation.

Disulfonimides in Lewis Acid Catalysis

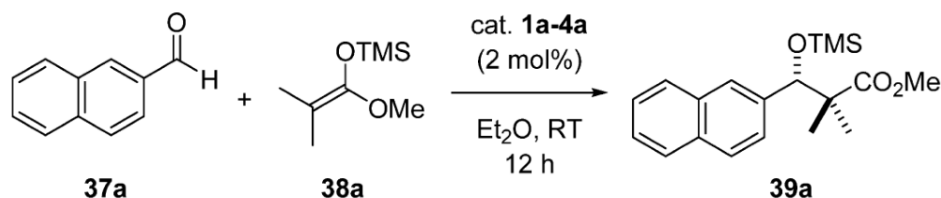


Entry	Catalyst	Yield (%)	e.r.
1	1a	<2	—
2	2a	<2	—
3	3a	<2	—
4	4a	>99	90:10

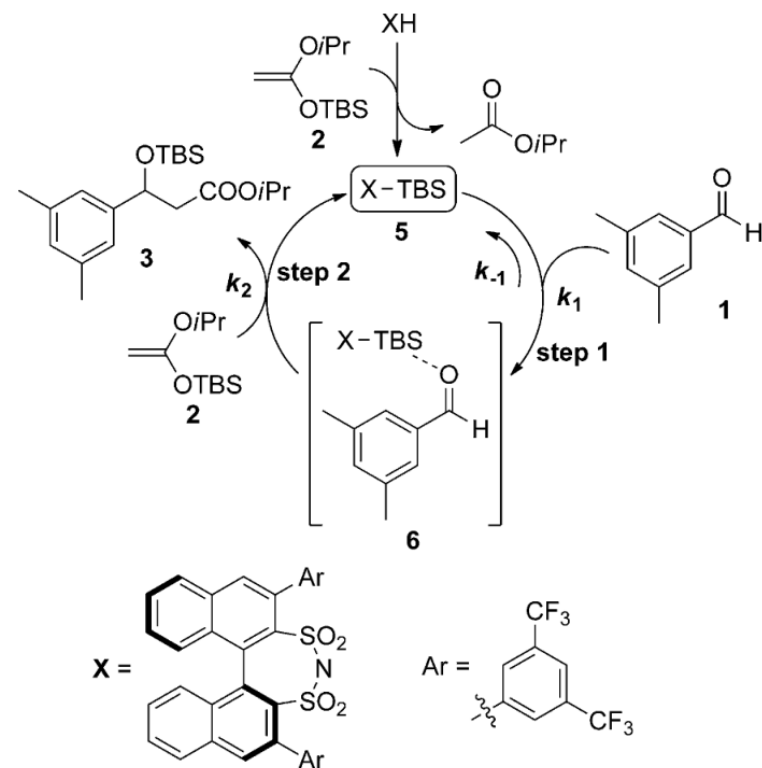
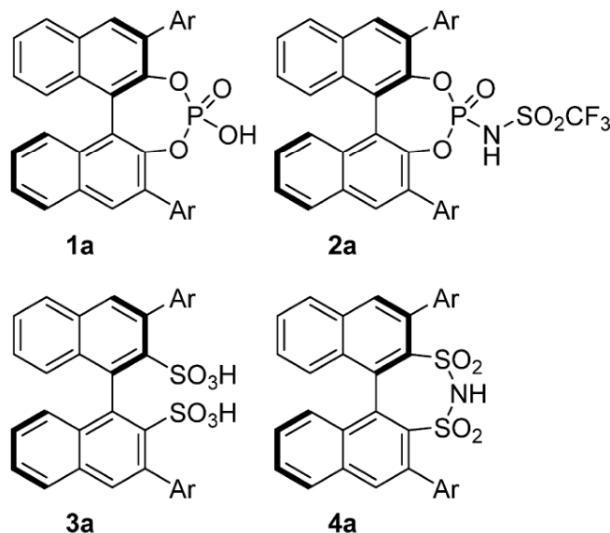
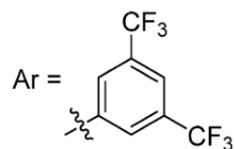


- In 2001, Yamamoto discovered that silyl triflimide (generated in situ) could efficiently catalyze Mukaiyama aldol reaction. (Self-repair mechanism)

Disulfonimides in Lewis Acid Catalysis



Entry	Catalyst	Yield (%)	e.r.
1	1a	<2	—
2	2a	<2	—
3	3a	<2	—
4	4a	>99	90:10

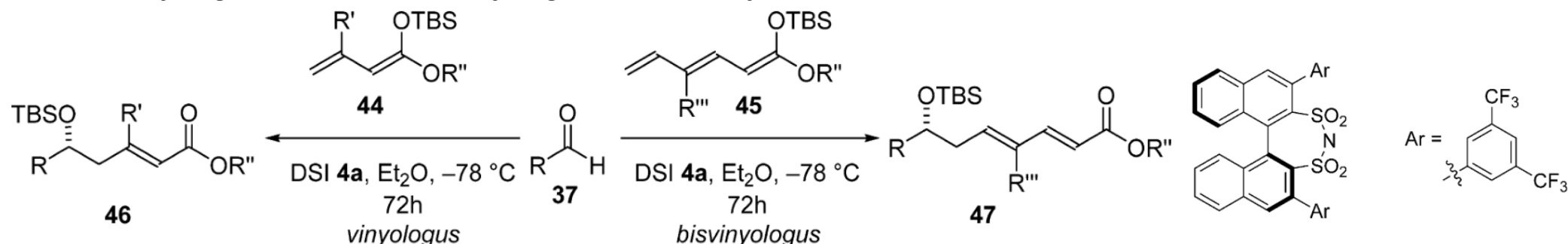


$$\text{rate} = k \cdot [\mathbf{1}]^{0.55} \cdot [\mathbf{2}] \cdot [\mathbf{4}]$$

- The attack of the silyl ketene acetal on the activated oxonium ion is the slowest step of the catalytic cycle
- Aldehyde activation is an equilibrium, the aldehyde concentration can partially influence the rate

Disulfonimide-Catalyzed Asymmetric Transformations

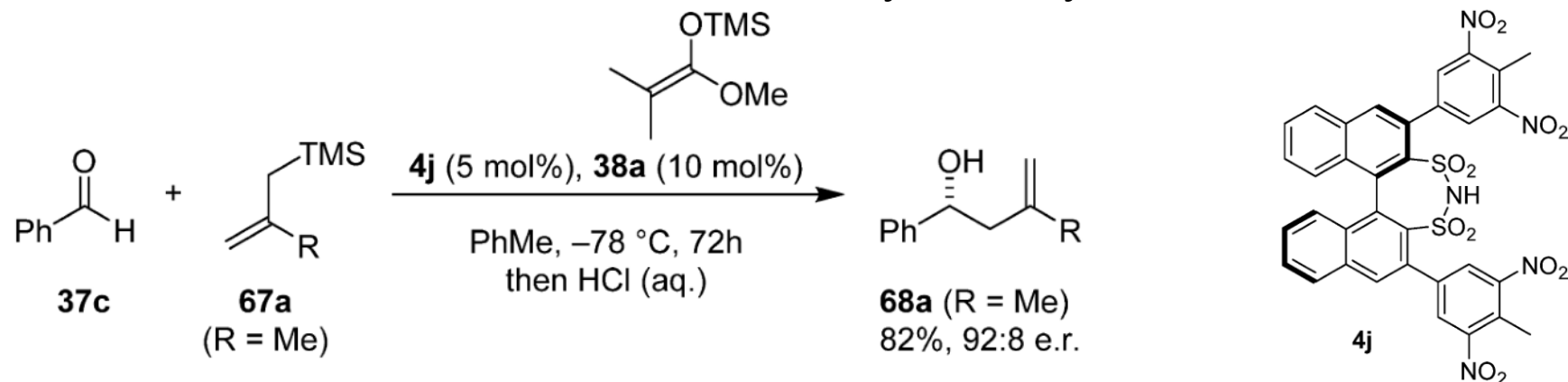
➤ Vinylogous and Bisvinylogous Mukaiyama Aldol Reactions



➤ Formal Hetero-Diels–Alder Reactions



➤ Hosomi–Sakurai Reaction with Methallyltrimethylsilane

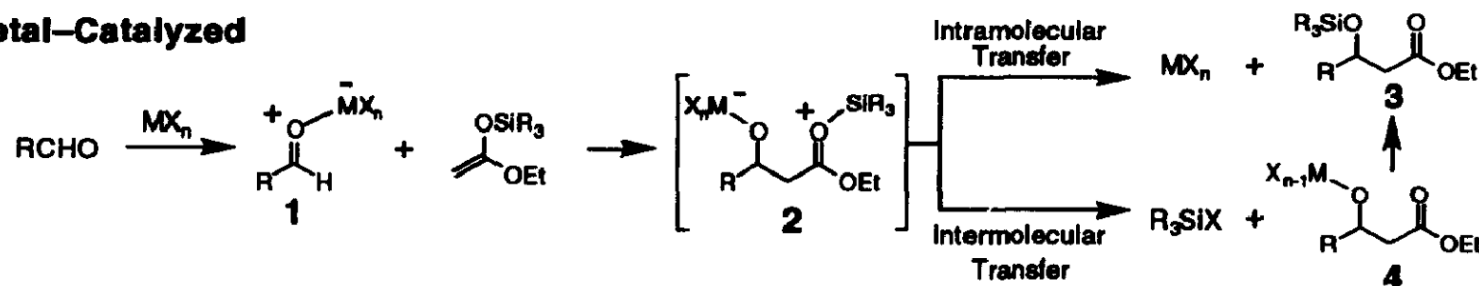


a) Ratjen, L.; García-García, P.; Lay, F.; Beck, M. E.; List, B. *Angew. Chem., Int. Ed.* **2011**, 50, 754. b) Guin, J.; Rabalakos, C.; List, B. *Angew. Chem., Int. Ed.* **2012**, 51, 8859. c) Mahlau, M.; García-García, P.; List, B. *Chem. Eur. J.* **2012**, 18, 16283. d) James, T.; van Gemmeren, M.; List, B. *Chem. Rev.* **2015**, 115, 9388.

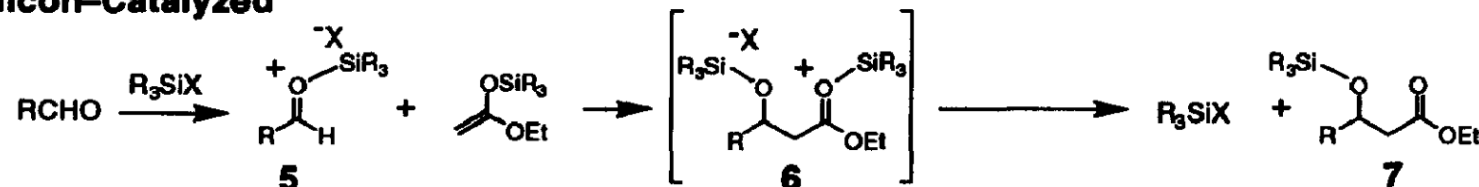
Challenges in Asymmetric Mukaiyama Aldol Reactions

- Open transition model
- Metal-based catalyst: require high to very high catalyst loadings (often 20%) due to the competition with a nonenantioselective silylium ion background catalysis pathway.

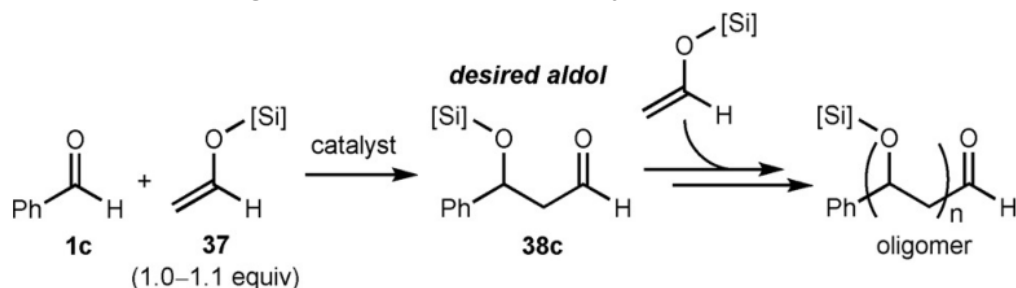
Metal-Catalyzed



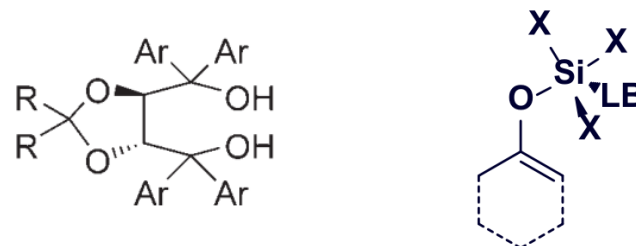
Silicon-Catalyzed



- Inability to discriminate between the starting acetaldehyde acceptor and the product aldehyde, thus leading to extensive polymerization



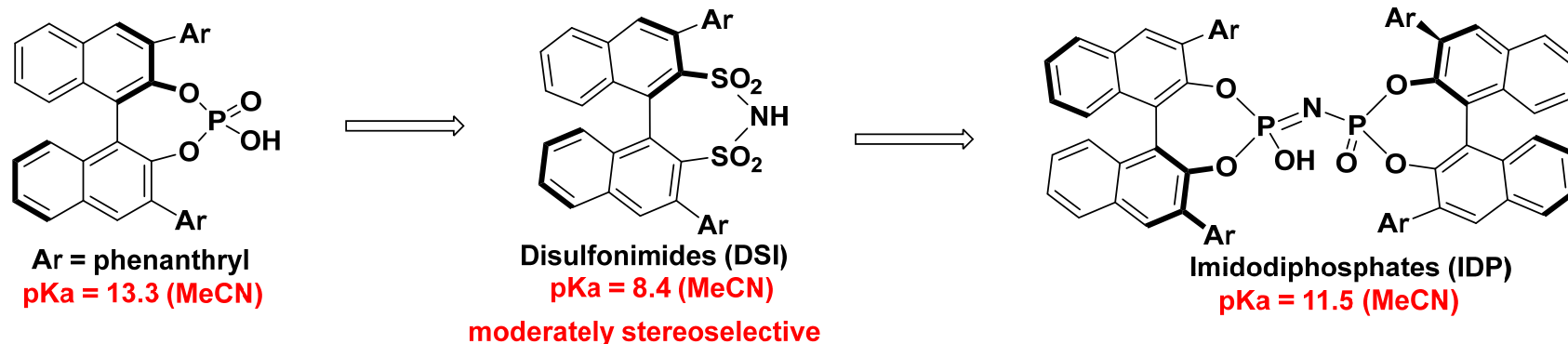
- Lewis bases catalysis(Denmark), chiral diols(Rawal): high catalyst loadings and highly activated substrates



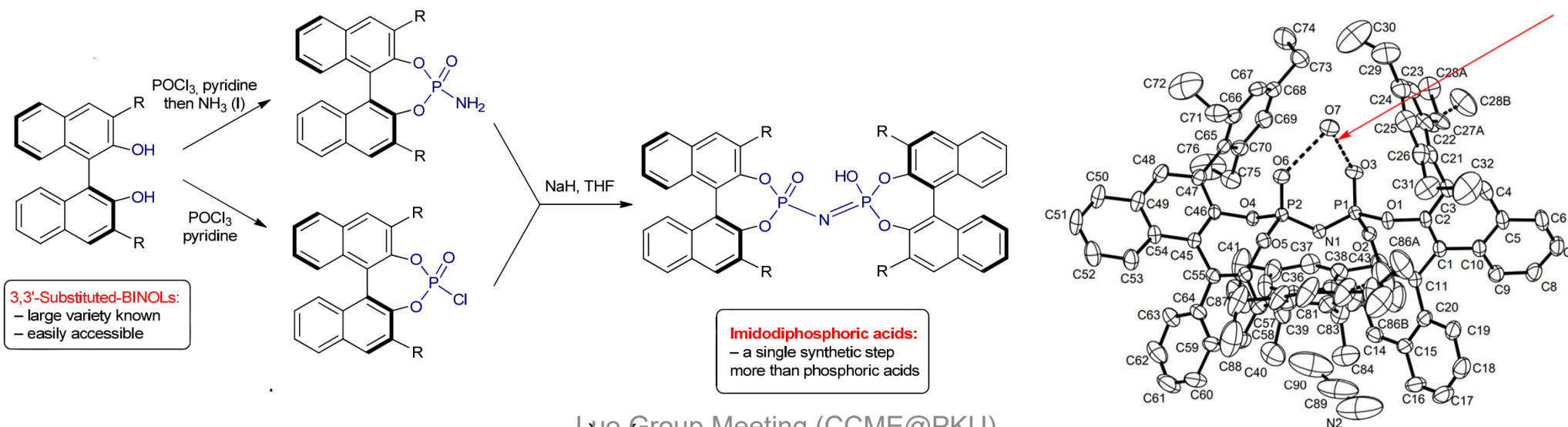
a) Mukaiyama, T.; Narasaka, K.; Banno, K. *Chem. Lett.* **1973**, 1011. b) Carreira, E. M.; Singer, R. A. *Tetrahedron, Lett.* **1994**, 35, 4323. c) Denmark, S. E.; Wynn, T.; Beutner, G. L. *J. Am. Chem. Soc.* **2002**, 124, 13405. d) McGilvra, J. D.; Unni, A. K.; Modi, K.; Rawal, V. H. *Angew. Chem. Int. Ed.* **2006**, 45, 6130.

Imidodiphosphate(IDP) Catalyst

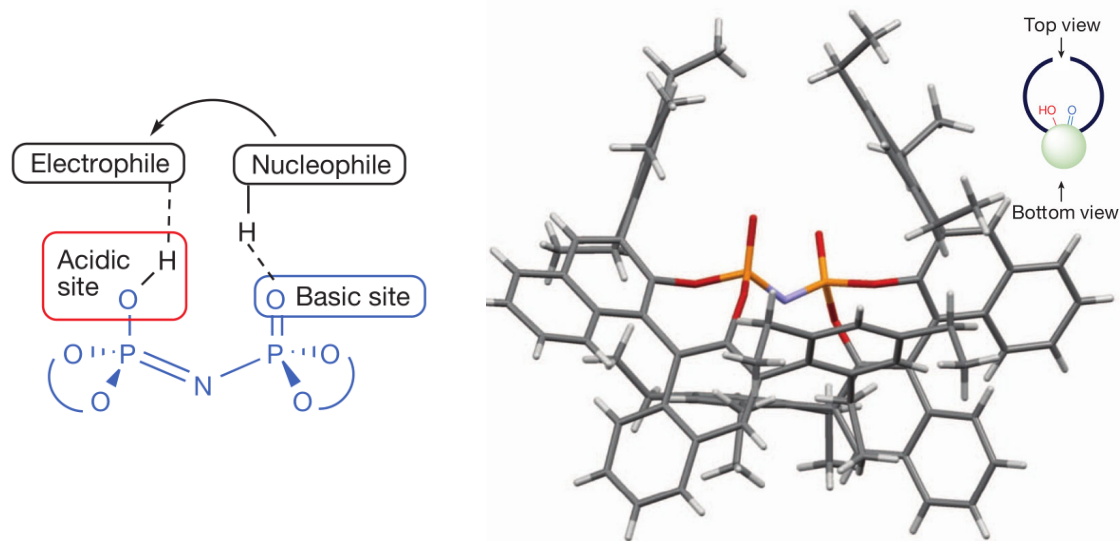
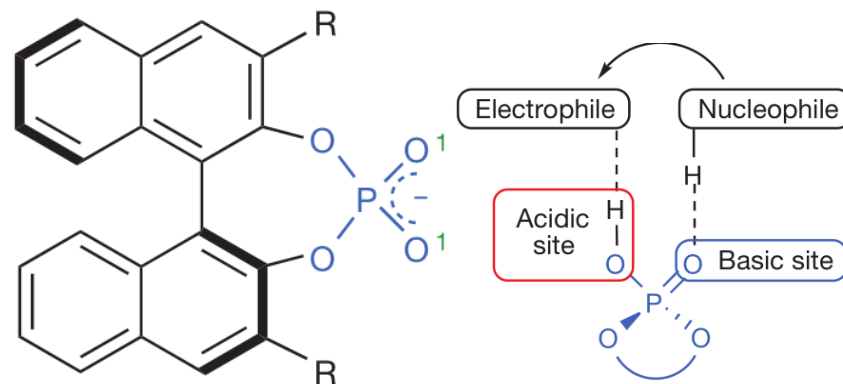
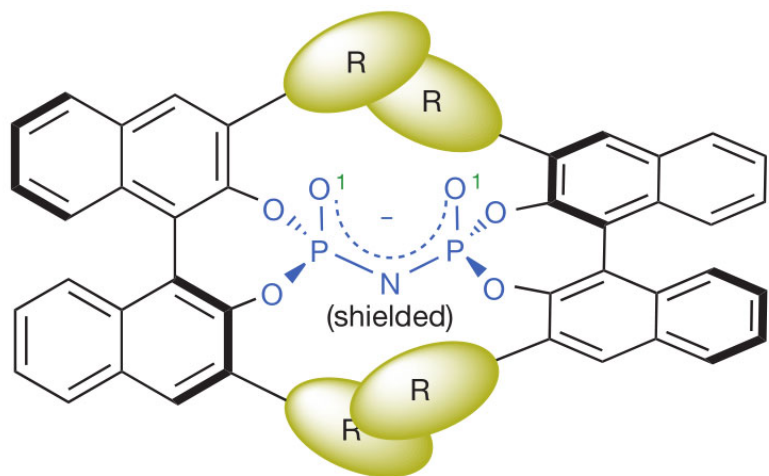
- Difficult to further modify steric environment of CPA: 3,3-substituents on BINOL radiate away from the active site



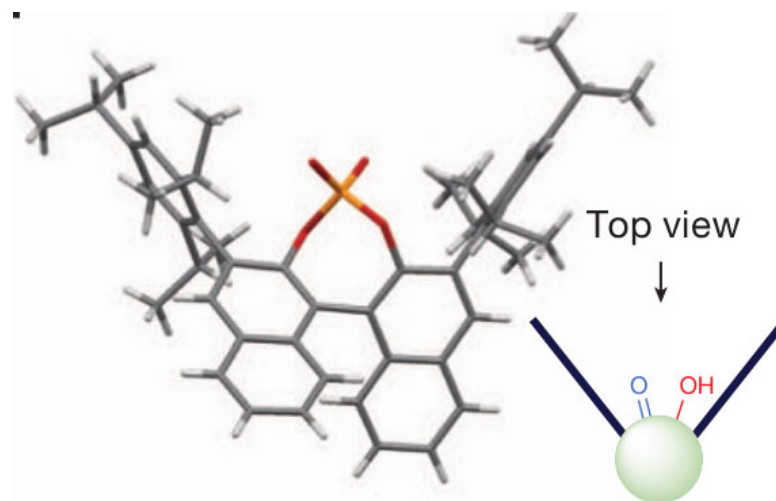
- Imidodiphosphoric acid co-crystallized with a molecule of water, are consistent with a hydronium ion bridging the two imidodiphosphate oxygen atoms, which supports proton location on oxygen rather than on nitrogen.



Features of Imidodiphosphate Catalyst



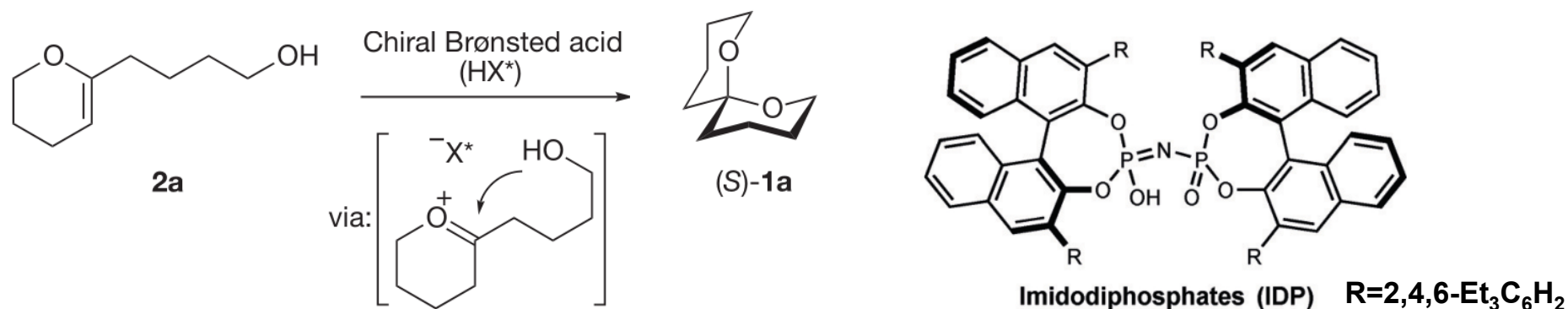
A chiral pocket



Broad open cone

- The interlocking of BINOL-subunits are unable to freely rotate and the resulting molecular structure possesses a very high rigidity.
- Anion is C2 -symmetric, and has therefore only a single type of catalytically relevant Brønsted basic site

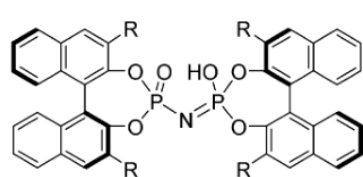
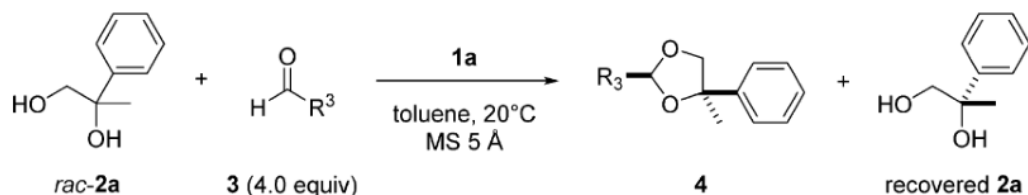
Asymmetric Spiroacetalization (Brønsted acid catalysis)



8		6a (5 mol%), -35 °C, MTBE		83%	d.r. 5:1, non-thermodynamic spiroacetal (thermodynamic d.r. 1:124)
9				89%	d.r. 65:1
10		6a (5 mol%), -35 °C, MTBE		70%	d.r. 23:1, non-thermodynamic spiroacetal (thermodynamic d.r. 1:9)
11				70%	d.r. 50:1

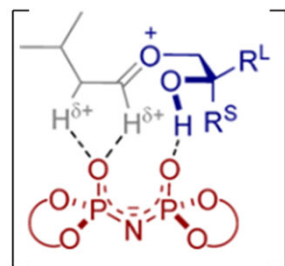
Activation of Oxocarbenium Ion (Brønsted acid catalysis)

➤ Resolution of Diols



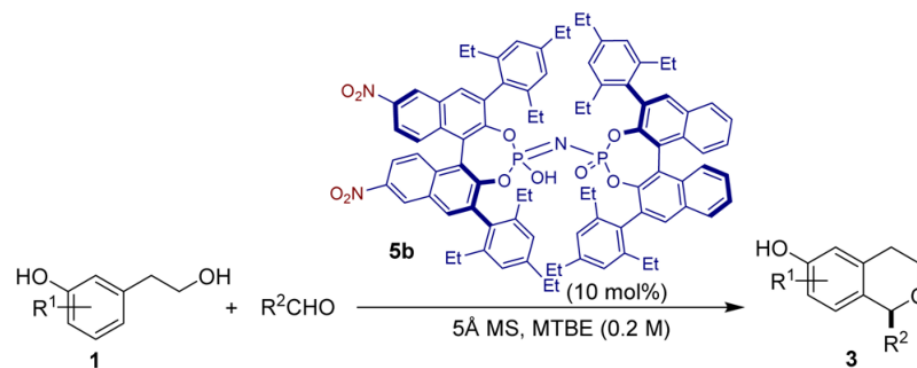
1a, R = 2-Pr-5-MeC₆H₃

1b, R = 2-Cy-5-MeC₆H₃



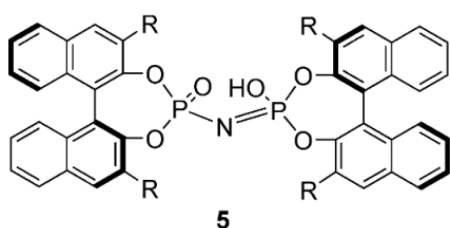
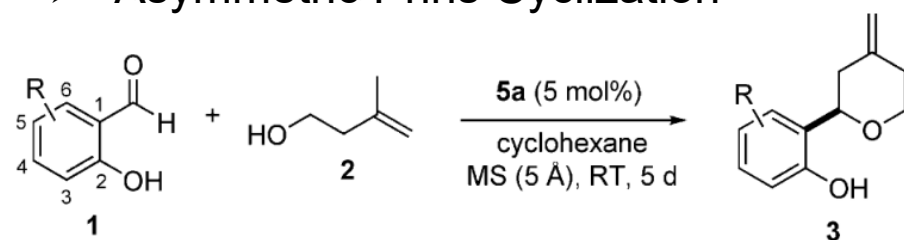
Kim, J. H.; Coric, I.; Palumbo, C.; List, B.
J. Am. Chem. Soc. **2015**, *137*, 1778.

➤ Asymmetric Oxa-Pictet–Spengler Reaction

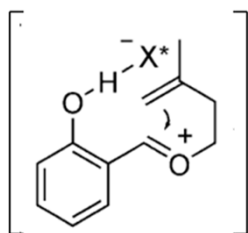
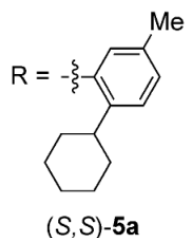


Das, S.; Liu, L.; Zheng, Y.; Alachraf, M. W.; Thiel, W.; De, C. K.; List, B.
J. Am. Chem. Soc. **2016**, *138*, 9429.

➤ Asymmetric Prins Cyclization

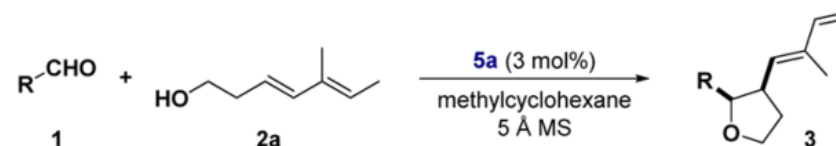


5



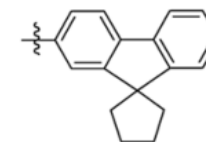
Tsui, G. C.; Liu, L.; List, B.
Angew. Chem., Int. Ed. **2015**, *54*, 7703.

➤ Asymmetric Vinylogous Prins Cyclization



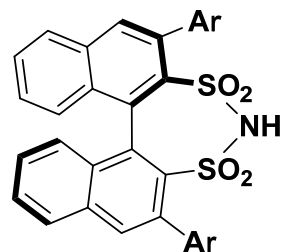
R = alkyl
Tf = triflyl

Ar =



Xie, Y.; Cheng, G. J.; Lee, S.; Kaib, P. S.; Thiel, W.; List, B.
J. Am. Chem. Soc. **2016**, *138*, 14538.

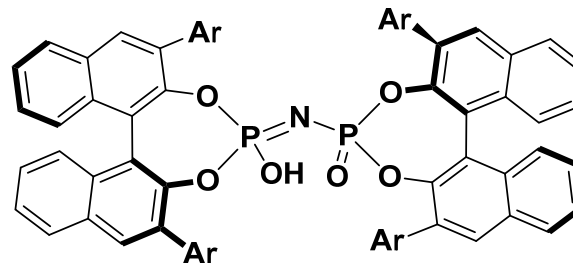
Imidodiphorimidates(IDPi) Catalyst



Disulfonimides (DSI)

pKa = 8.4 (MeCN)

highly active Bronsted acids
active in Lewis acid catalysis
moderately stereoselective



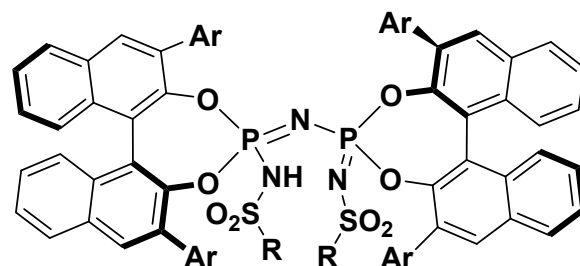
Imidodiphosphates (IDP)

pKa = 11.5 (MeCN)

moderately active Bronsted acids
inactive in Lewis acid catalysis
highly stereoselective

reactivity

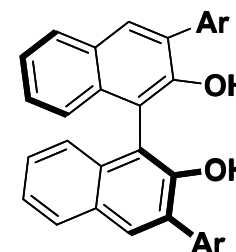
selectivity



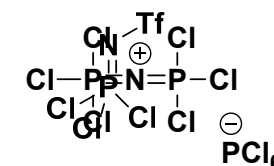
Imidodiphorimidates (IDPi)

pKa = 4.5 to <2.0 (MeCN)

highly active Bronsted acids
active in Lewis acid catalysis
highly stereoselective



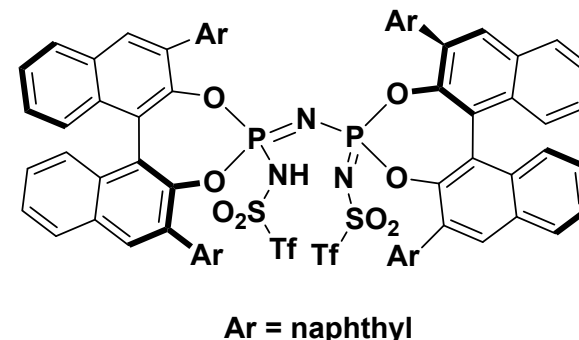
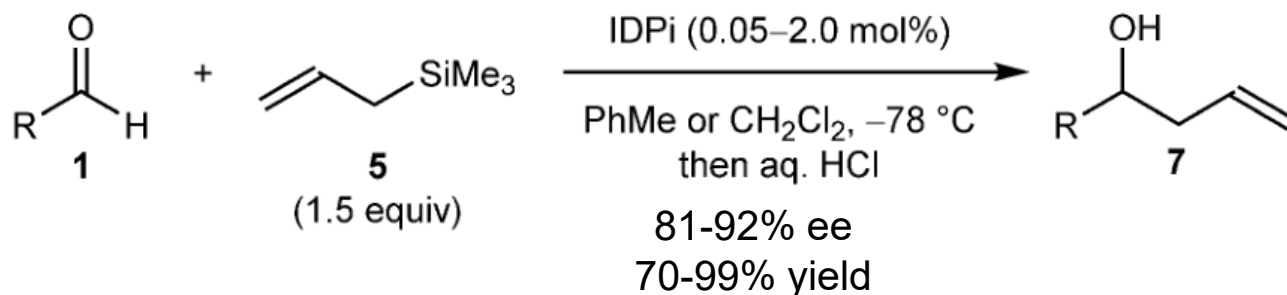
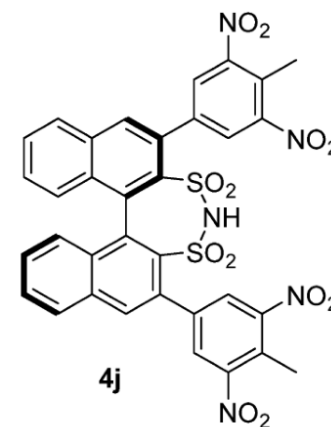
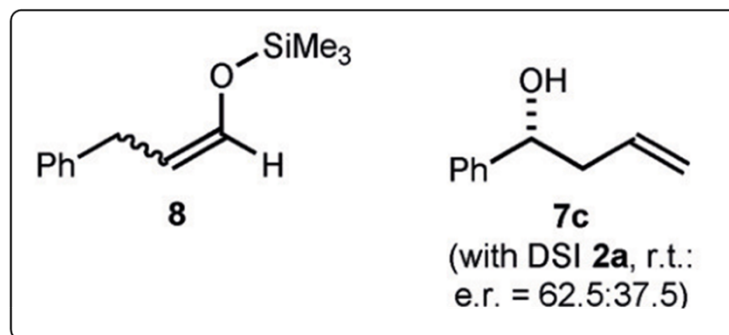
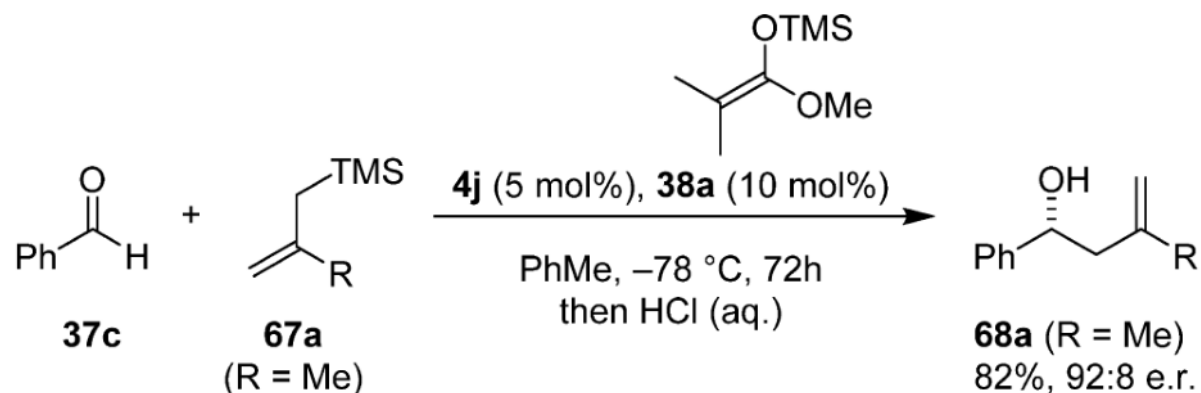
+



RT, 1 h
then TMDs
toluene, TEA
4.6, 4.20°C

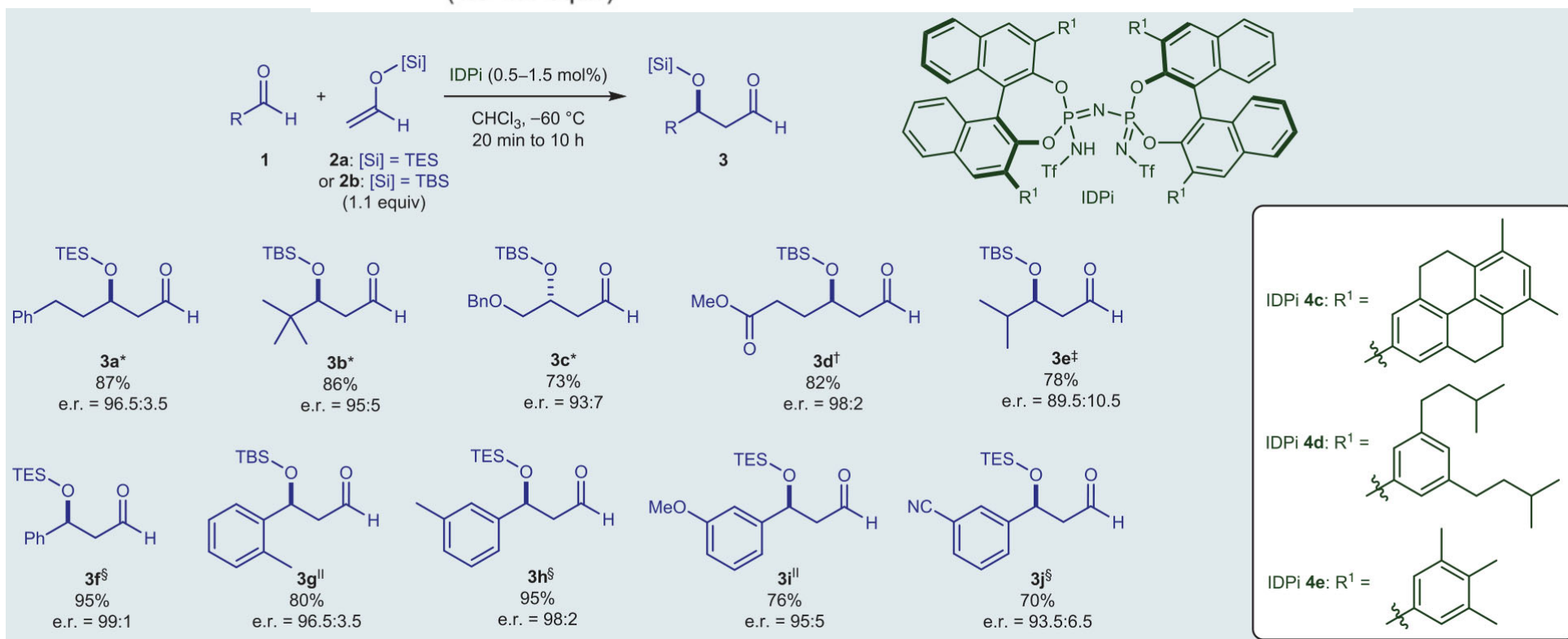
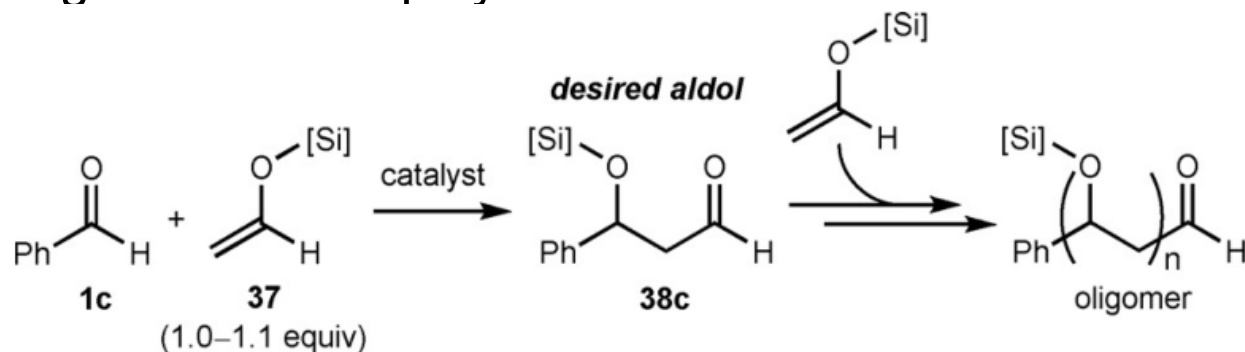
20%

Hosomi-Sakurai Reaction with Allyltrimethylsilane (Lewis acid catalysis)



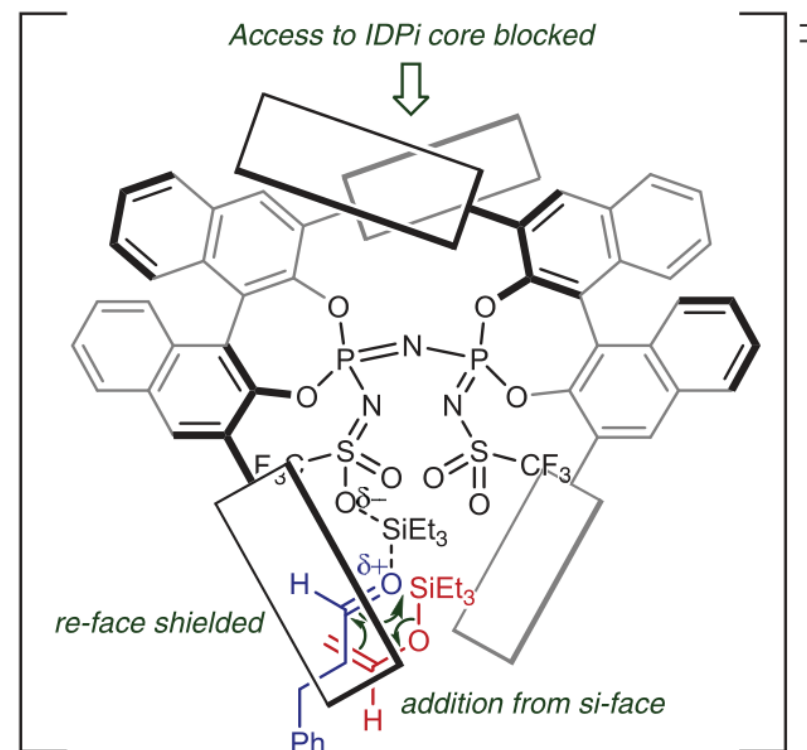
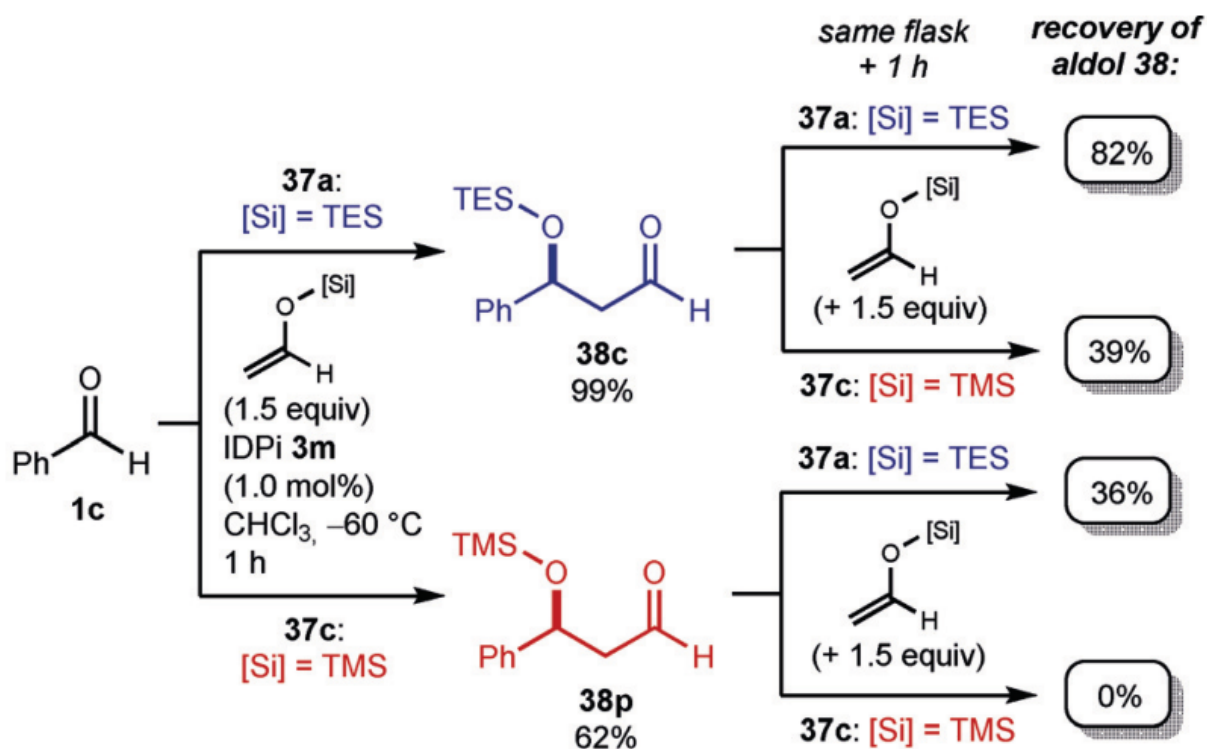
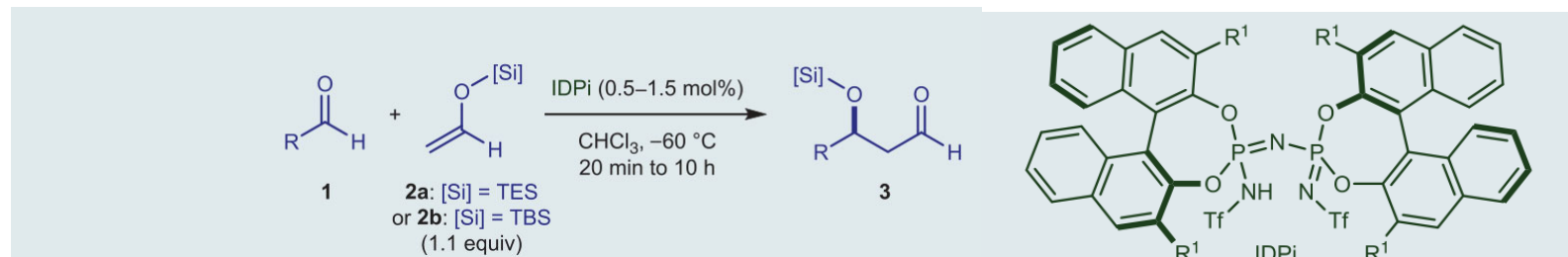
Asymmetric Single Aldolizations of Acetaldehyde Enolates

- Inability to discriminate between the starting acetaldehyde acceptor and the product aldehyde, thus leading to extensive polymerization

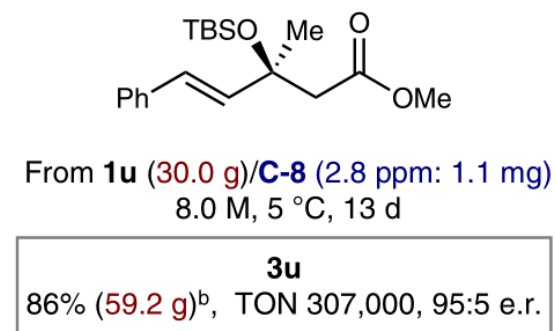
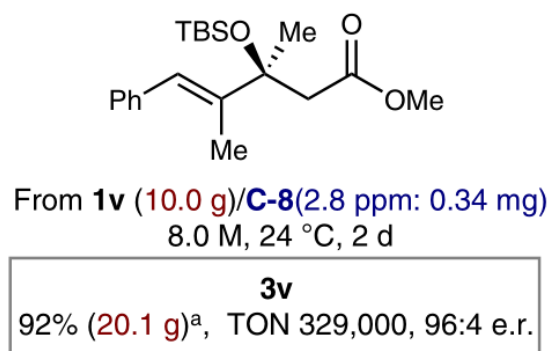
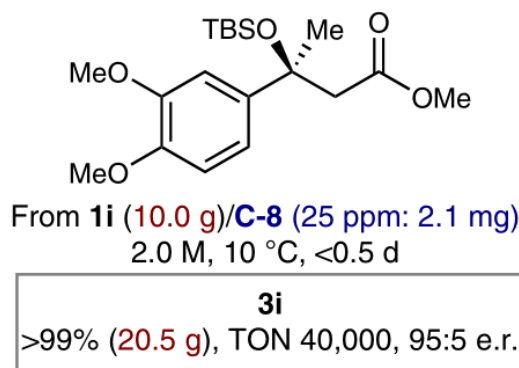
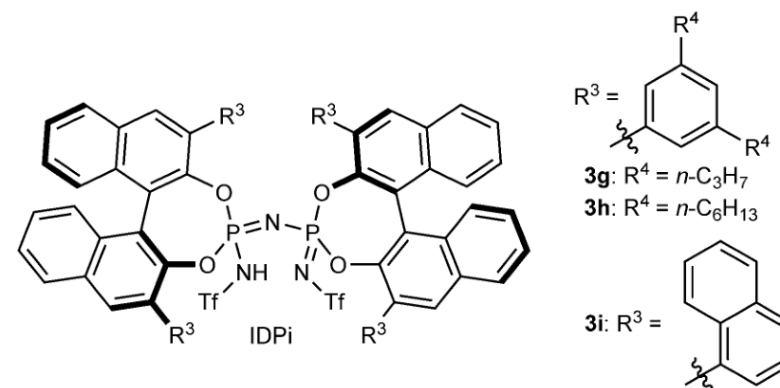
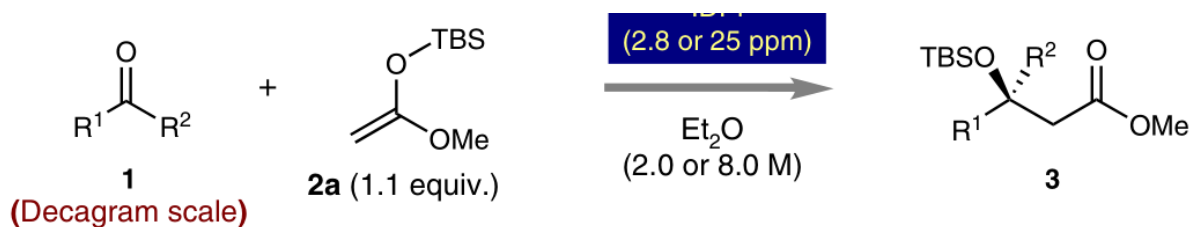
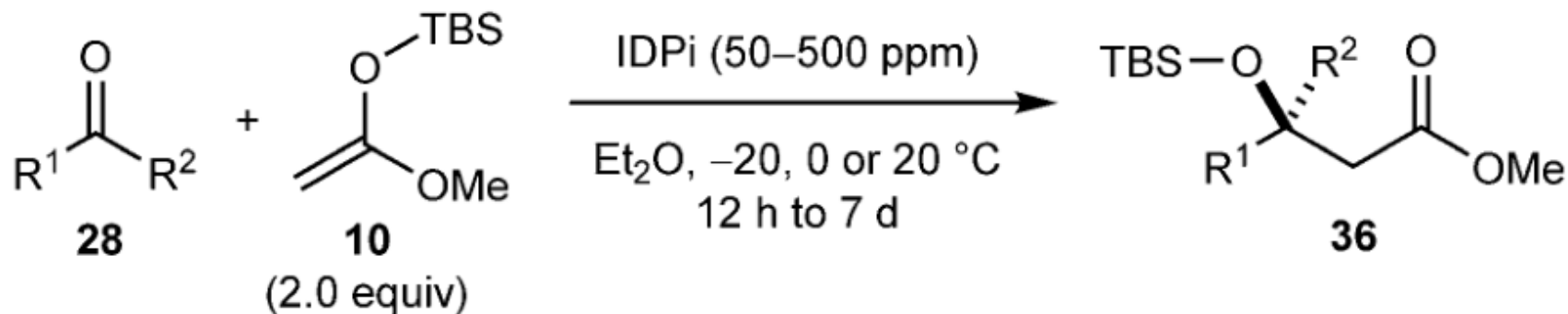


Asymmetric Single Aldolizations of Acetaldehyde Enolates

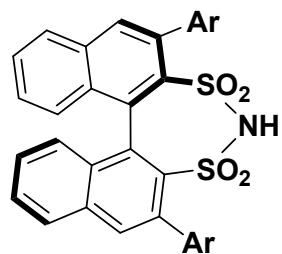
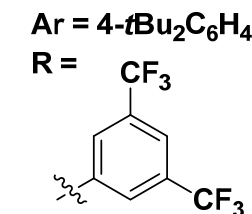
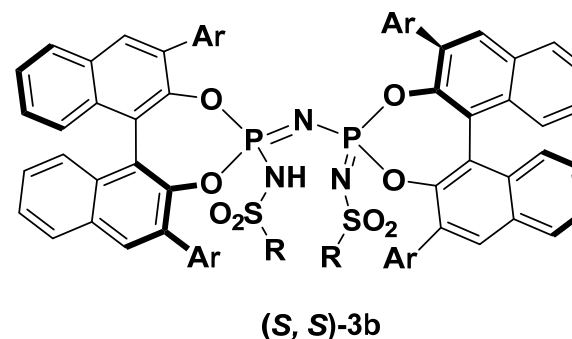
- The steric bulk of the silyl group greatly affected reaction



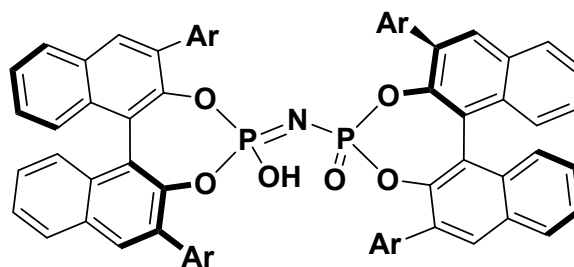
Sub-ppm-level Asymmetric Organocatalysis



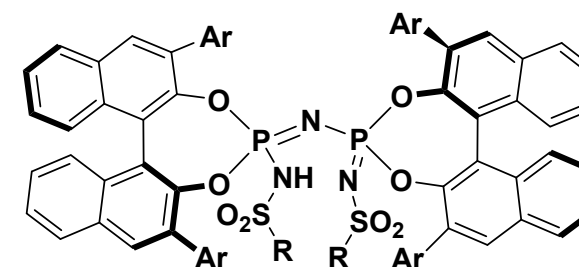
Intramolecular Hydroalkoxylation of Simple Olefins (Brønsted acid catalysis)



Disulfonimides (DSI)
 Ar = 3,5-(CF₃)₂C₆H₃
 55% yield
 7% ee



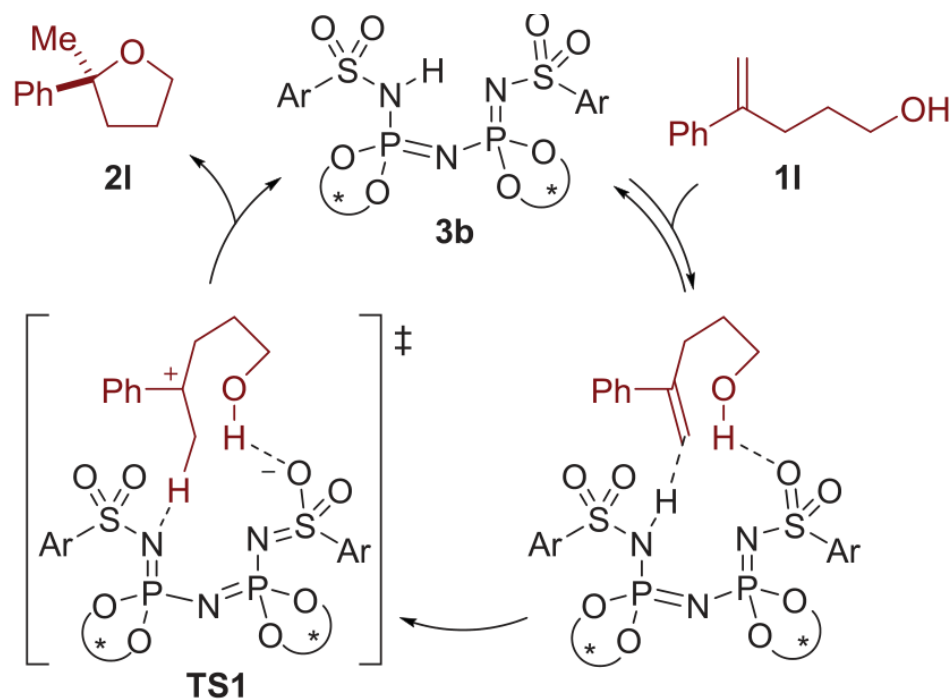
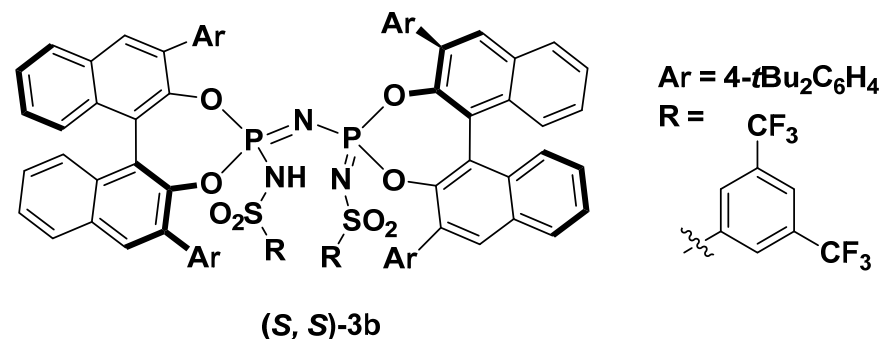
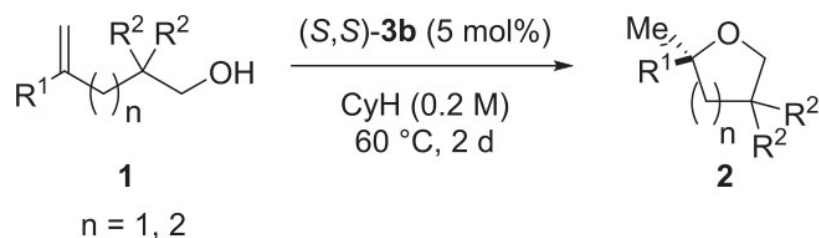
Imidodiphosphates (IDP)
 Ar = 2,4,6-Et₃C₆H₂
 N. D.



Ar = 4-*t*Bu₂C₆H₄
 R = CF₃
 84% yield
 71% ee

Ar = 4-*t*Bu₂C₆H₄
 R =
 91% yield
 95% ee

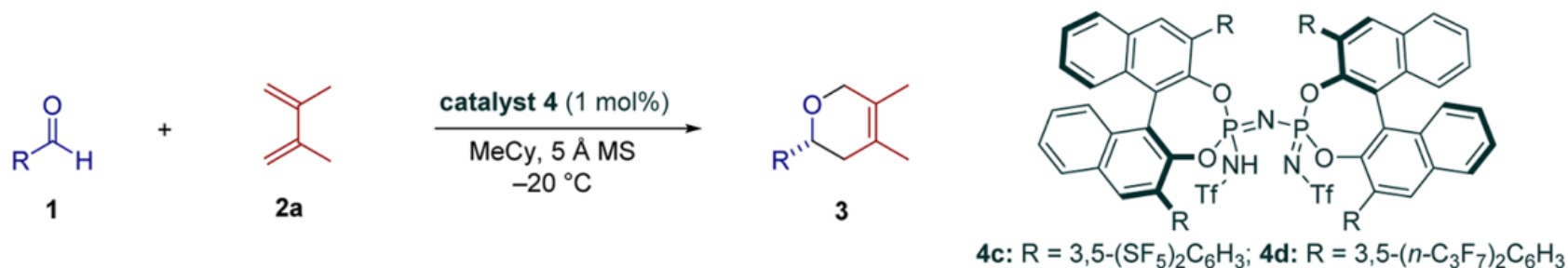
Intramolecular Hydroalkoxylation of Simple Olefins (Brønsted acid catalysis)



- DFT studies gave an asynchronous concerted mechanism (protonation of the olefin, followed by the C-O bond).
- Hammett analysis gave a linear correlation with a negative slope ($r = -2.08 \pm 0.04$), consistent with the proposed carbocationic intermediate in the transition state.

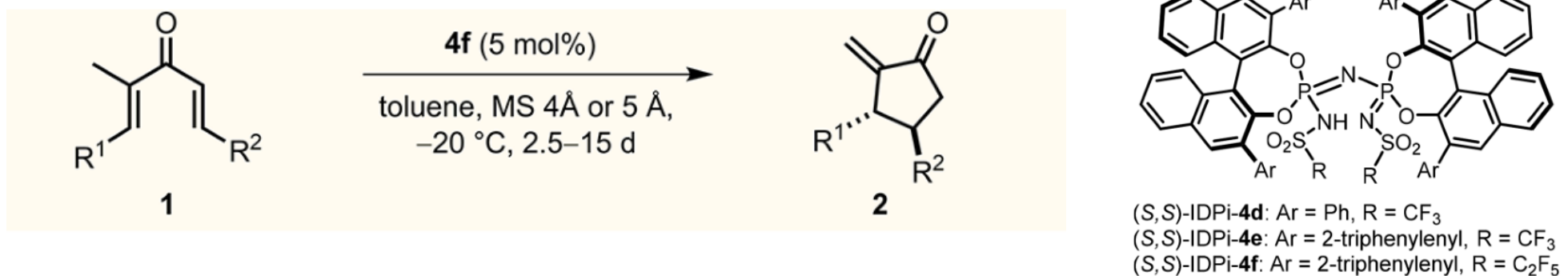
Activation of Ketones (Brønsted acid catalysis)

- Asymmetric [4+2]-Cycloaddition of Dienes with Aldehydes

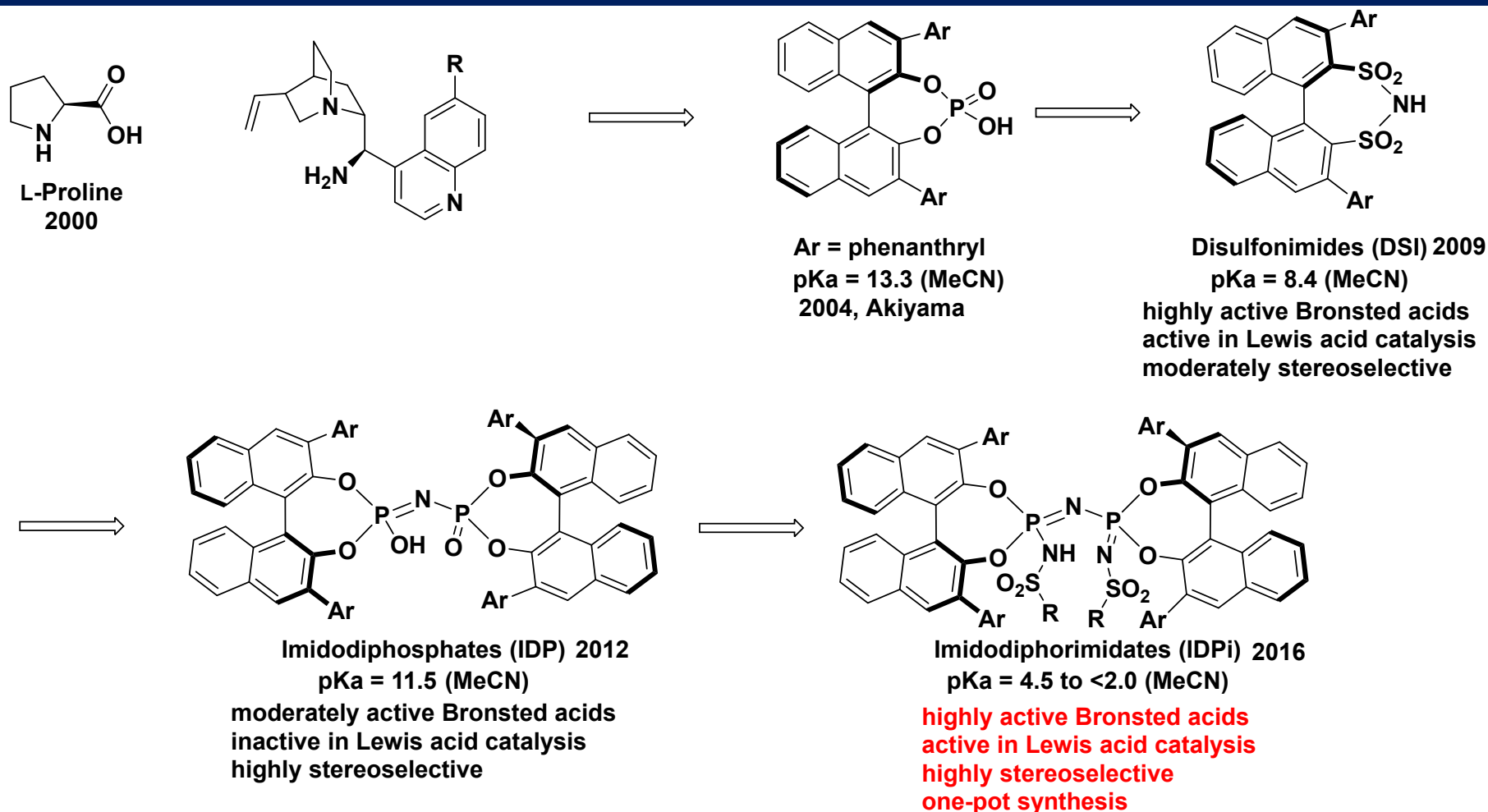


Liu, L.; Kim, H.; Xie, Y.; Fares, C.; Kaib, P. S. J.; Goddard, R.; List, B. J. Am. Chem. Soc. 2017, 139, 13656.

- Catalytic Asymmetric Nazarov Cyclization of Simple Divinyl Ketones



Summary



High activity, high efficiency, high stereoselectivity(IDPi):

- **Sub-ppm-level asymmetric Organocatalysis**
- **Asymmetric single aldolizations of acetaldehyde enolates**
- **Activation of olefins**

Detailed mechanism, case by case ?