Ruthenium-Catalyzed Formal Dehydrative [4 + 2] Cycloaddition of Enamides and Alkynes for the Synthesis of Highly Substituted Pyridines: Reaction Development and Mechanistic Study

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Supporting Information

ABSTRACT: Reported herein is a ruthenium-catalyzed formal dehydrative [4 + 2] cycloaddition of enamides and alkynes, representing a mild and economic protocol for the construction of highly substituted pyridines. Notably, the features of broad substrate scope, high efficiency, good functional group tolerance, and excellent regioselectivities were observed for this reaction. Density functional theory (DFT) calculations and experiments have been carried out to understand the mechanism and regiochemistry. DFT calculations and herein the base of the provide the provided of the provided



lations suggested that this formal dehydrative [4 + 2] reaction starts with a concerted metalation deprotonation of the enamide by the acetate group in the Ru catalyst, which generates a six-membered ruthenacycle intermediate. Then alkyne inserts into the Ru–C bond of the six-membered ruthenacycle, giving rise to an eight-membered ruthenacycle intermediate. The carbonyl group (which comes originally from the enamide substrate and is coordinated to the Ru center in the eight-membered ruthenacycle intermediate) then inserts into the Ru–C bond to give an intermediate, which produces the final pyridine product through further dehydration. Alkyne insertion step is a regio-determining step and prefers to have the aryl groups of the used alkynes stay away from the catalyst in order to avoid repulsion of aryl group with the enamide moiety in the six-membered ruthenacycle and to keep the conjugation between the aryl group and the triple C–C bond of the alkynes. Consequently, the aryl groups of the used alkynes are in the β -position of the final pyridines, and the present reaction has high regioselectivity.

INTRODUCTION

Of the N-heterocycles, pyridines are among the most prevalent scaffolds found in natural products and pharmaceuticals.¹ In the last few decades, a wealth of research has focused on the construction of these heterocycles.² Nonetheless, access to pyridines with desirable substitution patterns often remains a challenge when traditional multicomponent approaches are applied.³ Though classic condensation protocols are available for pyridine core construction, substituent patterns of these pyridines are largely dictated by the activating groups required for reactivity.⁴ Recently, intermolecular [2 + 2 + 2] cycloadditions of nitriles and alkynes have received much attention because of broad availability of the starting materials.⁵ However, this synthetic method often leads to the generation of regioisomers.⁶ Novel complementary approaches to diversely substituted pyridines are still highly desirable, and several impressive reports highlight the recent advances in this field.⁷

Enamides are highly valuable building blocks in organic synthesis.⁸ The importance of enamide chemistry has been highlighted by transition-metal-catalyzed coupling reactions⁹ and Lewis acid-catalyzed nucleophilic addition.¹⁰ As outlined in Scheme 1a, the Glorius¹¹ and Fagnou¹² groups independently revealed the Rh-catalyzed oxidative coupling of enamides with

internal alkynes, producing highly substituted pyrroles. In 2013, Ackermann¹³ and Wang¹⁴ groups independently found that Ru catalyst can mediate an oxidative coupling of enamides with internal alkynes to generate pyrroles in a highly efficient manner. In addition, examples of Pd, Ag, or Cu catalyzed or promoted cross-coupling of enamides with internal alkynes have also been reported, but still focused on pyrrole synthesis.¹⁵ To the best of our knowledge, a metal-catalyzed formal dehydrative [4 + 2] cycloaddition of enamides with alkynes to directly construct pyridines has remained elusive until now.¹⁶ In 2007, Movassaghi et al. reported a noncatalytic method to make pyridines by using acetylenes and enamides.¹⁷ However, a stoichiometric amount of Tf₂O and 2-chloropyridine was requested to achieve satisfied yields. We report herein a formal dehydrative [4 + 2] cycloaddition of enamides and alkynes, a new complementary process toward highly substituted pyridines, featured with excellent chemo- and regio-selectivities, broad scope, and functional group tolerance (Scheme 1b). Meanwhile, experiments and DFT calculations have been

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Scheme 1. Two Different Metal-Catalyzed Reactions of Enamides and Alkynes for the Synthesis of Pyrroles and Pyridines

a) Previous Report



carried out to understand the reaction mechanism and regiochemistry.

RESULTS AND DISCUSSION

Optimization Studies. Key results of reaction condition optimization are summarized in Table 1. Model reaction of Nvinyl amide 1a and alkyne 2a was used to evaluate reaction parameters (Table 1). After testing several commonly used metal catalysts (entries 2-4), [(*p*-cymene)RuCl₂]₂ was chosen to be the best due to its high catalysis efficiency (Table 1, entry 4, 76%). Encouragingly, we found that an enhanced chemical yield could be achieved by using a combination of KOAc and Na₂CO₃ (entry 12, 86%). Solvent screening disclosed that toluene was the most efficient medium for this reaction (entries 16-20). In addition, we found that the reaction temperature did not have a significant impact on the reaction yields, as demonstrated by the observations that slightly decreased or increased temperature had very close reaction yields (entries 13 and 14, 80 °C, 81%; 120 °C, 76%). Therefore, we chose the reaction conditions in entry 12 as the optimal reaction conditions (5 mol % [(p-cymene)RuCl₂]₂ as catalyst, toluene as the solvent, and the reaction temperature of 100 $^{\circ}$ C).

Substrate Scope. With the optimized reaction conditions in hand, a series of enamides 1b-u were examined (Table 2). 1b-g (R^2 = phenyl group) reacted with 2a to yield pyridines 3b-g in moderate to excellent yields. For the syntheses of 3be from 1b-e, we found that these substrates were prone to decomposition. Therefore, 4 Å molecular sieves were added to suppress the enamide hydrolysis, and the target reactions under these new reaction conditions took place smoothly with reasonable to good yields. Substrates 1h-k (R^2 = heterocycles) were also good substrates and gave the corresponding pyridines 3h-k in yields. Enamides with alkenyl group on R^2 position (11) and 1m) can also be used to afford their corresponding pyridine products 31 and 3m in good chemical yields. Additionally, enamides (1n, 1p-s) bearing alkyl groups on R² position also underwent cycloaddition to provide pyridines 3n and 3p-s, respectively. When 10 ($R^2 = PhCO$) and multisubstituted substrates 1t-u ($R^2 = Ar$ and $R^1 =$ $-(CH_2)_{(1-2)}-)$ were subjected to the optimal reaction conditions, the desired pyridines 30 and 3t-u were obtained in useful synthetic yields. Notably, the generated pyridines 3 in



Me Ph Cat Additive Me Solvent, 100 °C, Ar 2a 1a 3a additive entry cat. solvent yield^b n.r.^d K₂CO₃ toluene 1 none K₂CO₃ $Pd(OAc)_{2}$ n.d.¹ 2 toluene $[Cp*RhCl_2]_2^k$ K₂CO₃ 3 toluene 8% $[(p-cymene)RuCl_2]_2$ K₂CO₃ 76%^j 4 toluene $[(p-cymene)RuCl_2]_2$ 5 Et₂N toluene Trace 6 [(p-cymene)RuCl₂]₂ t-BuOK toluene trace 7 $[(p-cymene)RuCl_2]_2$ NaOAc toluene 65%^j 8 $[(p-cymene)RuCl_2]_2$ KOAc toluene 81%^j 79%^j 9 $[(p-cymene)RuCl_2]_2$ CsOAc toluene 10 [(p-cymene)RuCl₂]₂ Na₂CO₃ toluene 84%^j [(p-cymene)RuCl₂]₂ Cs₂CO₃ 11 toluene 70%^j [(p-cymene)RuCl₂]₂ KOAc/Na₂CO₃^h 86%^j 12 toluene 13^e [(*p*-cymene)RuCl₂]₂ KOAc/Na₂CO₂^h 81%^j toluene 14^f $[(p-cymene)RuCl_2]_2$ KOAc/Na₂CO₃^h 76%^j toluene 15^g [(p-cymene)RuCl₂]₂ KOAc/Na₂CO₂^h 51%^j toluene $[(p-cymene)RuCl_2]_2$ KOAc/Na₂CO₃^h 16 MeCN 41%^j 17 [(p-cymene)RuCl₂]₂ KOAc/Na₂CO₃^h dioxane 58%^j 18 [(*p*-cymene)RuCl₂]₂ KOAc/Na₂CO₂^h DCE 61%^j 19ⁱ $[(p-cymene)RuCl_2]_2$ KOAc/Na₂CO₃^h t-AmylOH 43%^j KOAc/Na₂CO₃^h 45%^j 20 $[(p-cymene)RuCl_2]_2$ DMF

^{*a*}Reaction conditions: **1a** (0.3 mmol, 1.0 equiv), **2a** (0.45 mmol, 1.5 equiv), cat. (5 mol %), additive (2.0 equiv), and toluene (2 mL) at 100 °C under argon atmosphere for 36 h. ^{*b*}Yield of isolated product. ^{*c*}No catalyst. ^{*d*}No reaction. ^{*e*}80 °C. ^{*f*}120 °C. ^{*g*}Air atomosphere. ^{*h*}KOAc (1.0 equiv) and Na₂CO₃ (1.0 equiv). ^{*i*}*t*-AmylOH = 2-Methyl-2-butanol. ^{*j*}Ratio of regioisomer (r.r.) > 20:1, determined by crude NMR. ^{*k*}Dichloro(pentamethylcyclopentadienyl)-rhodium(III) dimer. ^{*l*}Not detected.

all these reactions were formed with complete regioselectivity (all r.r. > 20:1). Furthermore, we tested whether acetanilides (e.g., *N*-phenylacetamide and *N*-(*p*-tolyl)acetamide) can also give pyridine products under the optimal conditions. Unfortunately, no desired pyridines products were generated for these tested acetanilides, indicating the activation of C_{sp}^2 -H of aromatic compounds in acetanilides is difficult under current reaction conditions.

Further investigation of the scope of enamides was conducted (Table 3). For enamides with R^3 = alkyl group, reactions proceeded well to afford the corresponding products in good chemical yields (5a-f, 5i). For enamide 4g with R^3 = alkenyl group, the catalytic process smoothly provided tetrasubstituted 5g in 64% yield. Pleasingly, for enamide 4h with R^3 = difluoromethyl group, pyridine 5h was obtained in 76% yield. When R^2 and R^3 were both phenyl groups, a moderate yield (51%) of 5j was achieved by using an excess amount of alkyne 2a (3.0 equiv). Lastly, *N*-methyl protected enamide 1a was synthesized to verify the necessity of the NH group in the substrate. No desired pyridine 3a was detected under the standard conditions, proving that the NH group is essential to the present dehydrative annulation reaction.

To further demonstrate the generality and practicability of this formal dehydrative [4 + 2] cycloaddition, we tested an array of alkynes 2. Symmetric diarylalkynes showed good to high reactivities (Table 4, 6a, 6e-g). Symmetric dimethyl



^{*a*}Reaction conditions: 1b-u (0.3 mmol, 1.0 equiv), 2a (1.5–2.0 equiv), $[(p-cymene)RuCl_2]_2$ (5 mol %), Na_2CO_3 (1.0 equiv), KOAc (1.0 equiv), and toluene (2 mL) at 100 °C under argon atmosphere for 24–48 h. ^{*b*}2a (3.0 equiv), Na_2CO_3 (2.0 equiv), and 4 Å MS (100 mg) were used. ^cYield based on recovery of 1.



^{*a*}Reaction conditions: 4a-j (0.3 mmol, 1.0 equiv), 2a (1.5–2.0 equiv), [(*p*-cymene)RuCl₂]₂ (5 mol %), Na₂CO₃ (1.0 equiv), KOAc (1.0 equiv), and toluene (2 mL) at 100 °C under argon atmosphere for 24–48 h. ^{*b*}2a (3.0 equiv), Na₂CO₃ (2.0 equiv), and 4 Å MS (100 mg) were used.

acetylenedicarboxylate afforded substituted pyridines **6d** and **6p** (87% and 55%, respectively). Symmetric dialkyl alkyne (**2q**) was also verified to be an active partner for this catalytic process (**6q**, 68%). To further understand the regioselectivity of the present reaction, a number of unsymmetric internal alkynes were investigated (Table 4). To our surprise, all unsymmetric





^{*a*}Reaction conditions: 1 (0.3 mmol, 1.0 equiv), 2 (1.5–2.0 equiv), [(*p*-cymene)RuCl₂]₂ (5 mol %), Na₂CO₃ (1.0 equiv), KOAc (1.0 equiv), and toluene (2 mL) at 100 °C under argon atmosphere for 24–48 h. ^{*b*}8 mol % [(*p*-cymene)RuCl₂]₂ was used. °Yield based on recovery of 1. ^{*d*}Ad = adamantyl.

internal alkynes underwent cyclization reaction with enamides 1 to give a single regioisomer in good yields (6b-c, 6h-o). In addition, pentasubstituted pyridine derivatives (6r and 6s) were also obtained in 37% and 32%, respectively. The configuration of these pyridine analogues was assigned by comparing the NMR spectra of pyridines 3c and 5j with ref 18 and analysis of their 2D NMR spectra (see Supporting Information).

Synthesis of Bipyridines. It is important to note that this methodology can be successfully utilized to generate highly substituted bipyridines in a facile one-pot process when diynes were used, even though a higher catalyst loading (8 mol %) and elongated reaction time (56 h) were required (Table 5). It was

Table 5. Synthesis of Bipyridines^a



^a**1** (0.6 mmol), 1,4-diphenylbuta-1,3-diyne (0.2 mmol), $[(p\text{-cymene})\text{-RuCl}_2]_2$ (8 mol %), Na₂CO₃ (2.0 equiv), KOAc (2.0 equiv), 4 Å MS (200 mg), and toluene (2 mL) at 100 °C under argon atmosphere for 56 h.

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expected that two types of regioisomers can be generated from the dehydrative [4 + 2] reaction using diynes. Here only one type of regioisomers (**6t** and **6u**) was generated. This can be explained by steric reason for the formations of the second pyridines, which prefers to have the bulky *t*-Bu and Ad groups to stay away from the first-step formed pyridines, as those shown in **6t** and **6u**.

Late-stage modification was considered as a valuable method for drug optimization in medicinal chemistry. As indicated in Scheme 2, when we subjected steroid 7 to the dehydrative cycloaddition reaction conditions, pyridine **6v** was synthesized in 88% yield.

Scheme 2. Late-Stage Modification



With the aim of evaluating the practicality of this catalytic process, a gram-scale experiment was performed with 1v (0.88 g) and 2a (0.70 g), yielding the corresponding product 6h in 82% (Scheme 3, 1.04 g)

Scheme 3. Gram-Scale Synthesis of 6h



To understand how substituents in the used alkynes affect the reaction outcome, a competing dehydrative cyclization experiment using two alkynes 2a and 2b with different electronic effects to react with 1a had been performed (Scheme 4). This investigation demonstrated that the dehydrative





cyclization of enamides with alkynes is not sensitive to the electronic effect of the alkynes (because very close reaction yields of 3a and 6a were obtained).

MECHANISTIC STUDIES

Plausible Mechanism. The proposed reaction mechanism for the present formal dehydrative [4 + 2] cyclization is given in Scheme 5. First, catalytic species I (Ru(*p*-cymene)(OAc)₂) is *in situ* formed.¹⁹ Then metalation and deprotonation of I to the enamide substrate generate a six-membered ruthenacycle intermediate II.²⁰ Intermediate III is then formed after insertion of 2a into Ru–C bond in II. Carbonly group in III



ÓAc Ph

ш

pathway A

is then inserts into the Ru-C bond intramolecularly, giving intermediate IV (pathway A). Finally, through further dehydration process, intermediate IV in pathway A is converted to the pyridine product with the concomitant generation of the catalytic species I. The present reaction has a competing pathway related to the generation of pyrrole, even though we did not observe such product in our reaction system. This competing reaction starts from intermediate III, which undergoes decarboxylation to give intermediate V (rather than the C=O insertion into the Ru-C bond to give intermediate IV in the pyridine formation (pathway A). Intermediate V then undergoes reductive elimination and oxidation to give the final pyrrole product (Pathway B). Mechanistic study through experiments and DFT calculations presented below supports that pathway A (leading to the formation of pyridine) is the preferred one.

Experimental Study of the Reaction Mechanism. To gain some mechanistic insights into the current protocol, a number of control experiments were performed, including an intermolecular kinetic isotope effect experiment and several deuterium-labeling experiments (Scheme 6). Notably, a small kinetic isotope effect was observed in the intermolecular $(k_{\rm H}/k_{\rm D}$ = 2.2) competition experiment [Scheme 6, eq (a)]. Under the standard conditions and the addition of 10.0 equiv of D₂O, we found that approximately 31% deuterium was incorporated into the recovered 1a and 8% deuterium was incorporated into product 3a [Scheme 6, eq (b)]. Performing this reaction in the absence of alkynes 2a, about 75% deuterium was found in the recovered 1a [Scheme 6, eq (c)]. However, no deuterated 1a was identified when this substrate was simply mixed with D₂O [Scheme 6, eq (d)]. Several conclusions were drawn from these experiments: the C-H metalation-deprotonation step (1a and I to II, Scheme 5) is reversible; this step is not involved in the rate-determining step. This was further supported by DFT calculations (see below). In the absence of base (e.g., Na_2CO_3 , KOAc), no 3a could be generated for the dehydrative cyclization reaction, suggesting that base is very critical to the success of the target reaction [Scheme 6, eq (e)]. The reason why a different base influences the reaction outcome is not clear at this current stage. Notably, addition of $Cu(OAc)_2$ provided a competing pathway and afforded undesirable pyrrole 3a' [Scheme 6, eq (f)]. We think that the added oxidant here could significantly alter the reaction pathway shown in Scheme 5. We also investigated whether the present dehydrative



cyclization reaction can occur in the presence of Lewis acids such as $Sc(OTf)_3$, $Mg(OTf)_2$, or $Zn(OTf)_2$. The negative answer suggests that adding Lewis acid is detrimental for the pyridine formation reaction [Scheme 6, eq (g)].

DFT Studies of the Formal Dehydrative [4 + 2]Cycloaddition. The above mechanism was further scrutinized by DFT calculations using the M06//B3LYP calculations (geometry optimizations using B3LYP and single-point energy calculations using M06. For details, see the Supporting Information).²¹ Here we use relative Gibbs free energies in the gas phase to discuss the reaction mechanism.²² Figure 1 gives the potential energy surface of the catalytic cycle together with the competing processes related to the regiochemistry and side reaction of pyrrole formation. Figure 2 gives several key transition structures.

Figure 1a shows that the catalytic cycle begins with coordination of the enamide to the Ru center of the catalyst, giving complexes IN1 and IN2. Complexes IN1 with carbonyl coordination to Ru atom is more stable than IN2 with alkene coordination to Ru atom. Complexes IN1 and IN2 are in equilibrium, and IN2 is the reacting conformer for the followed reaction steps of the catalytic cycle.

A concerted metalation deprotonation (CMD) process by the coordinated acetate converts **IN2** to **IN3**. The computed activation free energy of the CMD process is 20.4 kcal/mol. **IN3** then releases HOAC molecule to give six-membered ruthenacycle intermediate IN4. Intermediate IN4 is an 18-e complex with the coordination of carbonyl group of the enamide moiety. The incoming alkyne can replace this carbonyl group for coordination to form IN5 and IN5a, both of which are also 18-e complexes (see Figure 1a,b). IN5 and IN5a differ from each other by the relative orientation of the alkyne with respect to the Ru-enamide bond. The alkyne coordination to the metal center prefers to have the alkyne, its two substituents, and the Ru atom in the same plane. In IN5, the Ph group, which points away from the catalyst and experiences no steric hindrance, is in plane with the alkyne moiety to keep good conjugation. In contrast, in IN5a, the Ph group experiences repulsion from the amide moiety and has to distort to a position that does not enjoy conjugation between the Ph group and the alkyne moiety. Consequently, IN5a is less stable than **IN5** by 1.3 kcal/mol in terms of Gibbs energy in the gas phase.

The disrupted conjugation found in **IN5a** is also kept in the alkyne insertion transition state **TS2a**, but this is still absent in **TS2**. Therefore, **TS2** is favored over **TS2a** by 4.4 kcal/mol, and the alkyne insertion has an exclusive preference to give **IN6** via **TS2** (see discussion for this again).

DFT calculations indicated that alkyne insertion into the Ru–C bond via TS2 requires an activation free energy of 14.9 kcal/mol. The carbonyl group in IN6 is a free ligand, but it then coordinates to the Ru center, giving the eight-membered ruthenacycle intermediate IN7, which is set up for the followed C=O insertion into the Ru–C bond. The carbonyl insertion step, leading to IN8 (and then more stable IN9 with acetate as a bidentate ligand, not a monodentate ligand), needs an activation free energy of 29.0 kcal/mol and is exergonic by 5.9 kcal/mol. Protonation of IN9 with the assistance of AcOH furnishes IN10 and regenerates the catalytic species for the next catalytic cycle: The ligand exchange reaction (IN9 + enamide + HOAC \rightarrow IN10 + IN1) for completing the catalytic cycle is exergonic by 5.3 kcal/mol. Finally, dehydration of IN10 gives rise to the polysubstituted pyridine.

TS2 and TS3 are very close in energy, suggesting that the alkyne insertion is reversible. But TS2a is higher than both TS2 and TS3 by more than 4 kcal/mol, indicating that the alkyne insertion is regio-determining step and the exclusive formation of product pyridine P, which has the Ph group in its β -position. This is consistent with the experiment observed regiochemistry.

In the pyridine formation pathway, **IN3** can be converted back to **IN1** through protonation by AcOH, which is easy and requires an activation free energy of 12.2 kcal/mol in the gas phase. This suggests that enamides' C-H metalation– deprotonation step is reversible and is the reason for the observation of deuterium incorporated enamides in eq (b) of Scheme 6.

The present reaction has a competing process leading to the formation of pyrrole (Figure 1c and the pathway B in Scheme 5). **IN6** can be converted to **IN11** by switching carbonyl coordination to amide's nitrogen coordination to the Ru atom. Then a concerted deprotonation of nitrogen atom converts **IN11** to **IN12** via **TS4**, requiring an activation free energy of 15.7 kcal/mol. **IN12** loses HOAc and uses its carbonyl group to coordinate Ru, giving **IN13**. Reductive elimination of **IN13** to give pyrrole is difficult, with a computed activation free energy of 35.9 kcal/mol (**IN12** can directly give pyrrole, but this is difficult and requires an activation free energy of 31.7 kcal/mol). This implies that pyrrole cannot be formed in the present reaction system, which agrees with the experiments. In previous experiments (together with the present investigated control





Figure 1. (a) DFT computed energy surface, (b) disfavored alkyne insertion intermediate and transition state, and (c) disfavored pathway to pyrrole.



Figure 2. DFT computed key transition structure (distances in Å).

experiment eq (f) in Scheme 6), the added oxidants could alter the whole reaction process to give pyrrole,^{13,14} but the reasons for this change are not known at this moment.

Since IN13 can be easily generated from IN6, the pyridine formation process shown in Figure 1a can have this species as the intermediate. IN13 is lower in energy than IN6, suggesting that the most difficult step in the pyridine formation is from IN13 to IN6 and then to TS3. Therefore, the formal dehydrative [4 + 2] reaction requires an overall activation free energy of 32.3 kcal/mol in the gas phase (from IN13 to TS3). The overall activation free energy of the present reaction is 32.6 kcal/mol in solution.²³

CONCLUSIONS

In summary, we have developed a new and efficient Rucatalyzed formal dehydrative [4 + 2] cycloaddition of enamides and alkynes for synthesis of highly substituted pyridines. The generality of such an intermolecular annulation is demonstrated by a wide scope with respect to both enamides and alkynes. The mechanism and regioselectivity of this reaction have been scrutinized by experiments and DFT calculations. DFT calculation results indicated that the reaction starts from a CMD process of enamides by the acetate group in the catalyst, generating a six-membered ruthenacycle intermediate. Then alkyne insertion into Ru-C bond of the six-membered ruthenacycle intermediate gives an eight-membered ruthenacycle intermediate, which undergoes carbonyl insertion and dehydration to give the final pyridines (Figure 1a). The high regiochemistry of the present formal dehydrative [4 + 2] cycloaddition is determined by the alkyne insertion step, which prefers to avoid steric repulsion of aryl group with the enamide moiety in the six-membered ruthenacycle and to have a good conjugation between the aryl group and the triple bond of the alkyne substrates, in the alkyne insertion transition state. Consequently, the aryl group of the used alkynes is in the β position of the final pyridine products.

ASSOCIATED CONTENT

S Supporting Information

Experimental procedures, characterization data, NMR spectra of the products, DFT data, and complete ref 21. The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/jacs.5b06400.

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Notes

The authors declare no competing financial interest.

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