

Merging Homogeneous Gold Catalysis with Light

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College of Chemistry and Molecular Engineering, PKU

Sept. 26th 2020

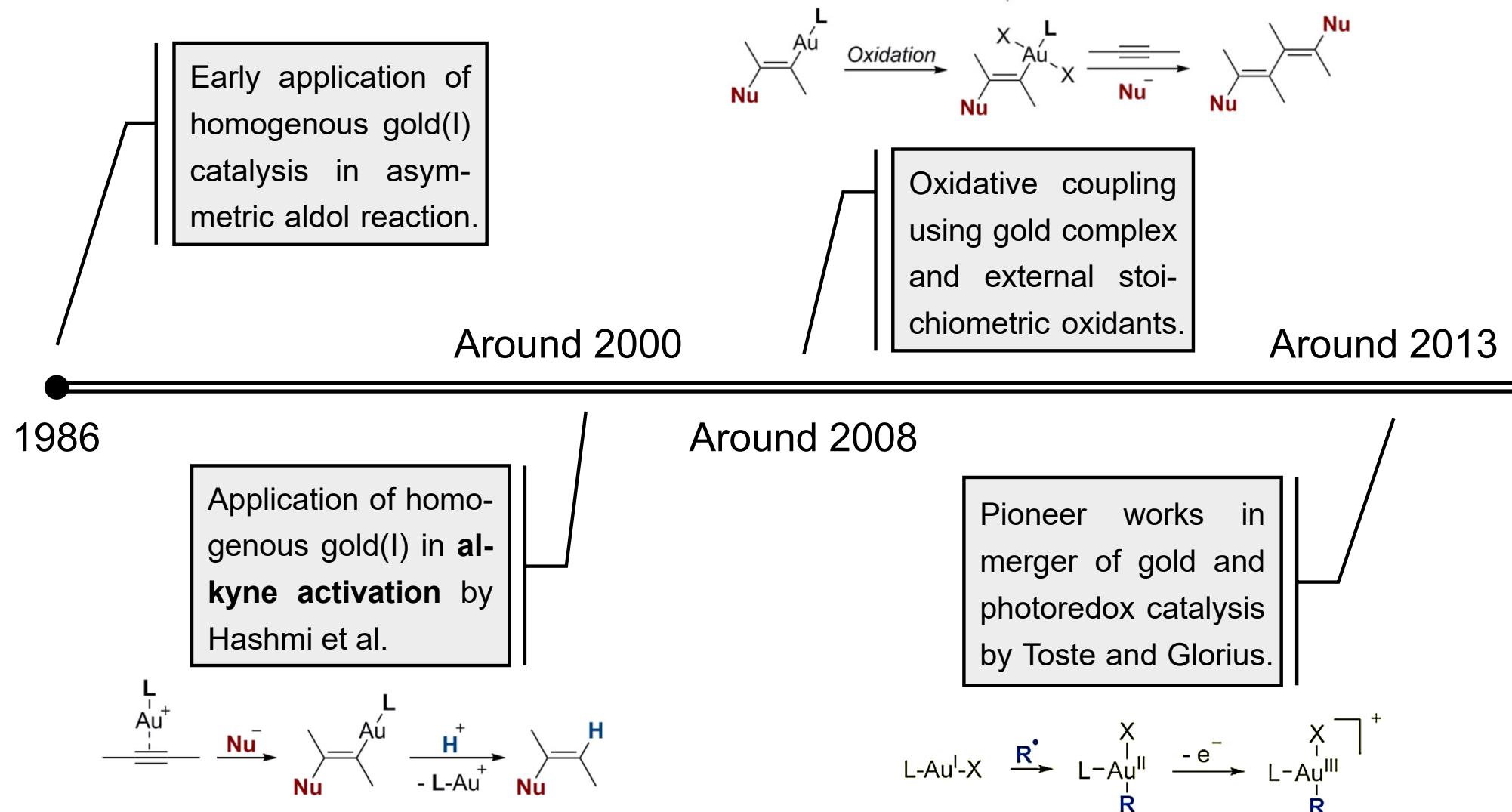
Outline

- History of Homogenous Gold Catalysis
- Atomic and Optical Properties of Gold
- Combining Gold Catalysis and Light
 - Gold complex as transition-metal catalyst
 - Gold complex as photosensitizer
- A Brief Conclusion
- Applications in Synthesis

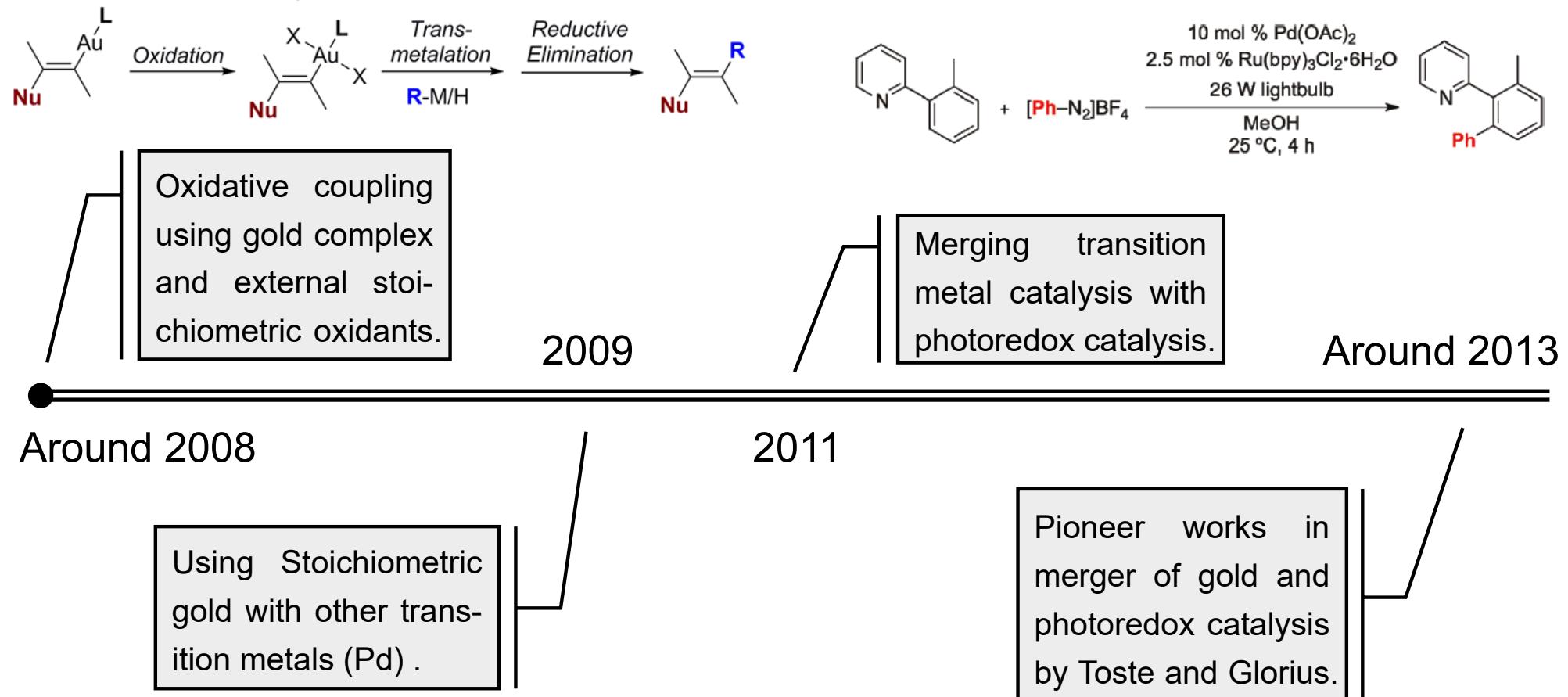
Outline

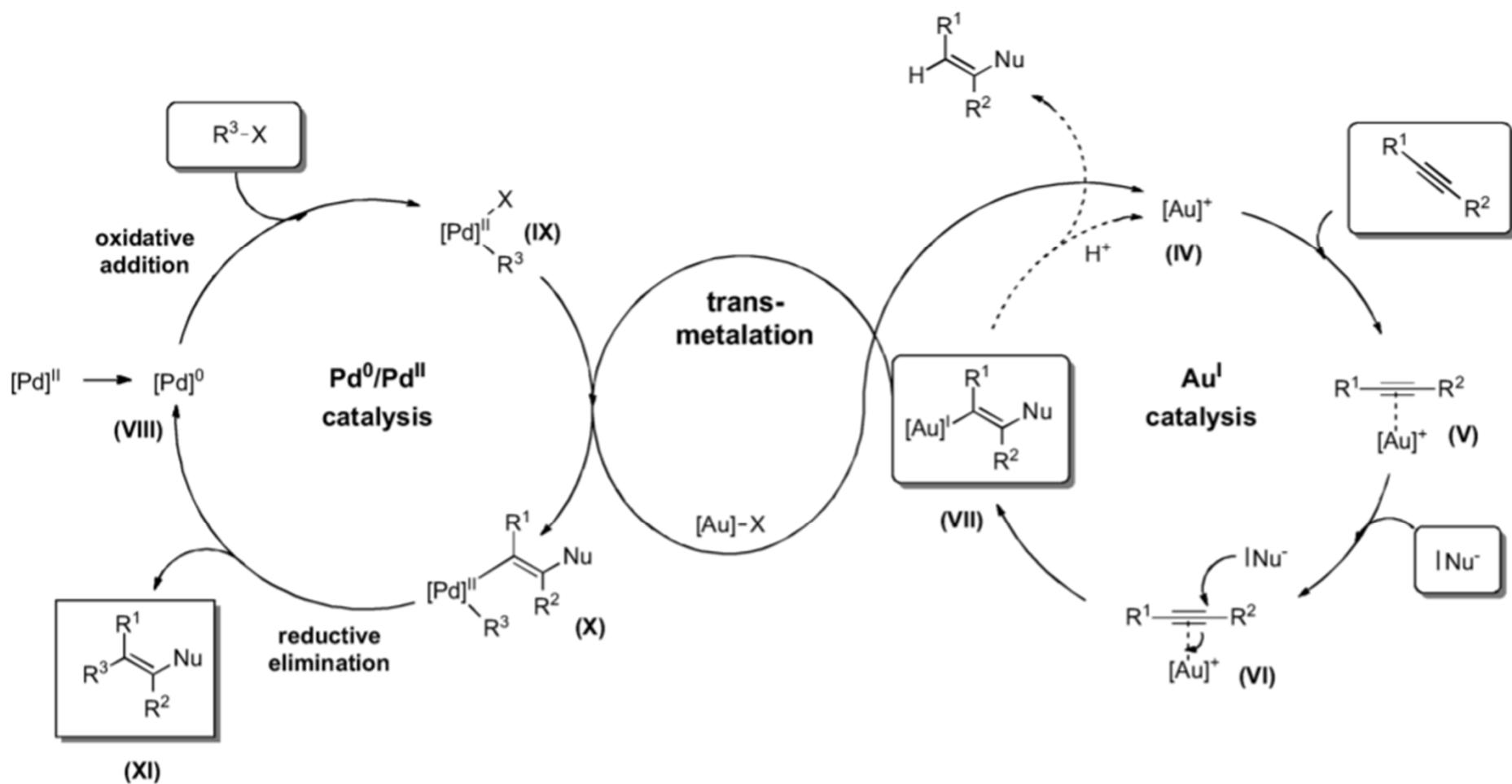
- History of Homogenous Gold Catalysis
 - Atomic and Optical Properties of Gold
 - Combining Gold Catalysis and Light
 - Using gold complex as a transition-metal catalyst
 - Using gold complex as a photosensitizer
 - A Brief Conclusion
 - Application in Synthesis

History and Development of Gold Catalysis



History and Development of Gold Catalysis







北京大学
PEKING UNIVERSITY

1898

【Special Organic Chemistry Lecture】 **Gold Catalysis and Light**



报告人 : Prof. A. Stephen. K. Hashmi
University of Heidelberg

时 间 : 10月10日 周四下午3:00-4:30
地 点 : 化学院 A717

欢迎参加 !

Outline

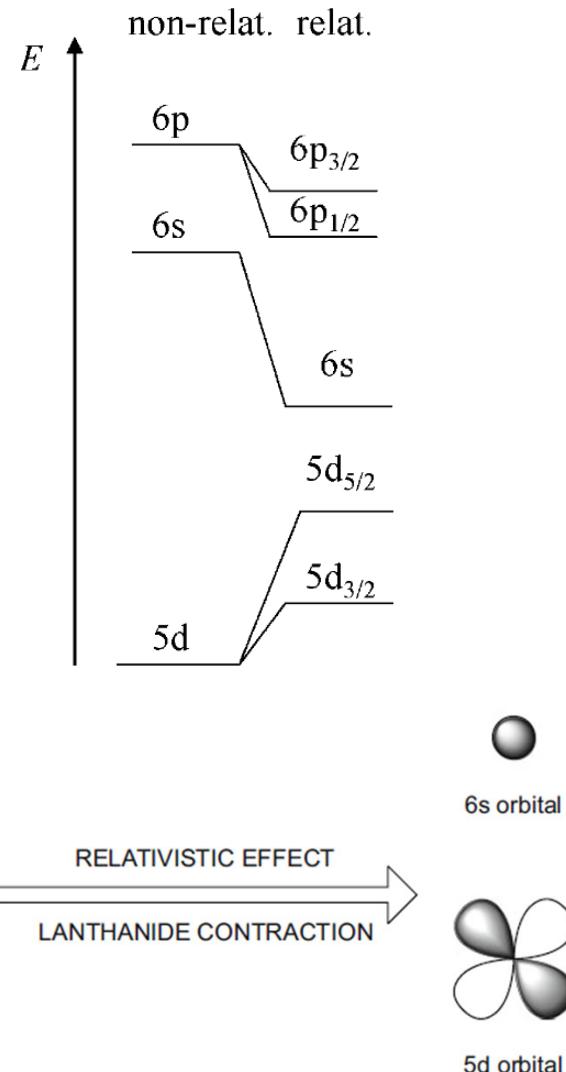
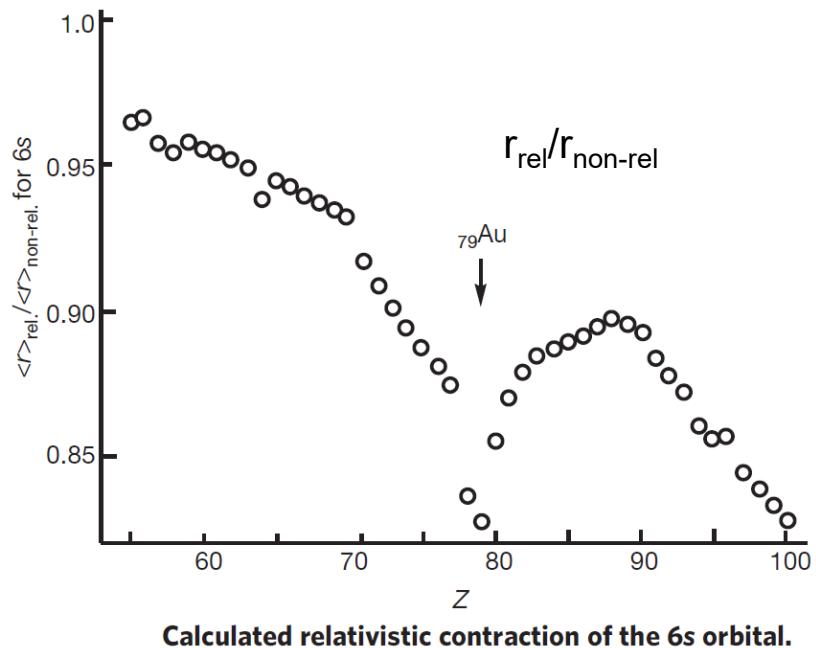
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Atomic and Optical Properties of Gold

□ Relativistic effects

$$m = m_0 \sqrt{1 - (v/c)^2}, \quad \langle v_r \rangle = Z$$

when $v \rightarrow c$: relativistic effect



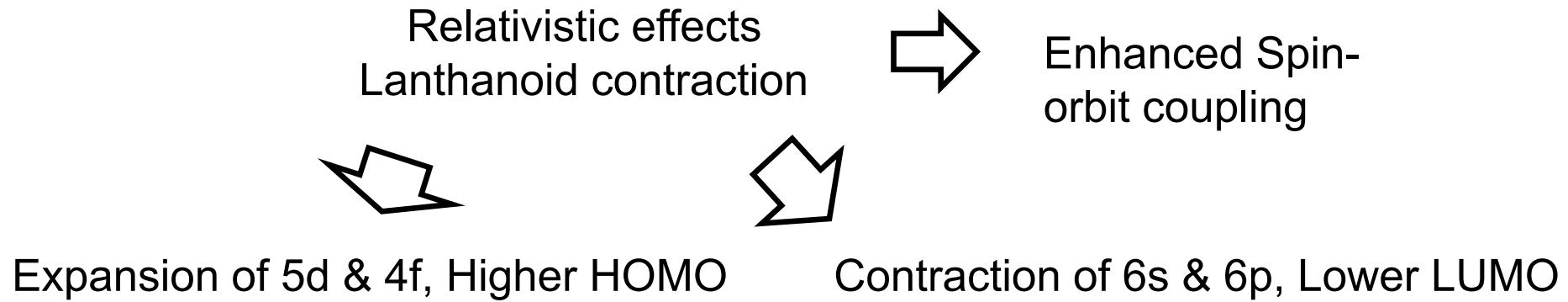
□ Lanthanoid contraction

Weber, D.; Gagne, M. R., *Top. Curr. Chem.* **2015**, 357, 167-211.

Gorin, D. J.; Toste, F. D., *Nature* **2007**, 446 (7134), 395-403.

Leyva-Perez, A.; Corma, A., *Angew. Chem. Int. Edit.* **2012**, 51 (3), 614-635.

Atomic and Optical Properties of Gold



- Less important π back-bonding, more electron-deficient ligand
- Carbene character of Au(I)
- Auophilicity
- Linear Au(I) complexes
- Hard to undergo oxidative addition
- Strong soft Lewis acid even in the presence of strongly donating ligands
- Stronger Au-L bond
- High electronegativity, pseudohalogen
- High oxidative potential for Au(III)
- Au(I) is air and moisture stable

Pyykko, P., *Angew. Chem. Int. Edit.* **2004**, 43 (34), 4412-4456.

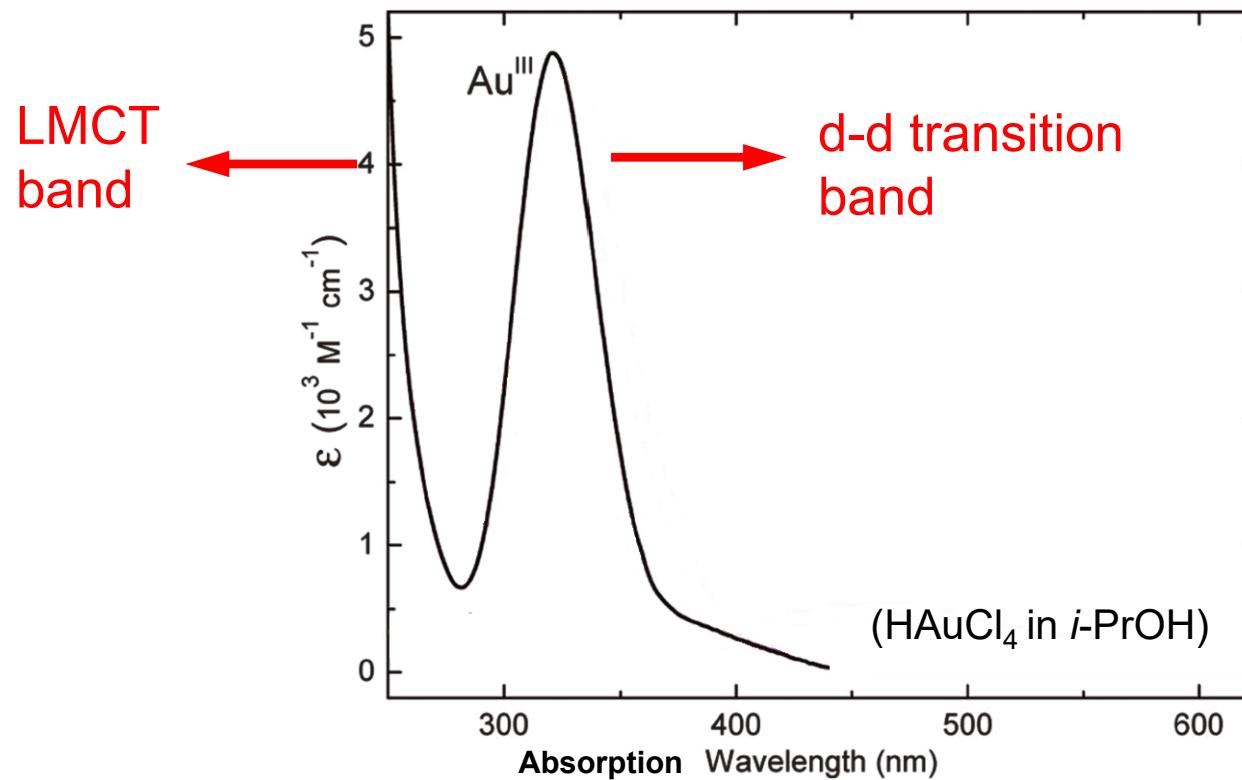
Gorin, D. J.; Toste, F. D., *Nature* **2007**, 446 (7134), 395-403.

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Atomic and Optical Properties of Gold

□ Au(III) species

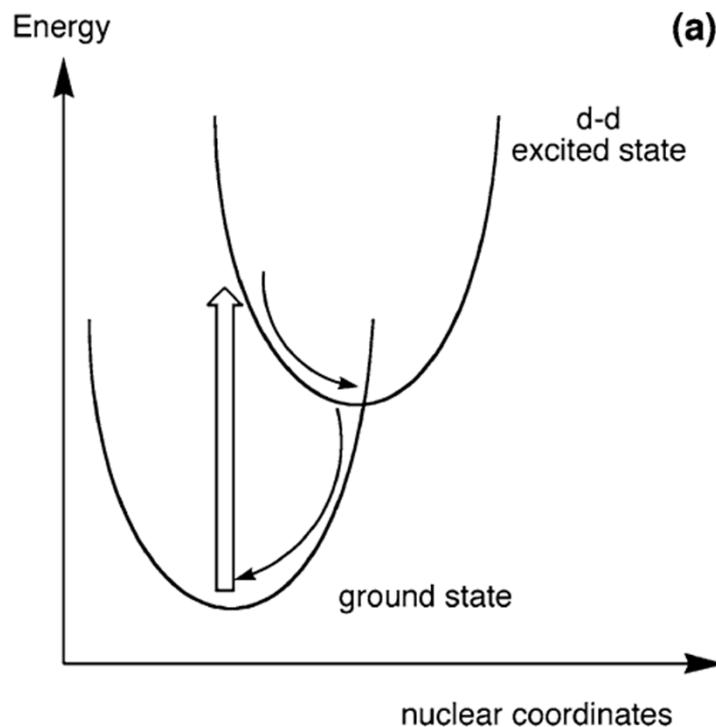
- d⁸ configuration → important non-emissive d-d transition.
- High electronegativity → hardly observable MLCT, low energy LMCT.



Atomic and Optical Properties of Gold

□ Au(III) species

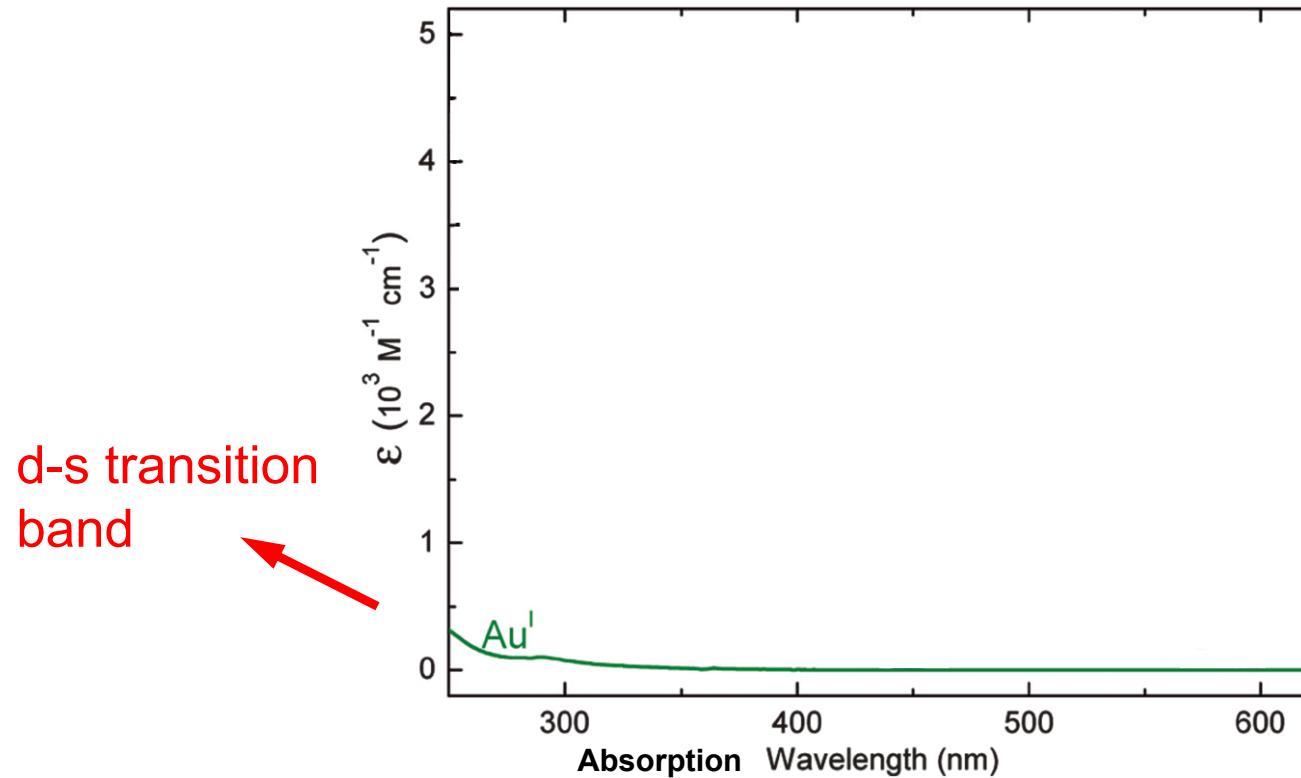
- d⁸ configuration → important non-emissive d-d transition.
- High oxidizing state → dominant LMCT, with hardly observed MLCT.



Atomic and Optical Properties of Gold

□ Au(I) species

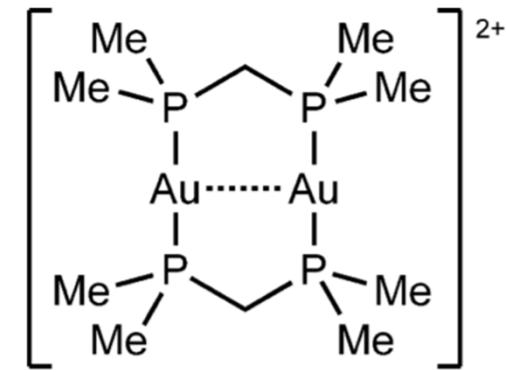
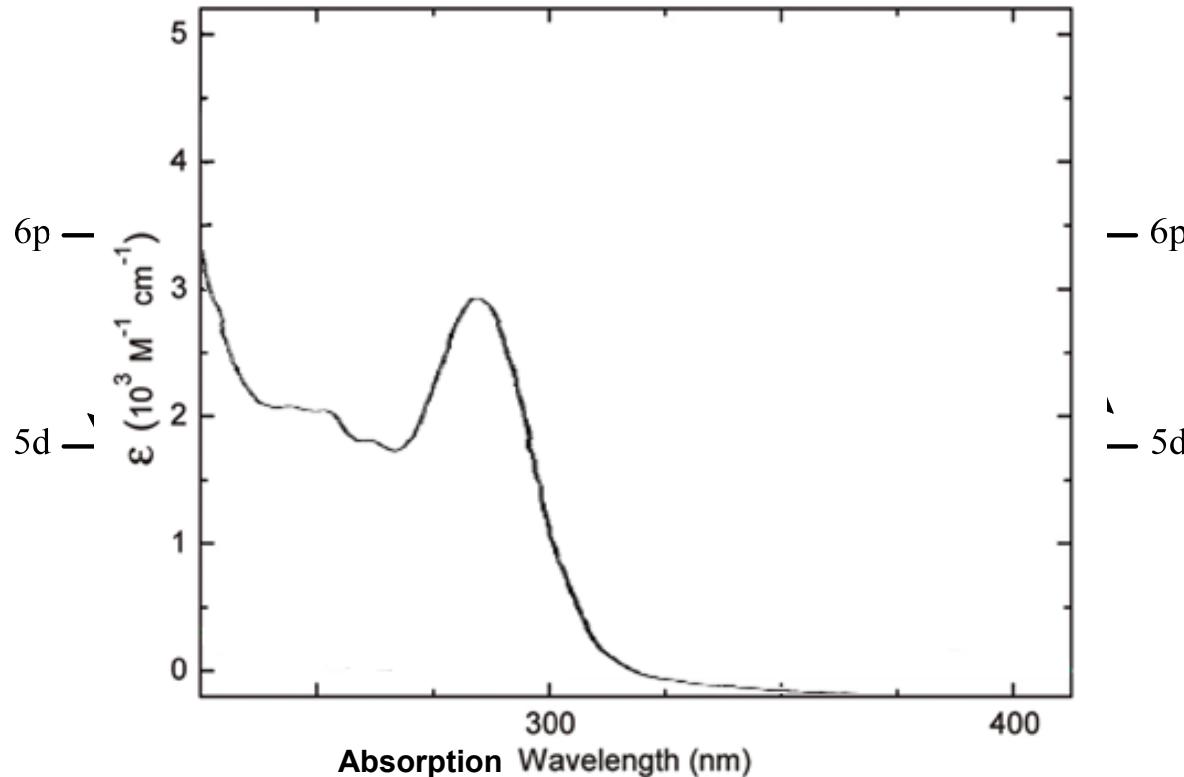
- d^{10} configuration → accessible d-s/d-p transition only.
- LMCT and MLCT could occur at reasonable energies.



Atomic and Optical Properties of Gold

□ Dimeric Au(I) species

- The Au-Au interaction leads to a σ overlap of 5d and 6p orbitals.
- $(\sigma_d)^2(\sigma_d^*)^2 \rightarrow (\sigma_d)^2(\sigma_d^*)^1(\sigma_p)^1$ transition, with an Au-Au bond forming.



$[Au_2(dppm)_2]^{2+}$
 $\lambda_{max} = 292 \text{ nm}$

Outline

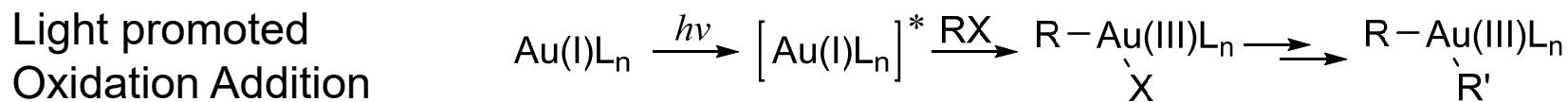
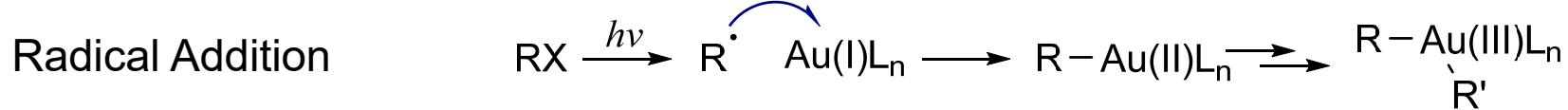
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Gold complex as transition-metal catalyst

■ Gold complex as transition-metal catalyst

- The vital question: How to perform oxidative addition?
- What can light do to facilitate oxidative addition?

Direct Oxidation Addition: Possible but Hard (Ligand design, directing group...)



Gold complex as transition-metal catalyst

■ Gold-photoredox catalysis involving radical addition

Reaction scheme: CC1CCCCC1 + CC(O)C=CC + [N+]2+BF4^- (4 equiv) $\xrightarrow[\text{degassed MeOH, 4-12 h, rt}]{\text{[Au] catalyst, } [\text{Ru}(\text{bpy})_3](\text{PF}_6)_2, \text{ 23 W fluorescent bulb}}$ CC1CCCCC1Cc2ccccc2

Zhang 2010

entry ^a	[Au] catalyst	[Au]/[Ru] (mol%)	yield (%) ^b
1	Ph ₃ PAuCl	10/5	51
2	[dppm(AuCl) ₂]	10/5	22
3	AuCl ₃	10/5	trace
4	(pic)AuCl ₂	10/5	trace
5	IPrAuCl	10/5	trace
6	[Ph ₃ PAu]NTf ₂	10/5	84
7	[Ph ₃ PAu]NTf ₂	10/2.5	88 (79)
8	[Ph ₃ PAu]NTf ₂	10/1	61
9	[Ph ₃ PAu]NTf ₂	5/2.5	50
10	[Ph ₃ PAu]NTf ₂	1/2.5	22
11 ^c	[Ph ₃ PAu]NTf ₂	10/2.5	6
12	[Ph ₃ PAu]NTf ₂	10/—	4
13	—	—/2.5	0

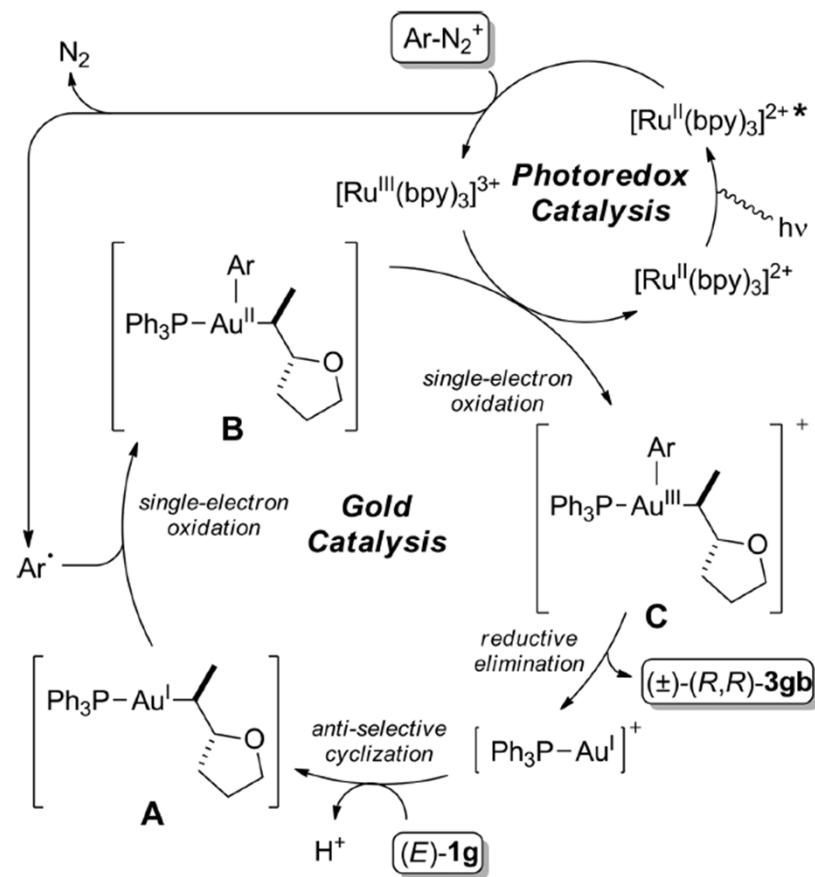
js 2013

Zhang, G. Z.; Cui, L.; Wang, Y. Z.; Zhang, L. M., *J. Am. Chem. Soc.* **2010**, 132 (5), 1474-+.

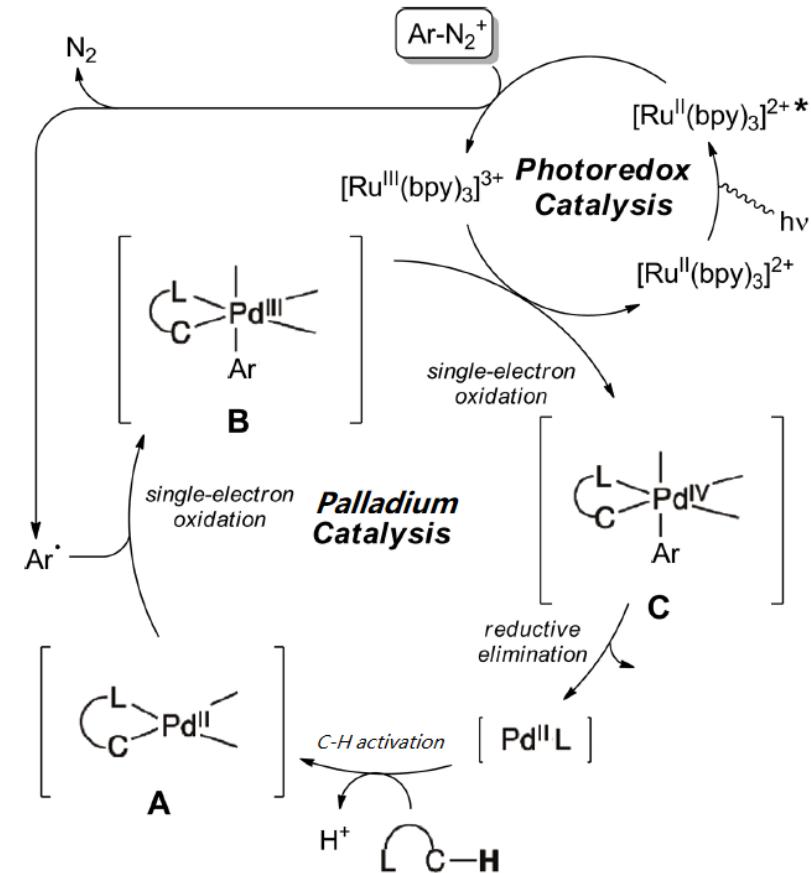
Sahoo, B.; Hopkinson, M. N.; Glorius, F., *J. Am. Chem. Soc.* **2013**, 135 (15), 5505-5508.

Gold complex as transition-metal catalyst

■ Gold-photoredox catalysis involving radical addition



Glorius 2013



Sanford 2011

Kalyani, D.; McMurtrey, K. B.; Neufeldt, S. R.; Sanford, M. S., *J. Am. Chem. Soc.* **2011**, 133 (46), 18566-9.

Sahoo, B.; Hopkinson, M. N.; Glorius, F., *J. Am. Chem. Soc.* **2013**, 135 (15), 5505-5508.

Gold complex as transition-metal catalyst

■ Gold-photoredox catalysis involving radical addition

The reaction scheme illustrates the radical addition of aryl diazonium salts (ArN_2BF_4) to allylic alcohols. The allylic alcohol reacts with the aryl diazonium salt in the presence of a gold catalyst (10% Ph_3PAuCl or 10% $(4\text{-CF}_3\text{Ph})_3\text{PAuCl}$) and a photoredox catalyst (2.5% $\text{Ru}(\text{bpy})_3(\text{PF}_6)_2$ or 2.5% $\text{Ir}(\text{ppy})_3$) under visible light in a MeOH/CH₃CN (3:1) mixture at room temperature (rt) in the presence of nitrogen (N_2). The product is a substituted cyclobutene derivative where the allylic carbon has added the aryl group from the diazonium salt.

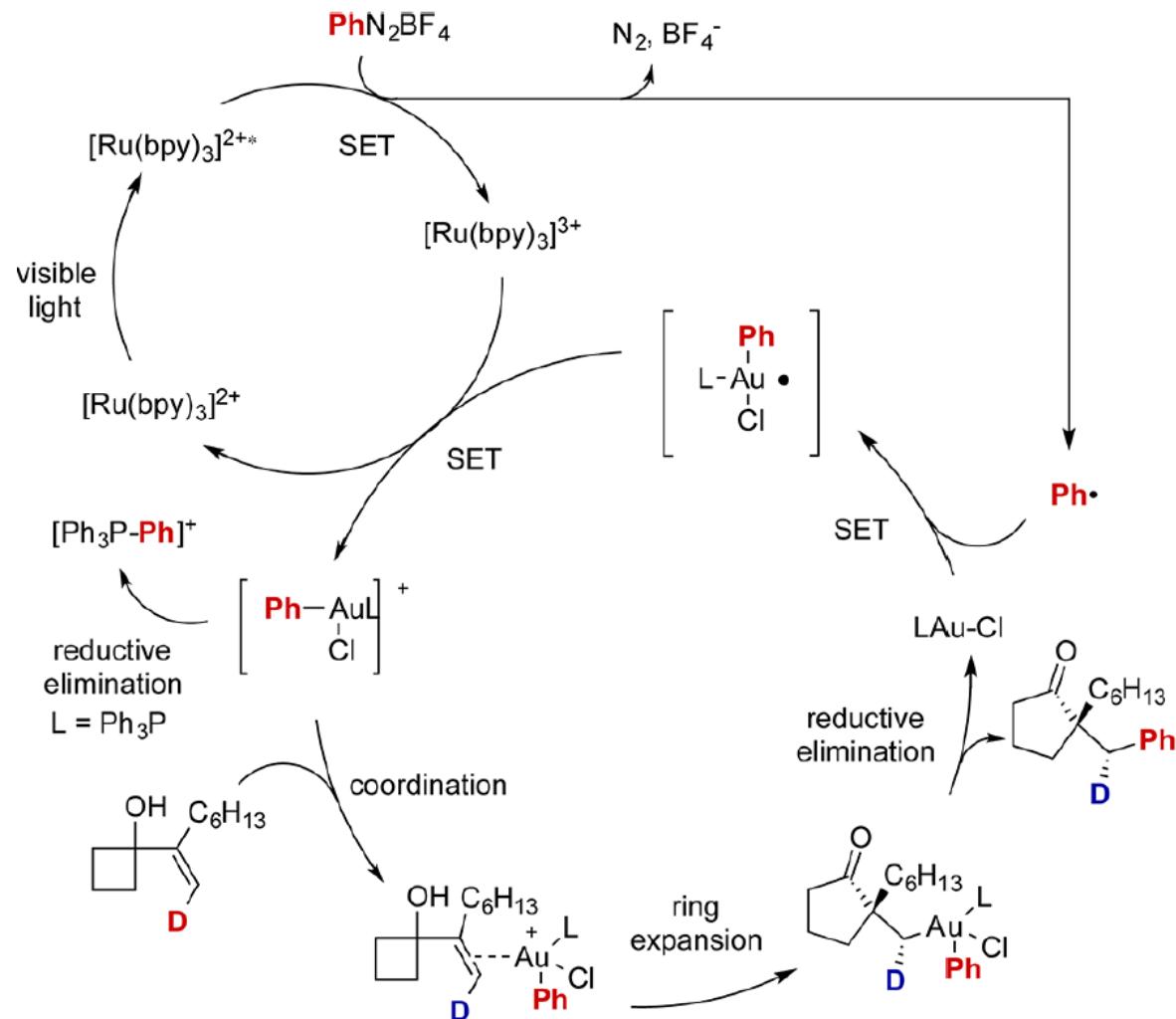
entry	n	R	Ar	time (h)	3 (% yield)
1	2	<i>n</i> -hexyl	Ph	6	(90)
2	2	isopropyl	Ph	6	(81)
3	2	Bn	Ph	6	(83)
4	2	$\text{PhthN}(\text{CH}_2)_3$	Ph	4	(80)
5	2	Ph	Ph	24	(34)
6 ^b	2	Ph	4-FPh	24	(71)
7 ^b	2	4-ClPh	4-FPh	24	(67)
8 ^b	2	4-MePh	4-FPh	6	(80)
9 ^b	2	2-MePh	4-FPh	24	(32)
10	1	Ph	Ph	15	(67)
11	1	Bn	Ph	6	(51)

Walkinshaw, A. J.; Xu, W.; Suero, M. G.; Gaunt, M. J., *J. Am. Chem. Soc.* **2013**, *135* (34), 12532-5.

Shu, X. Z.; Zhang, M.; He, Y.; Frei, H.; Toste, F. D., *J. Am. Chem. Soc.* **2014**, *136* (16), 5844-5847.

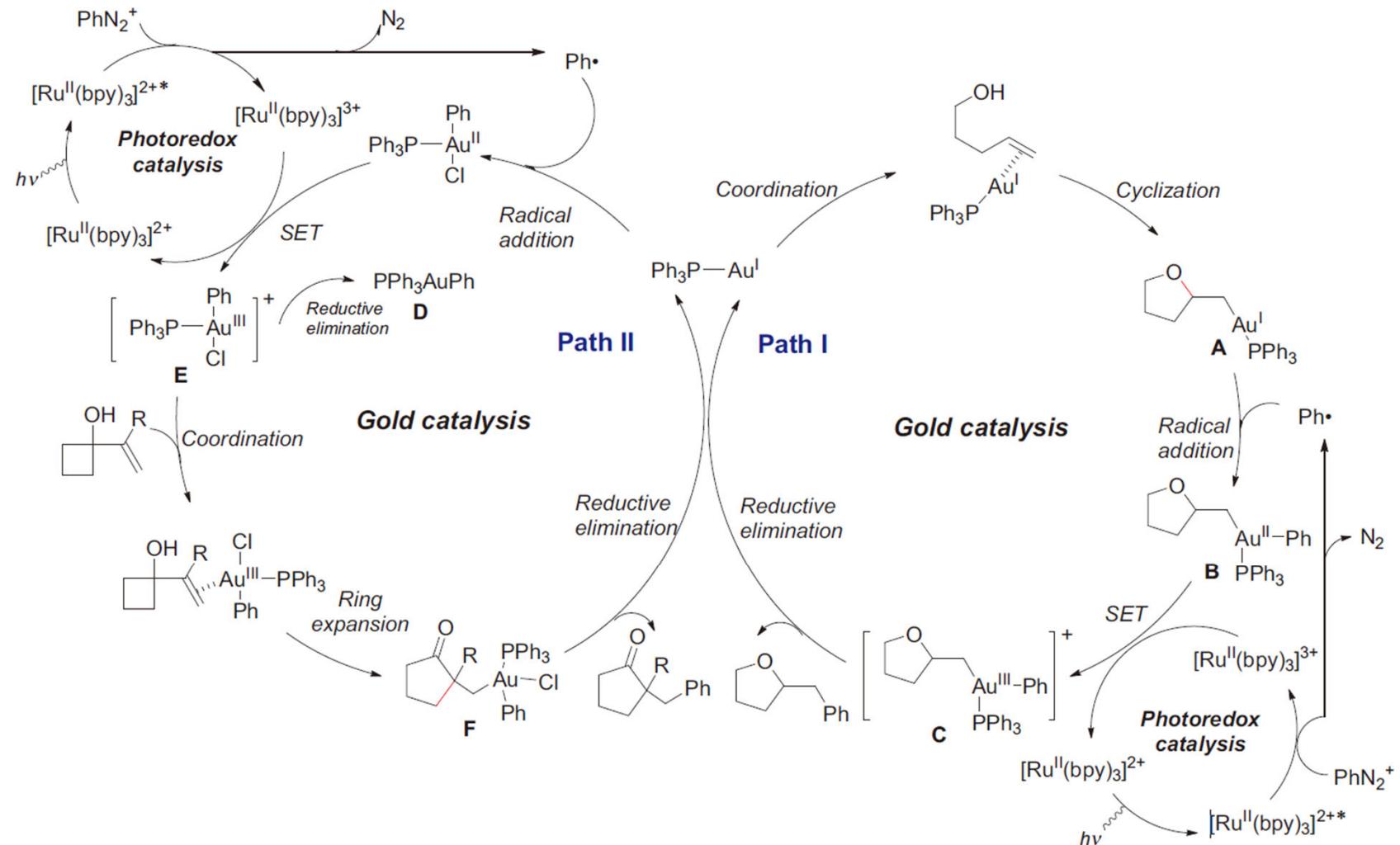
Gold complex as transition-metal catalyst

■ Gold-photoredox catalysis involving radical addition



Gold complex as transition-metal catalyst

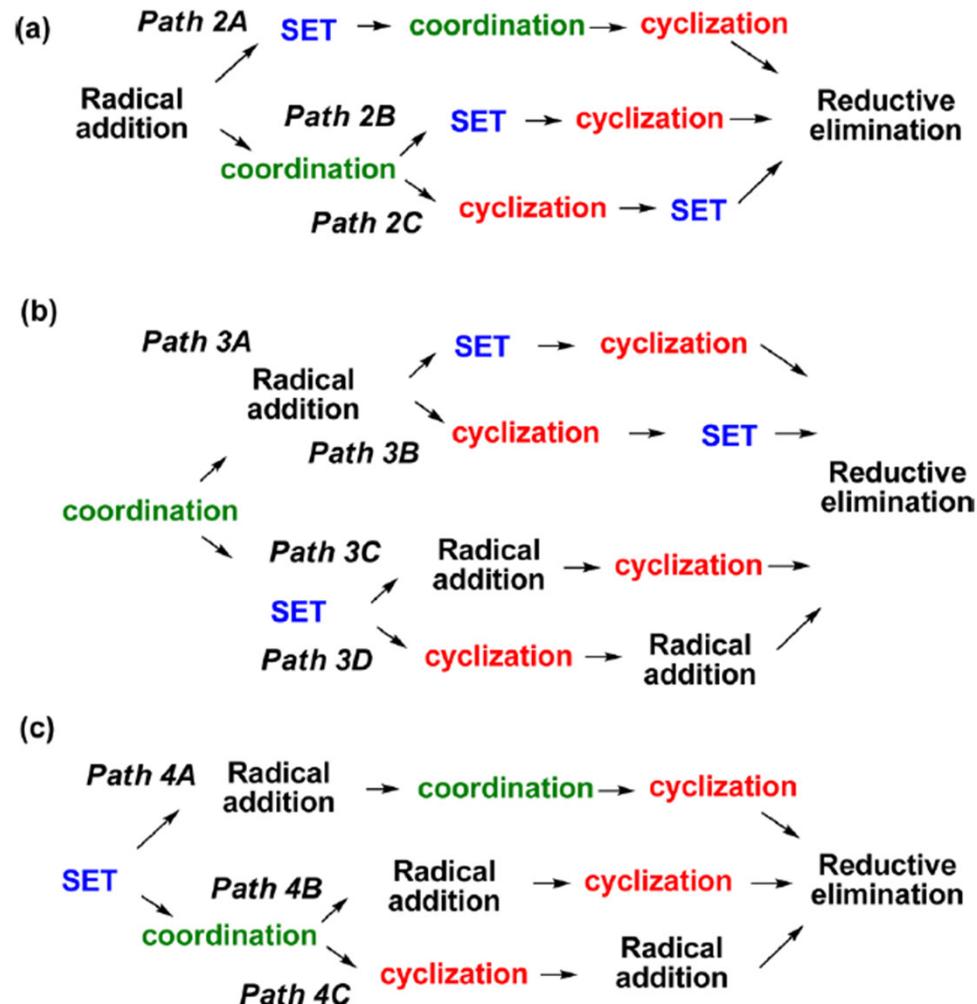
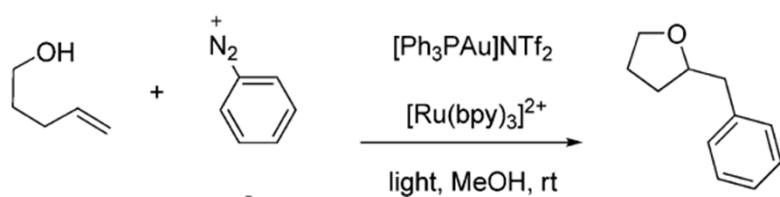
■ Two proposed mechanism



Gold complex as transition-metal catalyst

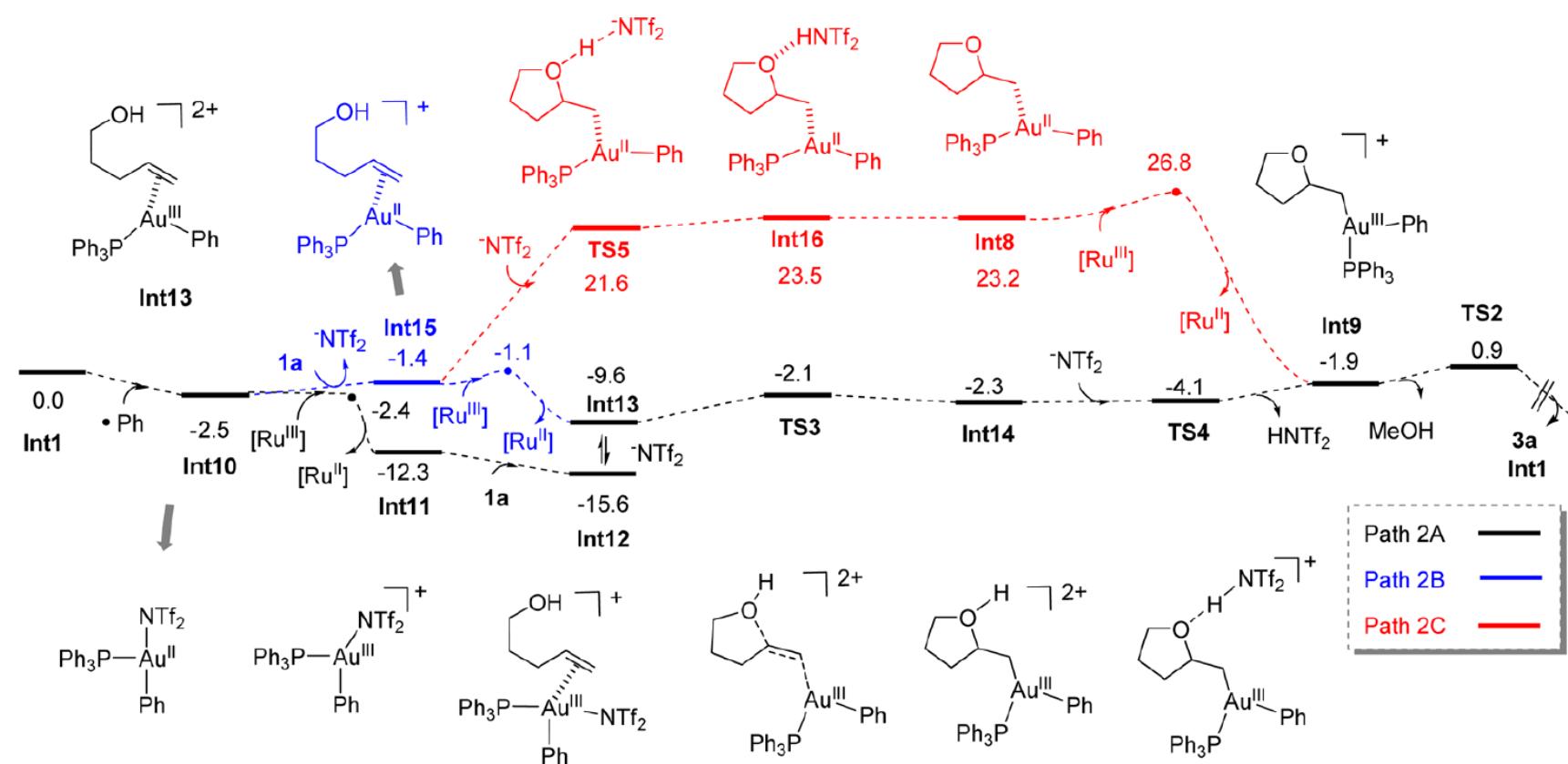
■ Computational studies

- Reductive or oxidative quenching?
- Sequences of the steps?
- Chain process or not?



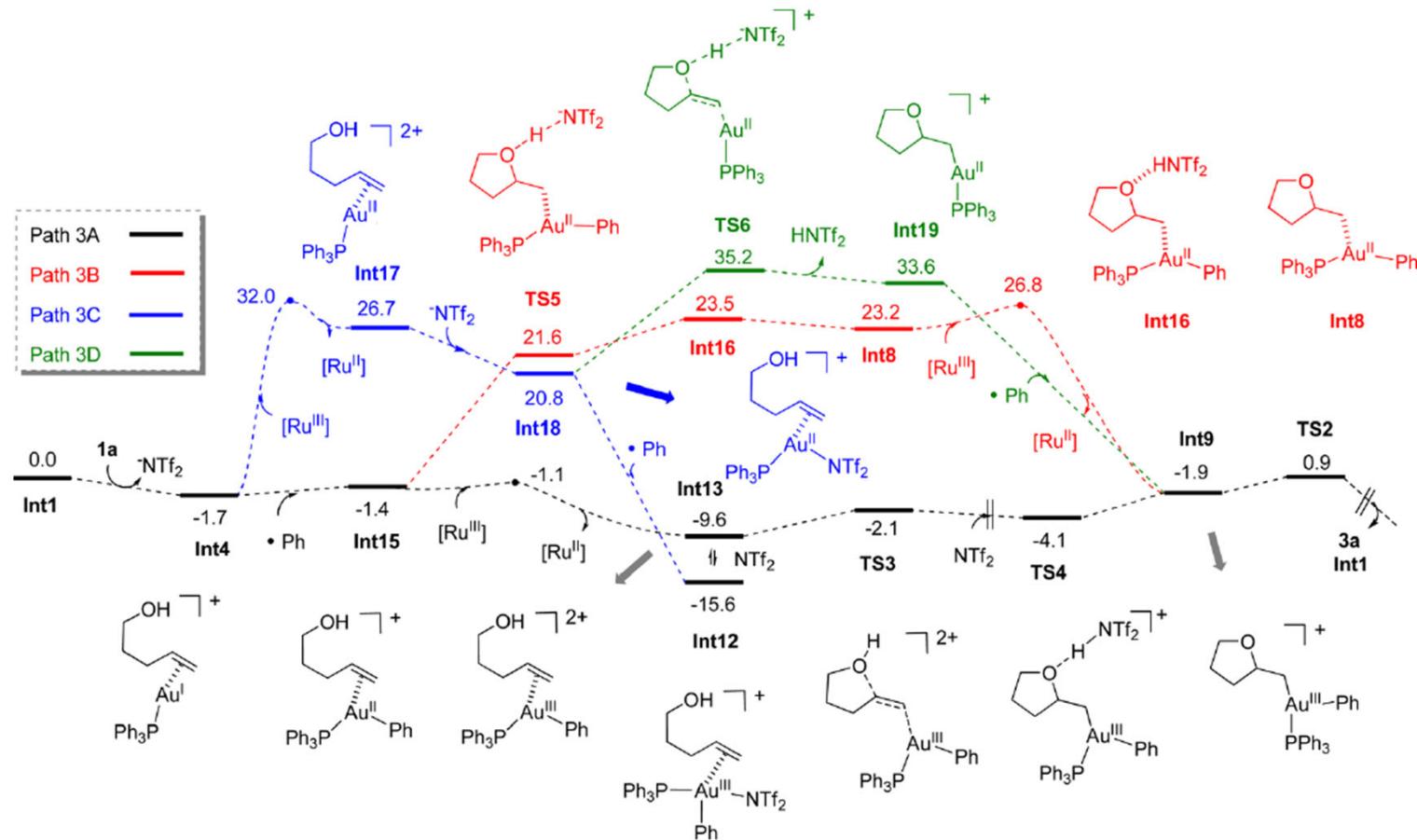
Gold complex as transition-metal catalyst

■ Computational studies: radical-addition-first



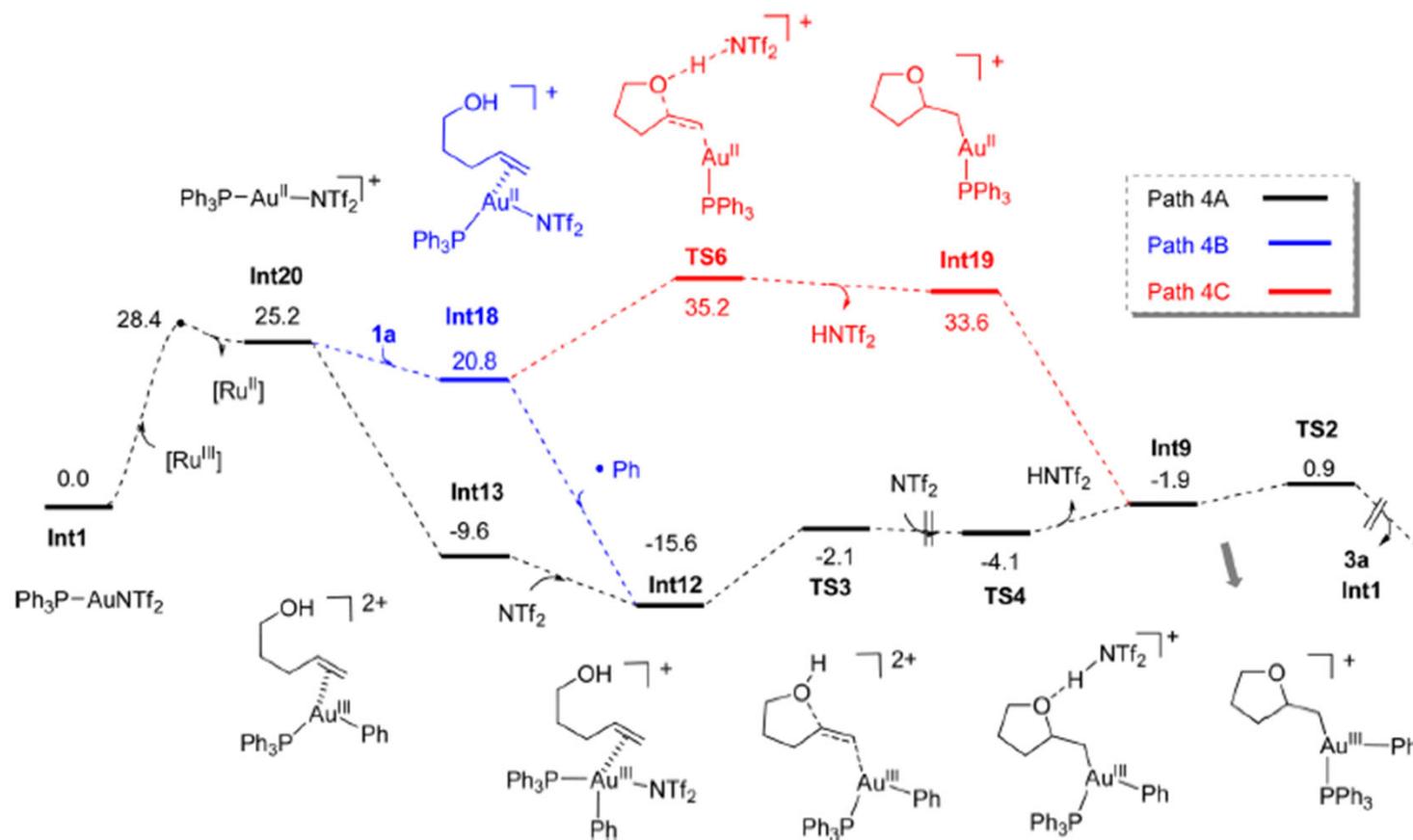
Gold complex as transition-metal catalyst

■ Computational studies: alkene-coordination-first



Gold complex as transition-metal catalyst

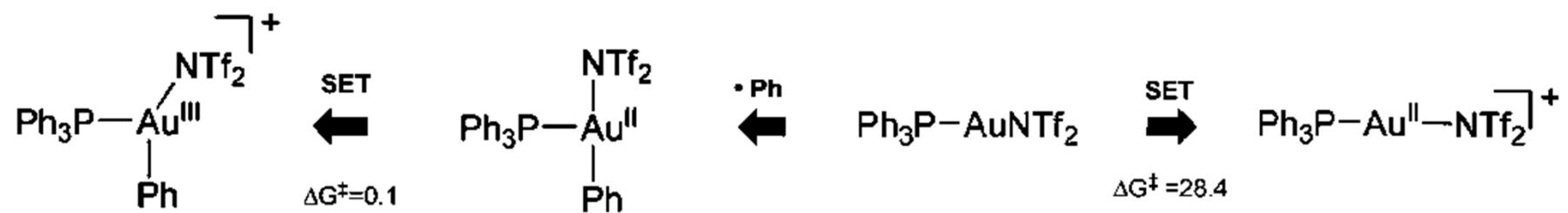
■ Computational studies: SET-first



Gold complex as transition-metal catalyst

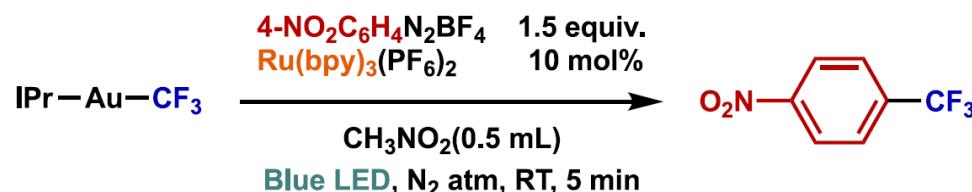
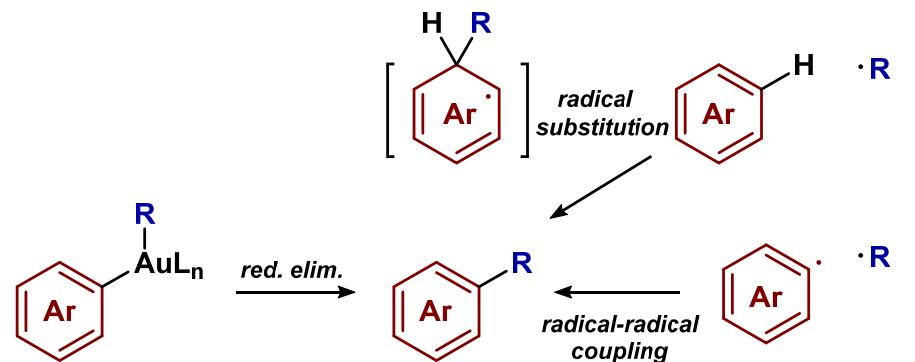
■ Mechanistic rationale

- Higher electrophilic Au(III) center results in lower alkene LUMO energy, thus favoring the cyclization.
- Radical addition occurs preferentially before the SET step.



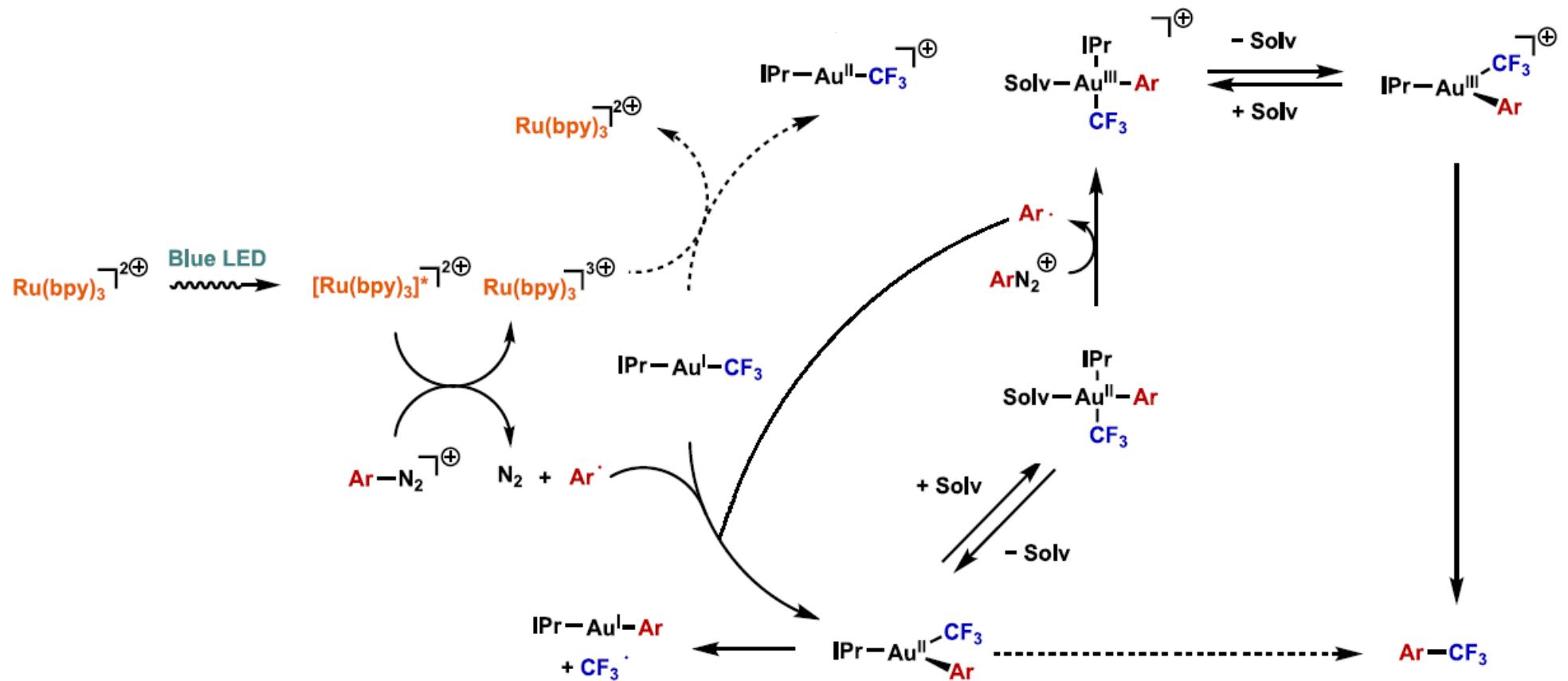
Gold complex as transition-metal catalyst

■ Elucidating the bond-forming step



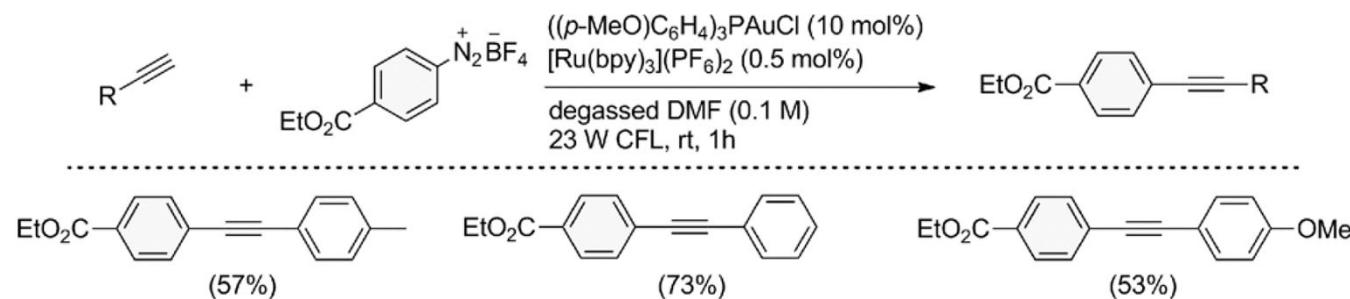
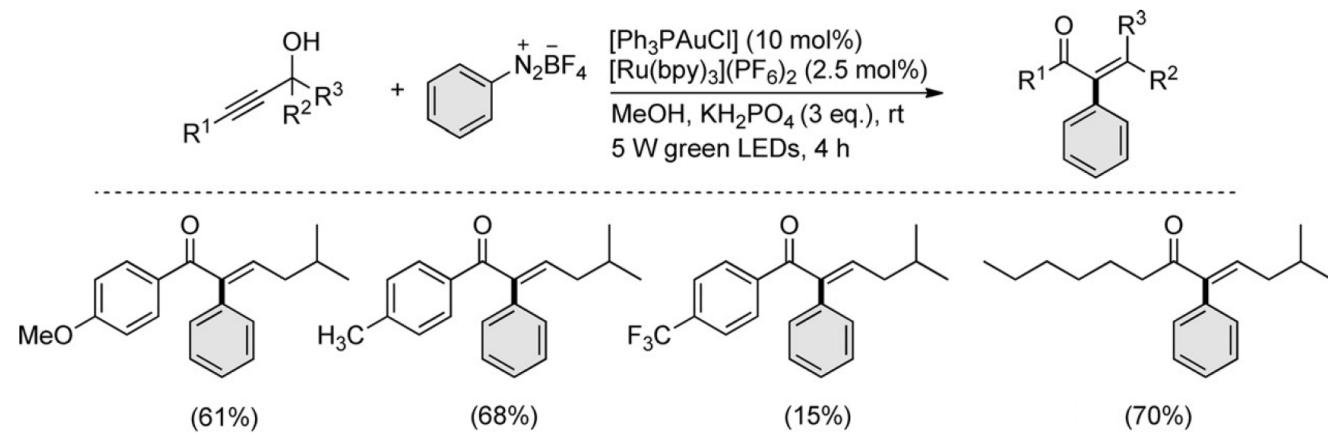
Gold complex as transition-metal catalyst

■ Elucidating the bond-forming step



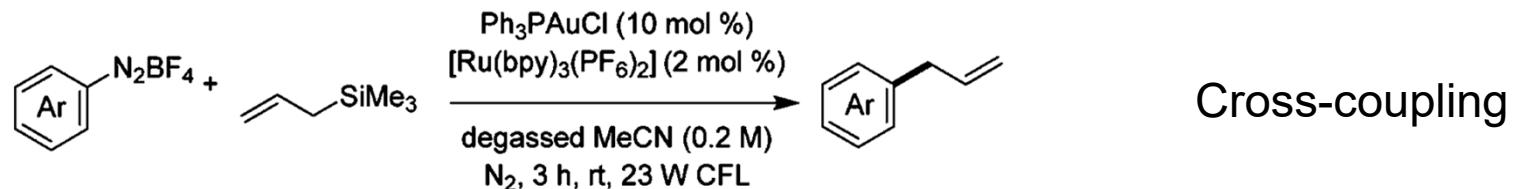
Gold complex as transition-metal catalyst

■ Scope

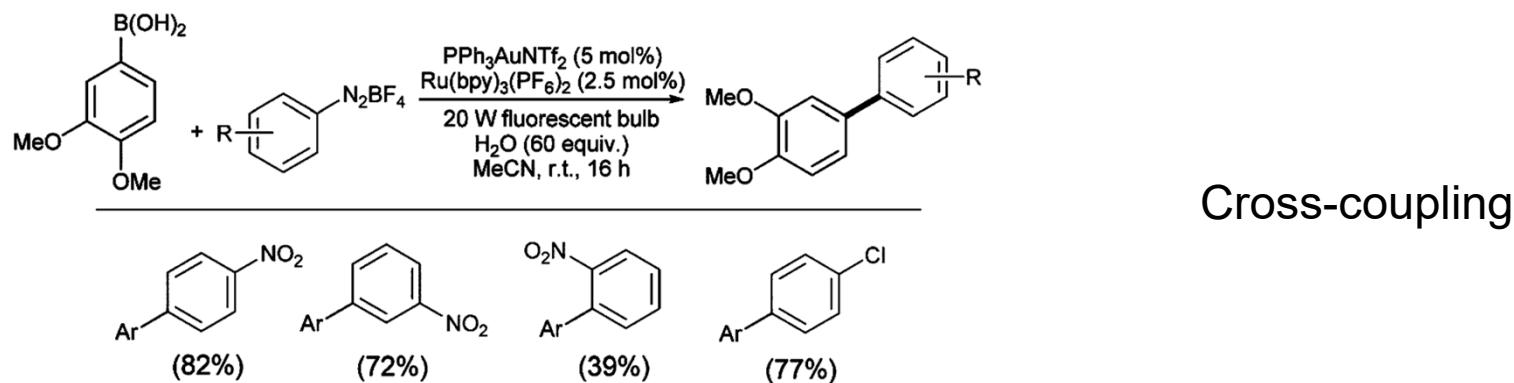


Gold complex as transition-metal catalyst

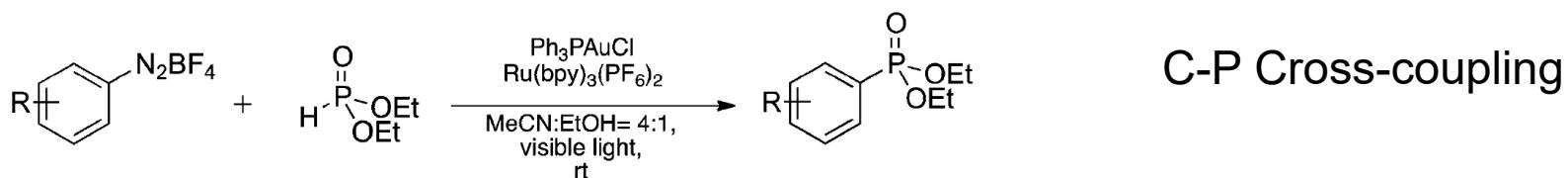
■ Scope



Cross-coupling



Cross-coupling



C-P Cross-coupling

Akram, M. O.; Mali, P. S.; Patil, N. T., *Org. Lett.* **2017**, 19 (12), 3075-3078.

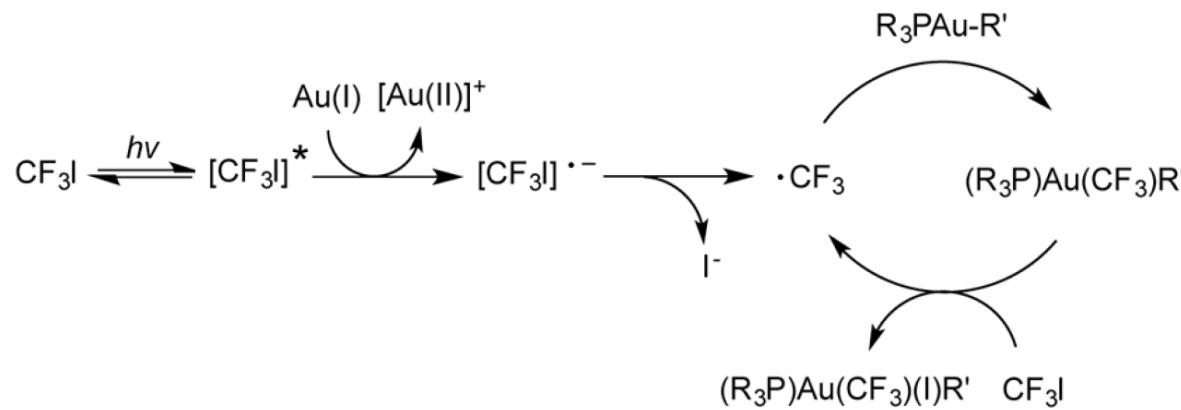
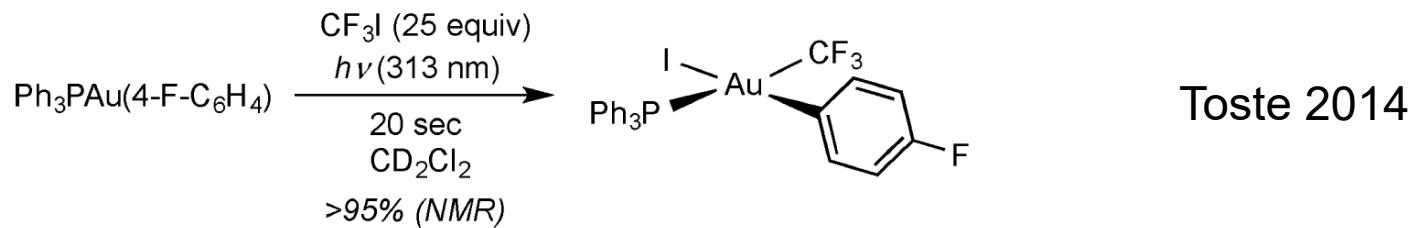
Gauchot, V.; Lee, A. L., *Chem Commun (Camb)* **2016**, 52 (66), 10163-6.

He, Y.; Wu, H.; Toste, F. D., *Chem Sci* **2015**, 6 (2), 1194-1198.

Gold complex as transition-metal catalyst

■ Energy-transfer promoted oxidation addition

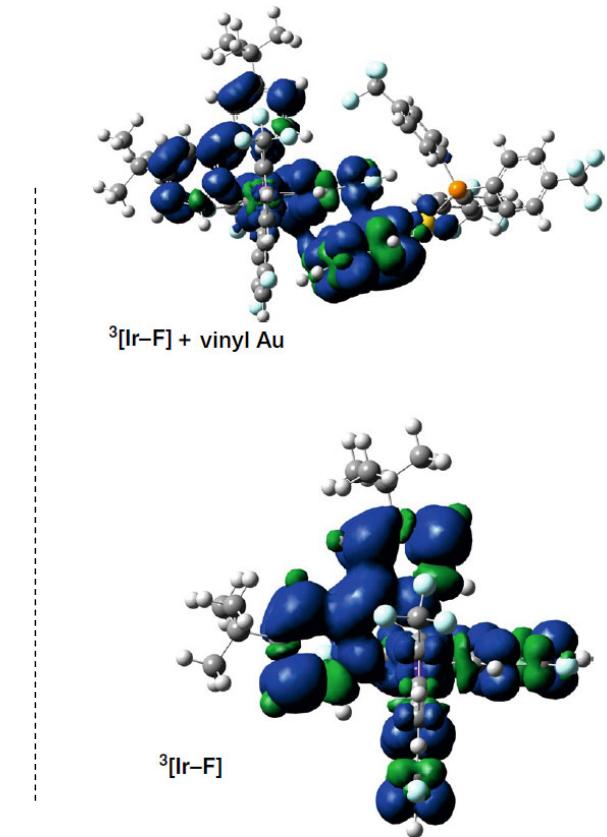
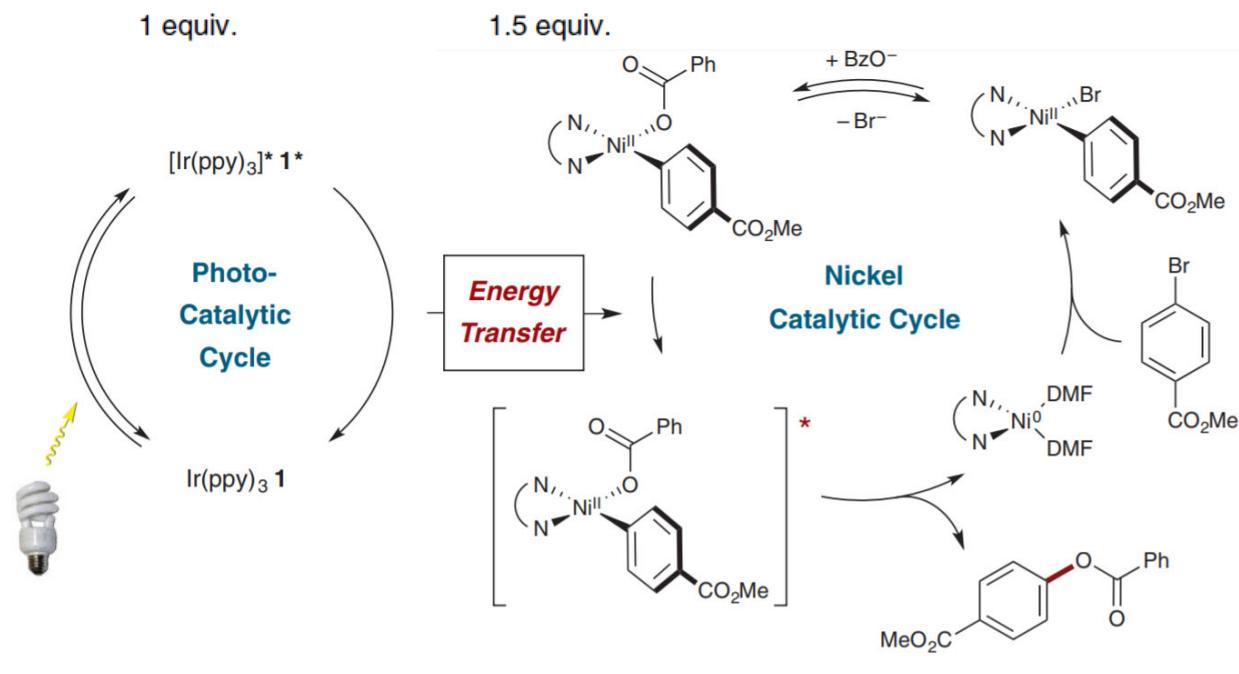
- Early report of light facilitated oxidative addition to Au(I)



Gold complex as transition-metal catalyst

■ Energy-transfer promoted oxidation addition

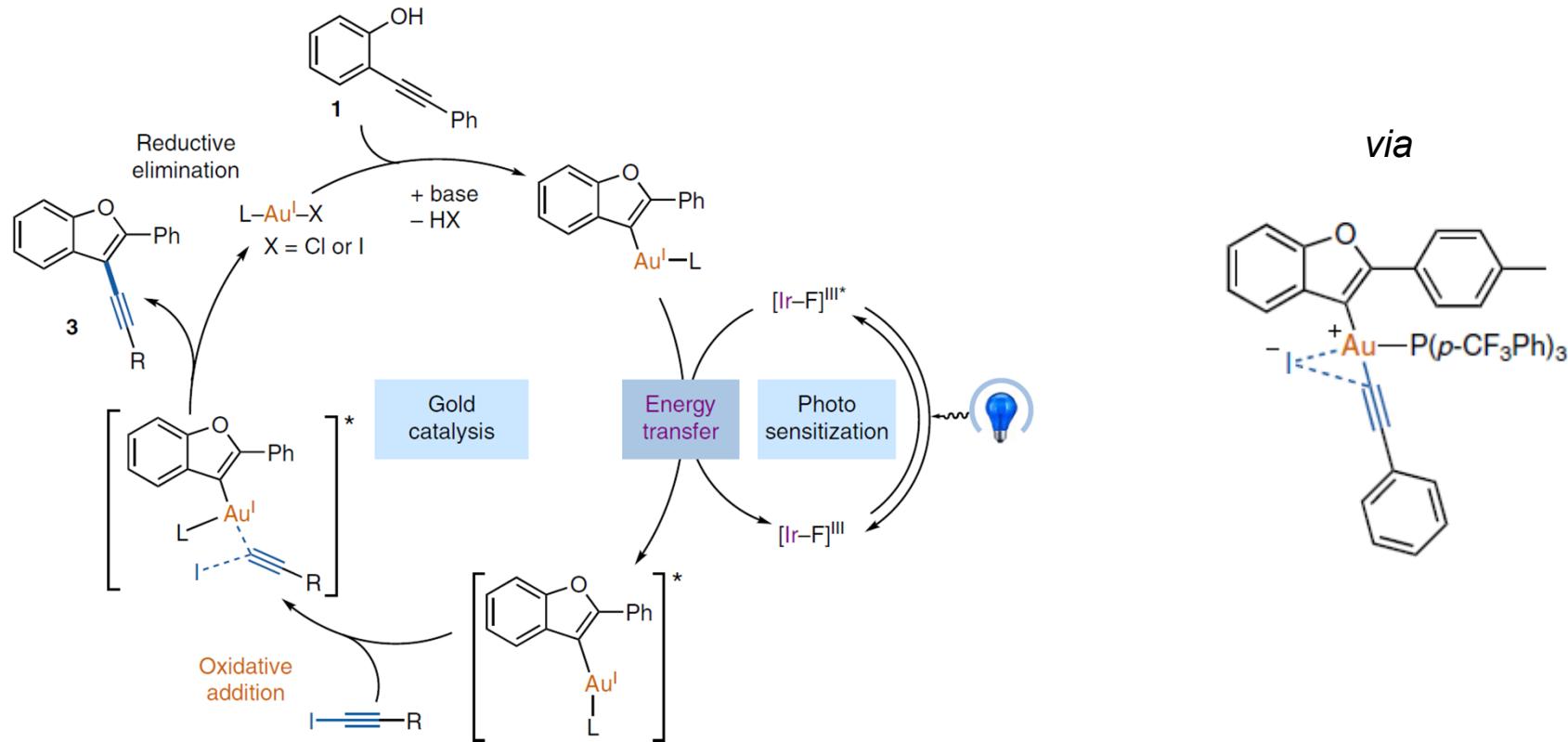
- No conversion in the absence of irradiation, low conversion in the absence of $[\text{IrF}]^*$
- No fluorescence quenching of $[\text{IrF}]^*$ by RI or $[\text{AuCF}_3]$ is observed.
- Vinyl-Au intermediate is formed and isolated.
- Quenching of $[\text{IrF}]^*$ by vinyl-Au intermediate is confirmed.



Gold complex as transition-metal catalyst

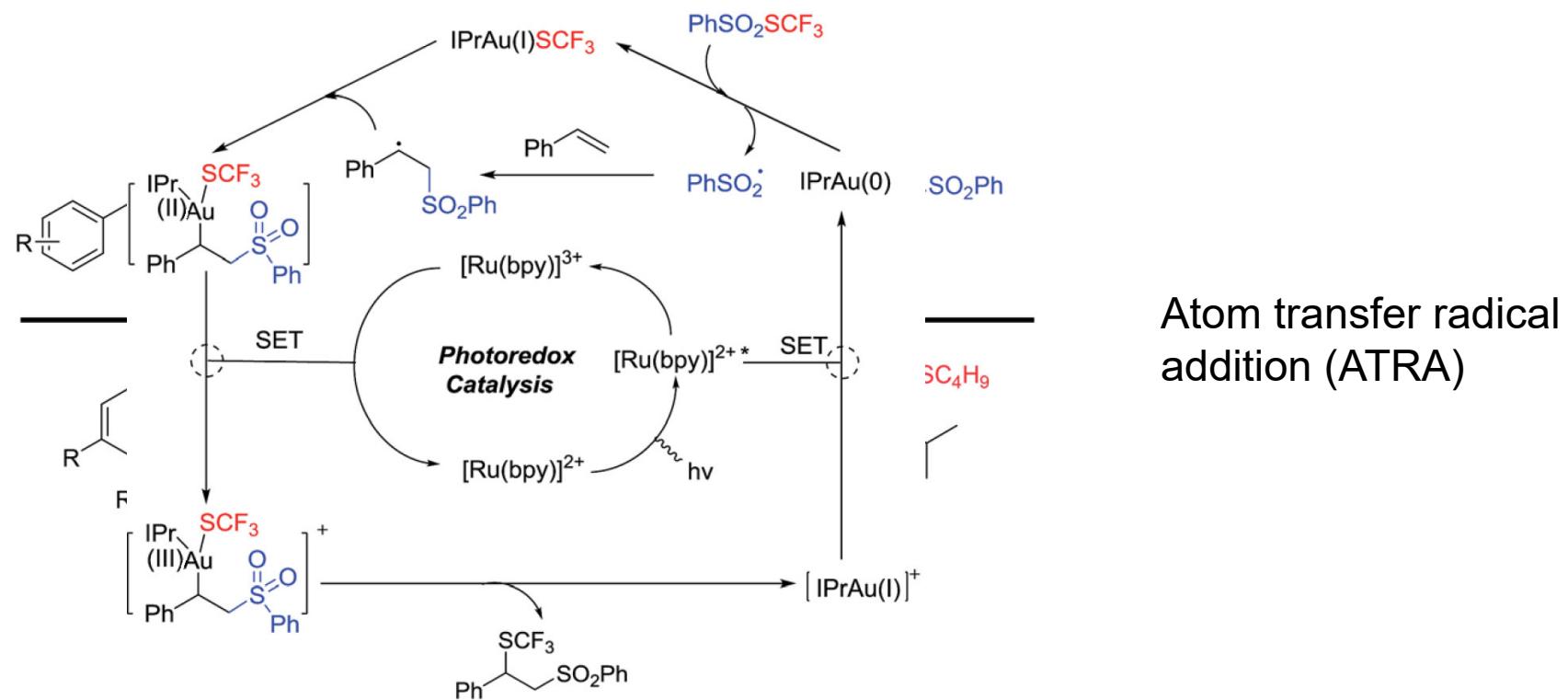
■ Energy-transfer promoted oxidation addition

- The reaction proceeds on the triplet potential energy surface.
- Catalytic cycle:



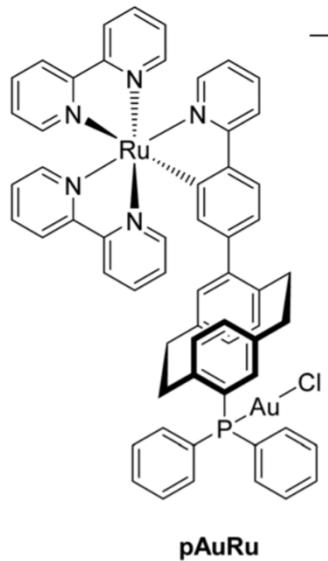
Gold complex as transition-metal catalyst

■ Scope

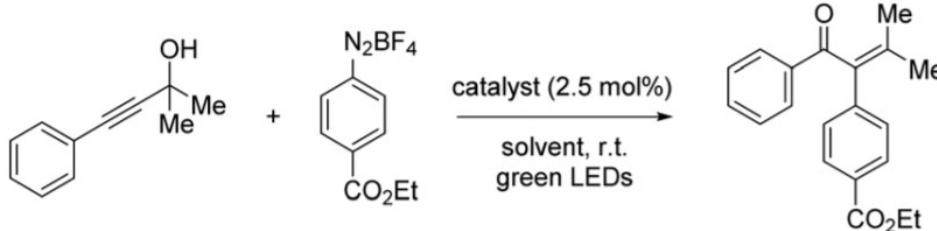
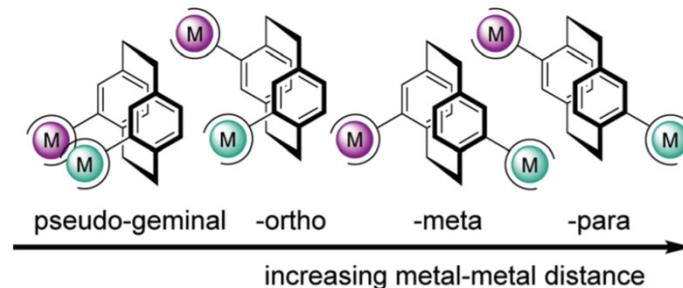
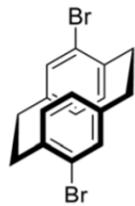


Gold complex as transition-metal catalyst

■ Designing a tethered dual catalyst



5 steps, 25% from



Entry	Catalyst	Solvent	Yield [%]
1	PPh ₃ AuCl, Ru(bpy) ₃ Cl ₂	MeOH	76
2	PPh ₃ AuCl, Ru(bpy) ₃ Cl ₂	MeCN/MeOH 3 : 1	73
3	PPh ₃ AuCl, Ru(bpy) ₂ (ppy)PF ₆	MeCN/MeOH 3 : 1	73
4	PCP-Au, PCP-Ru	MeCN/MeOH 3 : 1	70
5	pAuRu	MeCN/MeOH 3 : 1	63

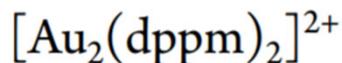
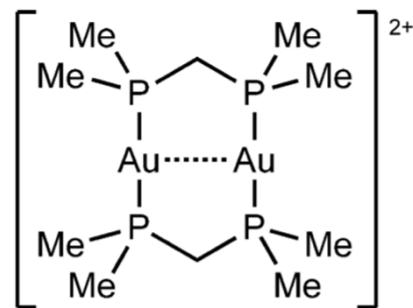
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Gold complex as photosensitizer

■ Properties of dimeric gold complex

- The dimeric gold(I) complex $[\text{Au}_2(\mu\text{-dppm})_2]^{2+}$



Quencher	$k_q (\text{M}^{-1} \text{s}^{-1})^{\text{a}}$
Tetrabromomethane	8.90×10^9
Bromoform	8.70×10^9
Tetrachloromethane	3.37×10^9
Ethyl iodide	1.73×10^9
Methyl iodide	1.00×10^9
Allyl bromide	7.58×10^8
Chloroform	4.59×10^7
n-Butyl bromide	2.85×10^6
Benzyl chloride	1.85×10^6

Obtained from Stern–Volmer analysis where $\tau_o/\tau = 1 + k_q\tau_o[Q]$

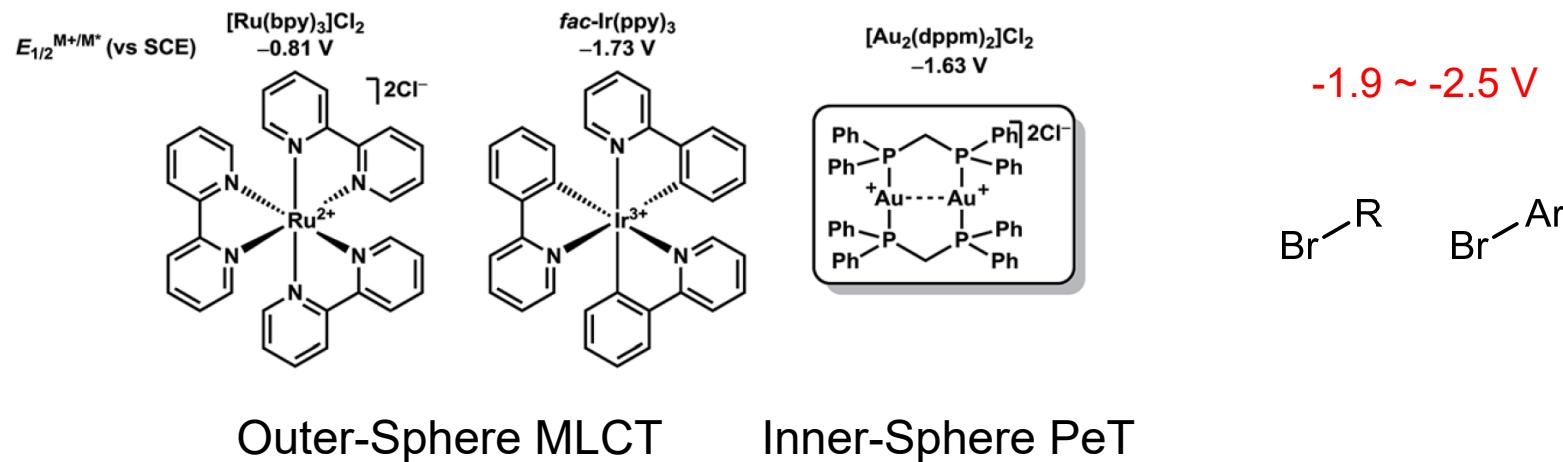
McTiernan, C. D.; Morin, M.; McCallum, T.; Scaiano, J. C.; Barriault, L., *Catal. Sci. Technol.* **2016**, 6 (1), 201-207.

Che, C.-M.; Kwong, H.-L.; Poon, C.-K.; Yam, V. W.-W., *J. Chem. Soc., Dalton Trans.* **1990**, (11), 3215-3219.

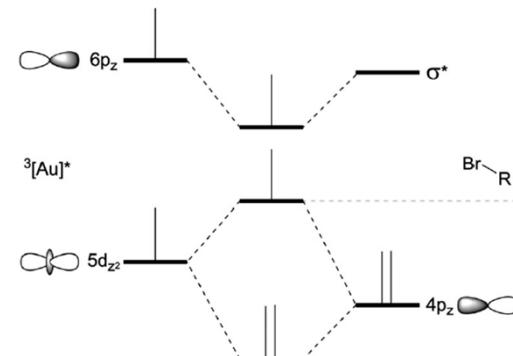
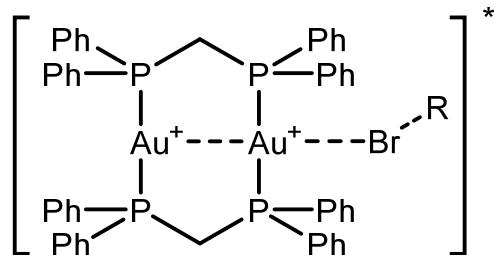
Che, C.-M.; Kwong, H.-L.; Yam, V. W.-W.; Cho, K.-C., *J. Chem. Soc, Chem. Comm.* **1989**, (13), 885-886.

Gold complex as photosensitizer

■ Inner-sphere electron transfer

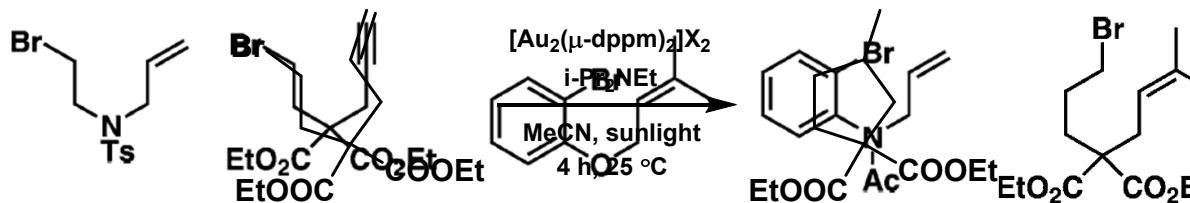


- Upon excitation, an exciplex forms which facilitates the PeT.

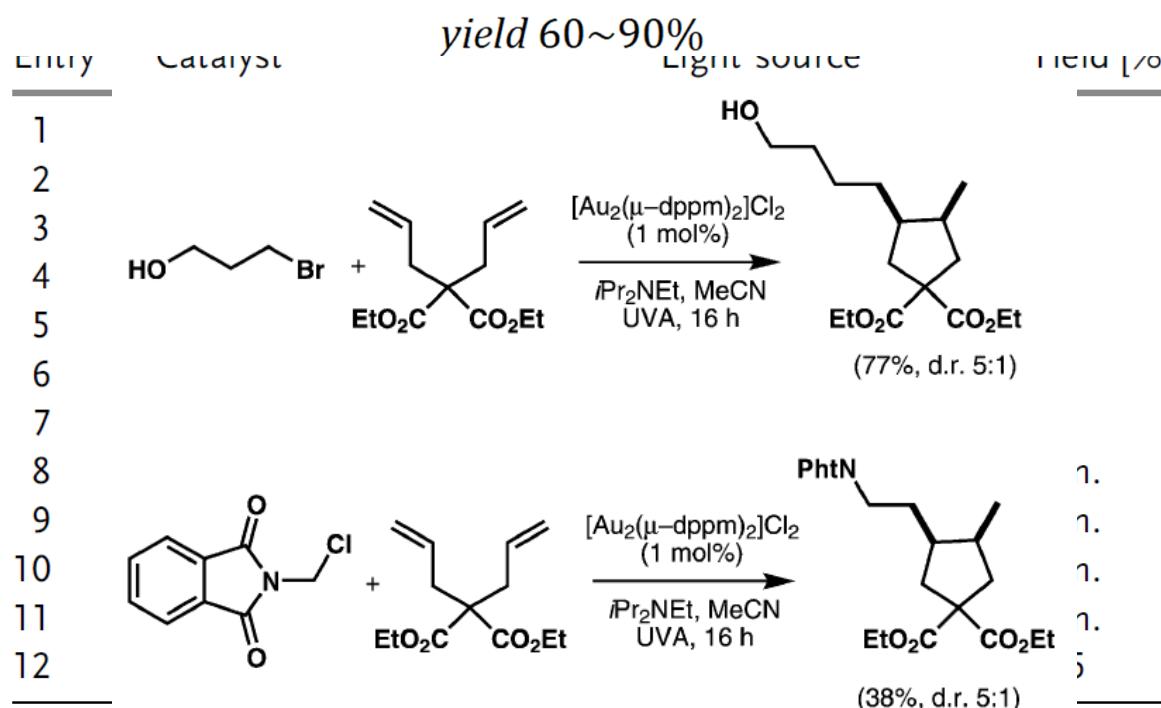


Gold complex as photosensitizer

■ Reductive debromination radical cyclization



Barriault 2013



Gold complex as photosensitizer

■ Mechanism studies

- Reductive or oxidative quenching?

$E^*_{\text{ox}} = -1.63 \text{ V vs. SCE}$ and $E^*_{\text{red}} = 0.70 \text{ V vs. SCE}$

$E_{\text{RX}} = -1.90 \text{ V vs. SCE}$ and $E_{\text{DIPEA}} = 0.50 \text{ V vs. SCE}$

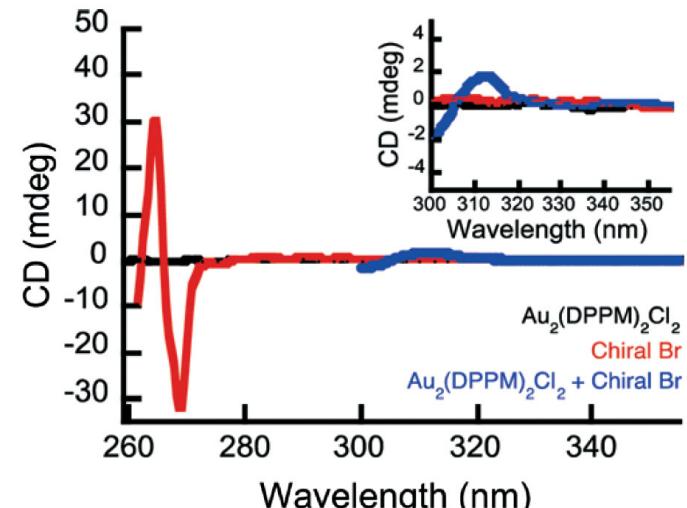
Quenching rate constant:



Quencher	$k_q (\text{M}^{-1} \text{ s}^{-1})$
ButylBr	2.9×10^6
RBr	3.1×10^7
DIPEA	2.7×10^7



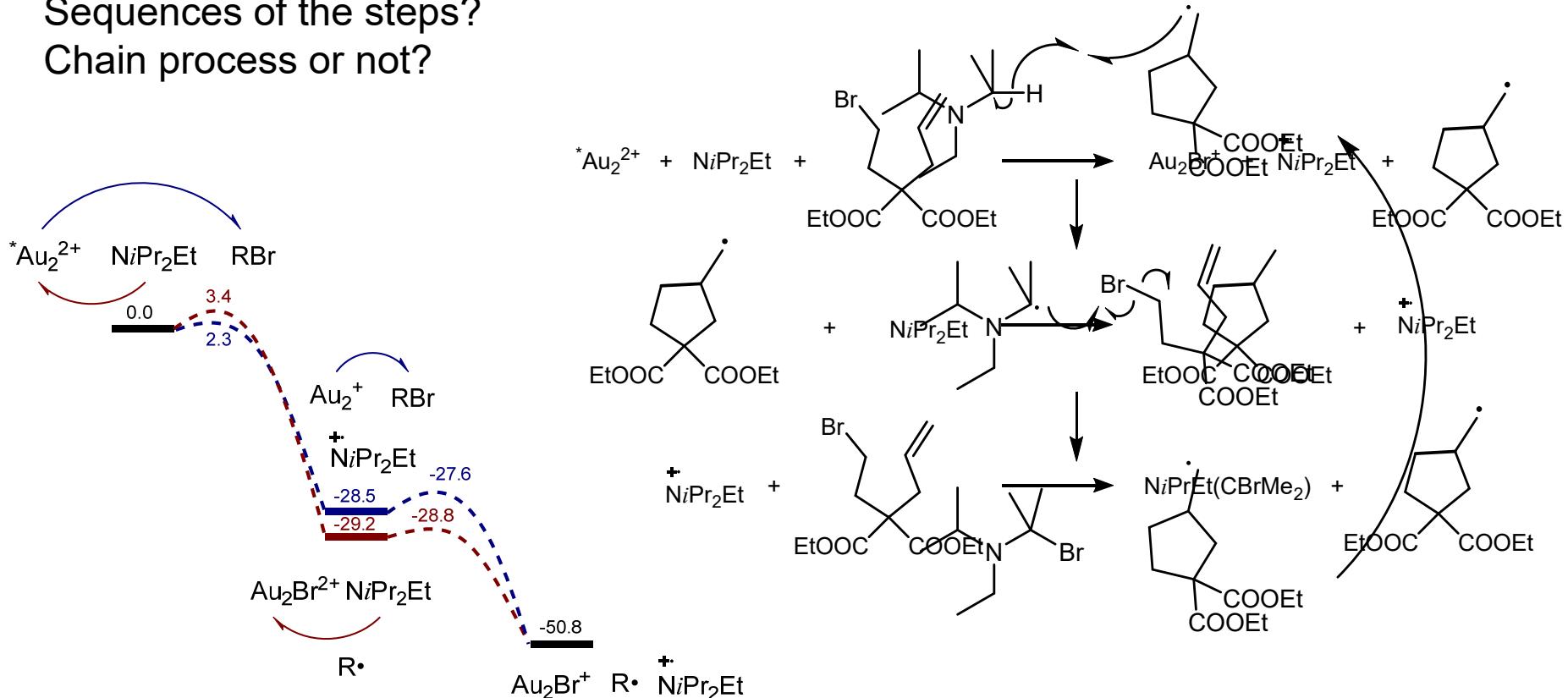
18% quenched by RBr;
78% by DIPEA (excess).



Gold complex as photosensitizer

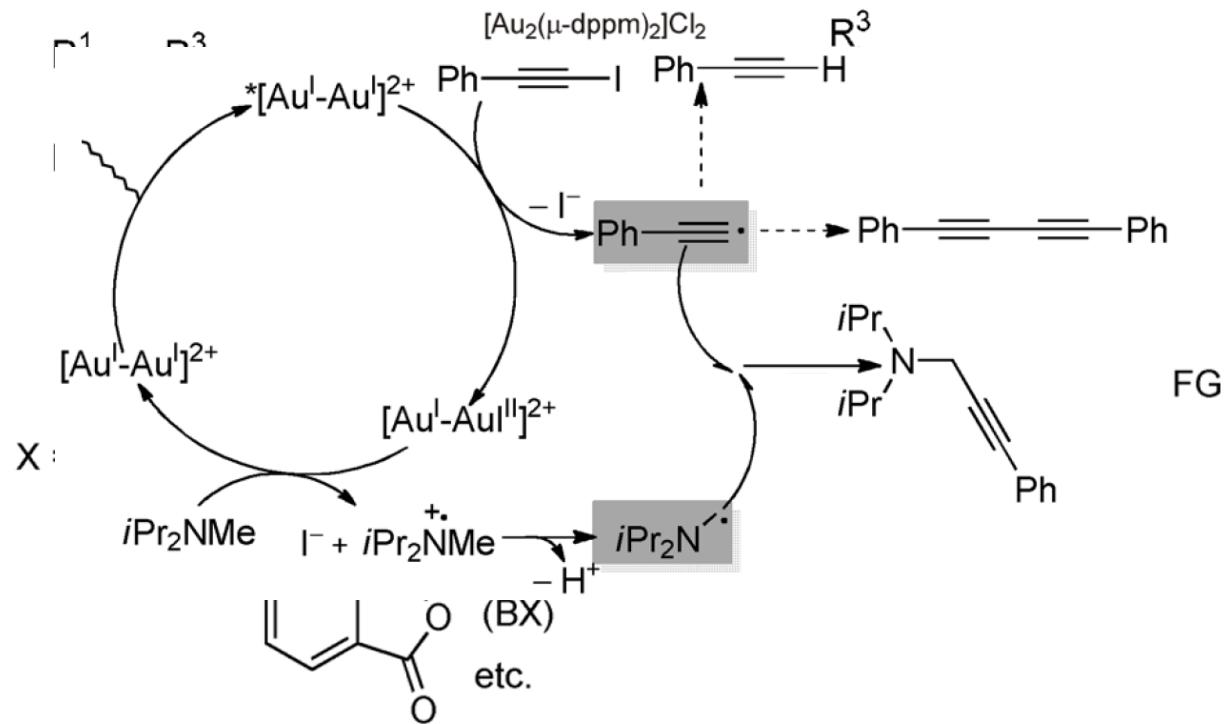
■ Mechanism studies

- Reductive or oxidative quenching?
- Sequences of the steps?
- Chain process or not?



Gold complex as photosensitizer

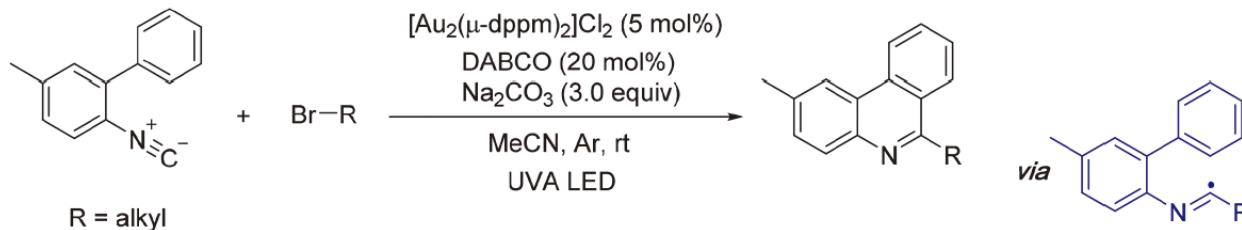
■ Scope



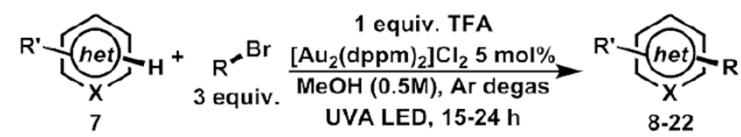
Hashmi 2014

Gold complex as photosensitizer

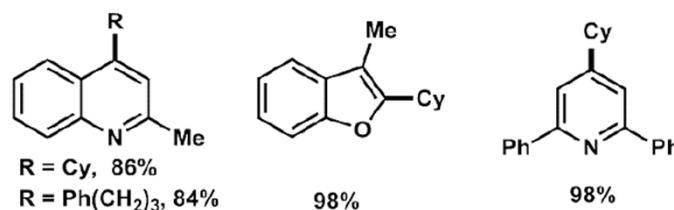
■ Scope



Addition to isonitriles

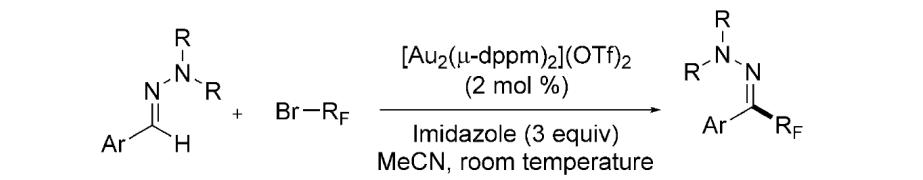


Addition to heteroarene

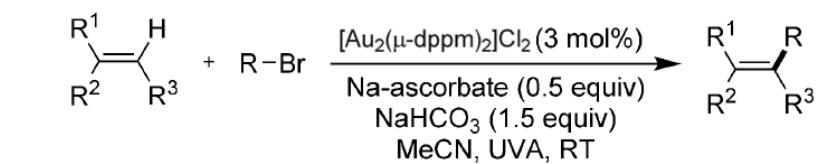
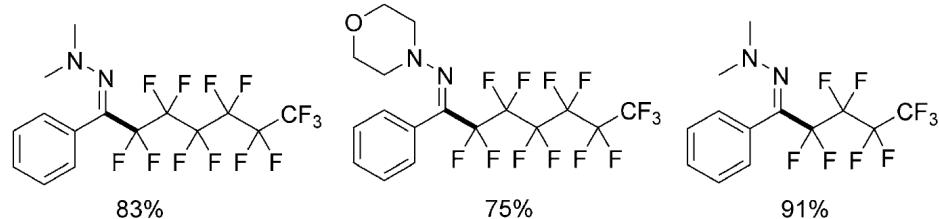


Gold complex as photosensitizer

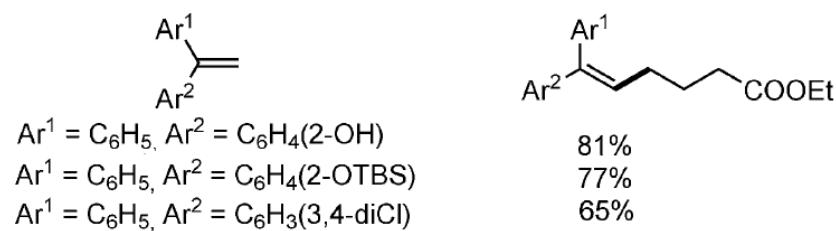
■ Scope



Addition to hyrazones

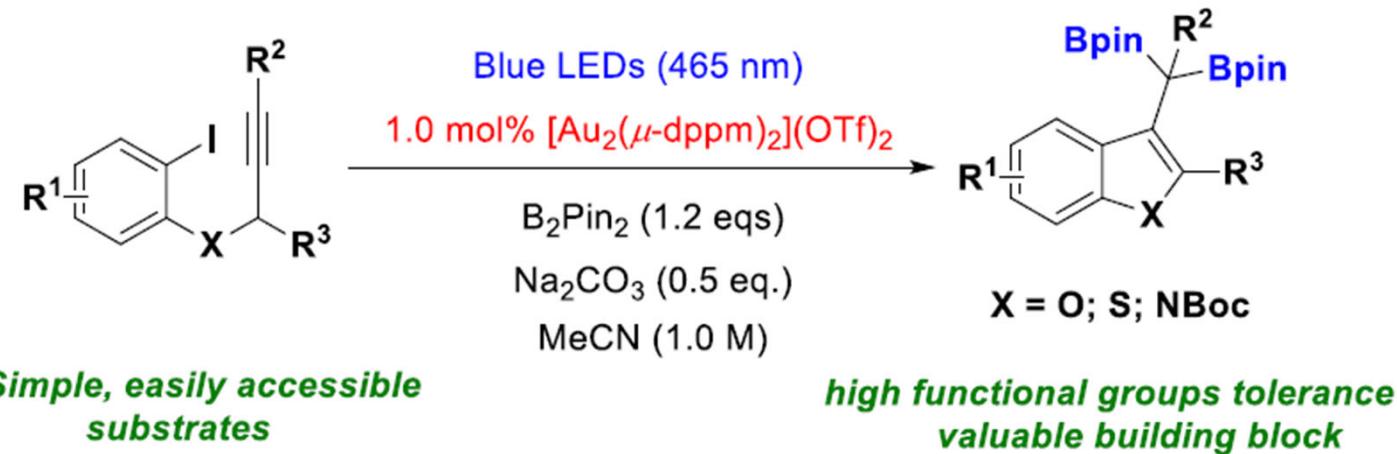


Addition to alkenes



Gold complex as photosensitizer

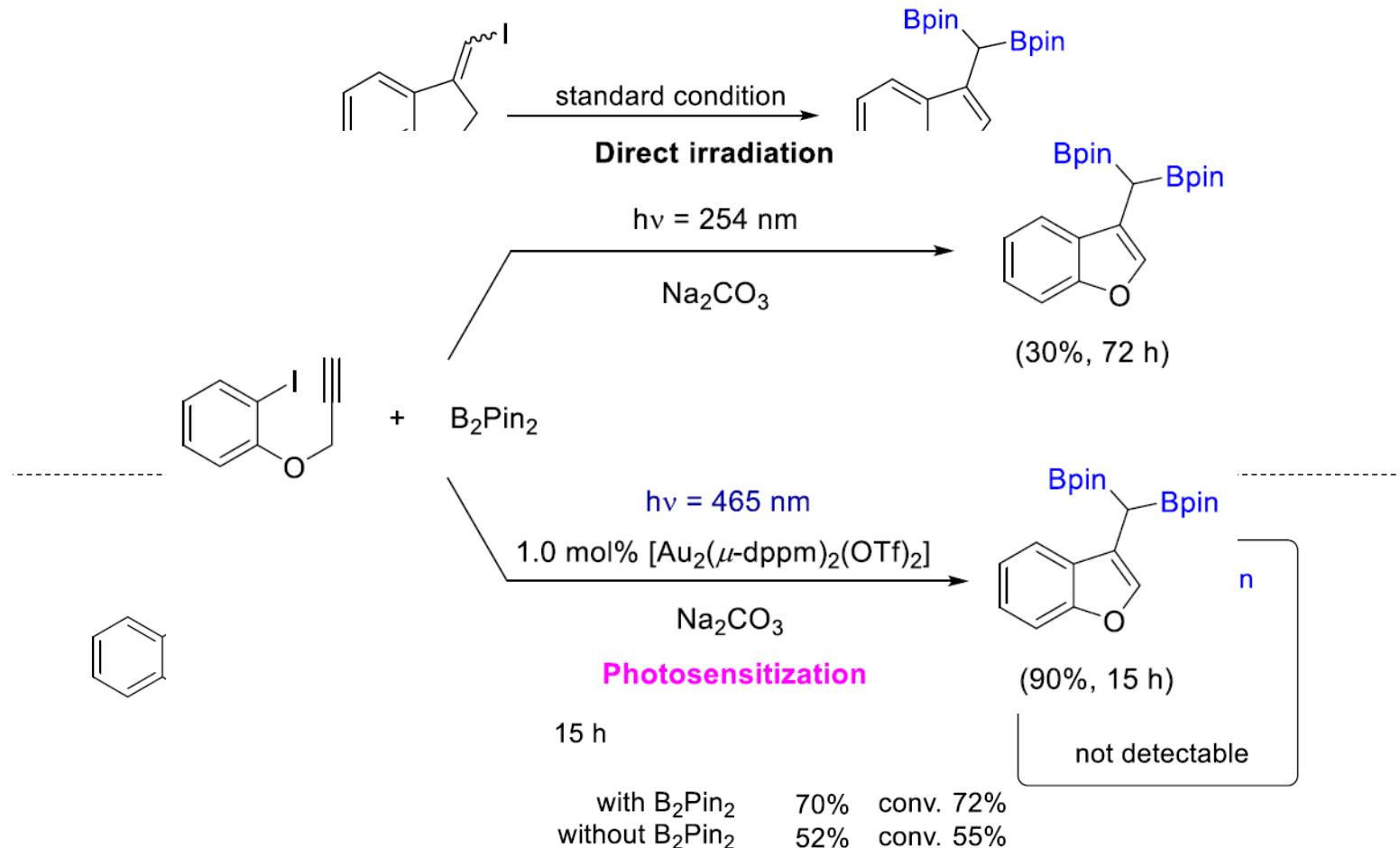
■ Dimeric Au(I) photocatalysis via energy transfer



- New absorption peak arises in $[\text{Au}_2(\mu\text{-dppm})_2](\text{OTf})_2 + \text{Na}_2\text{CO}_3$ solution;
- **Aryl bromide resulted in no conversion;**
- Quantum yield ~ 0.34

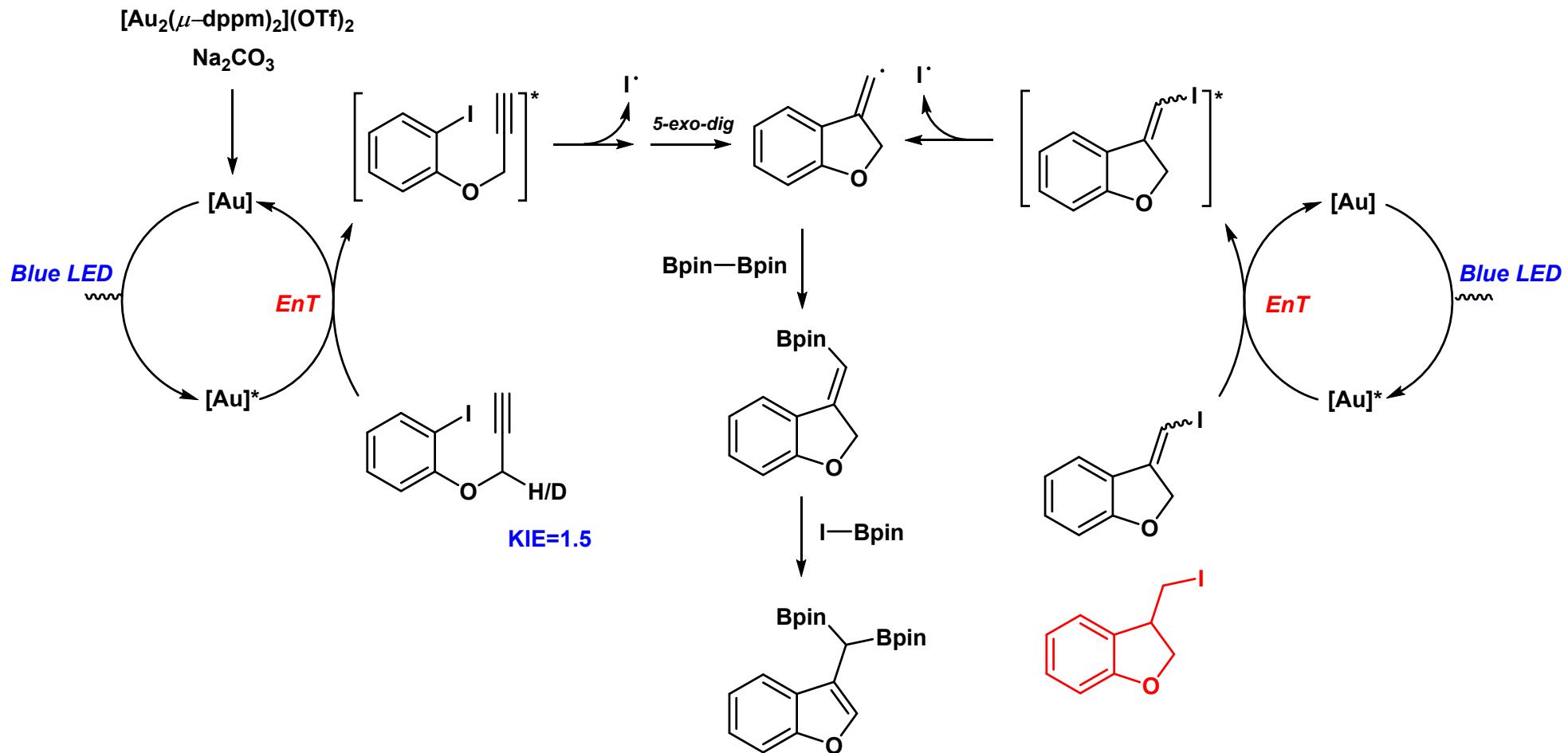
Gold complex as photosensitizer

■ Dimeric Au(I) catalysis via energy transfer



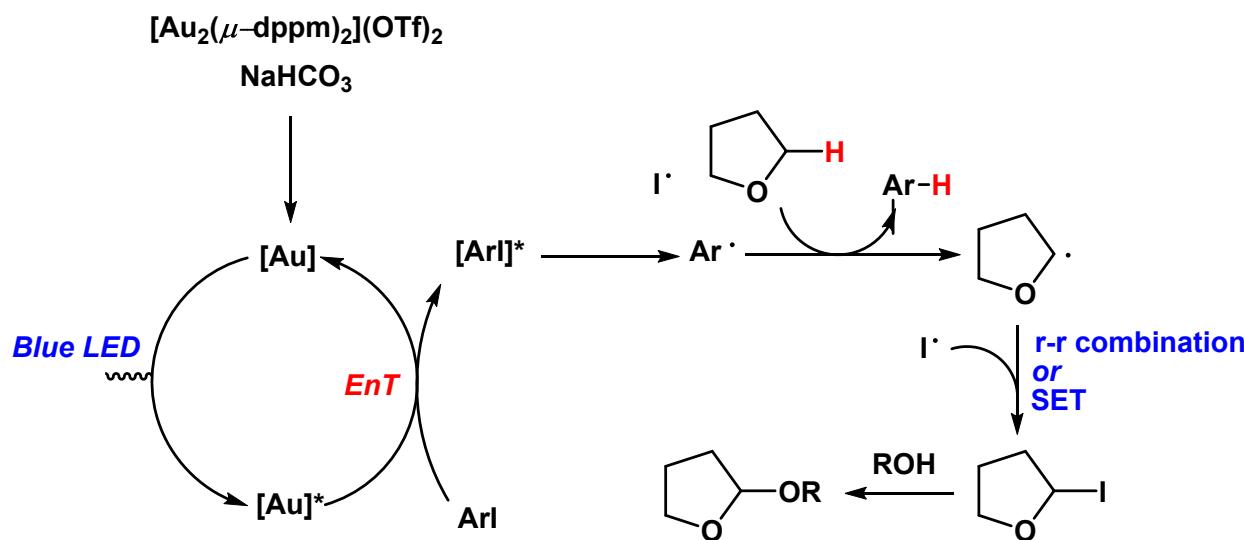
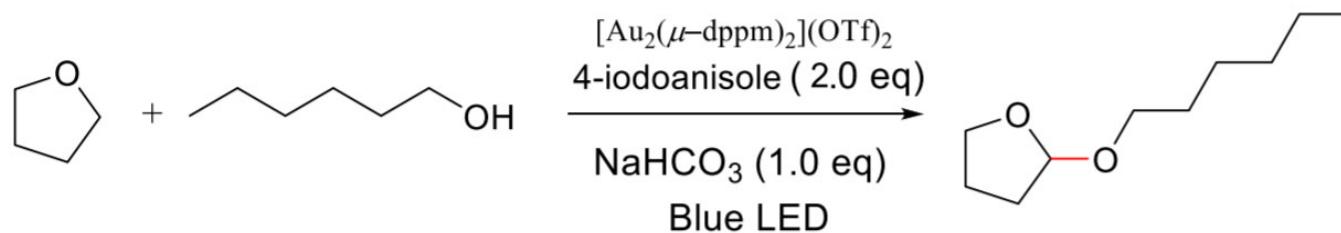
Gold complex as photosensitizer

■ Proposed mechanism



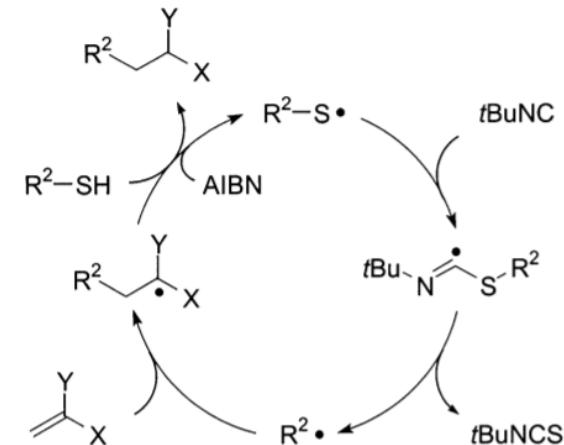
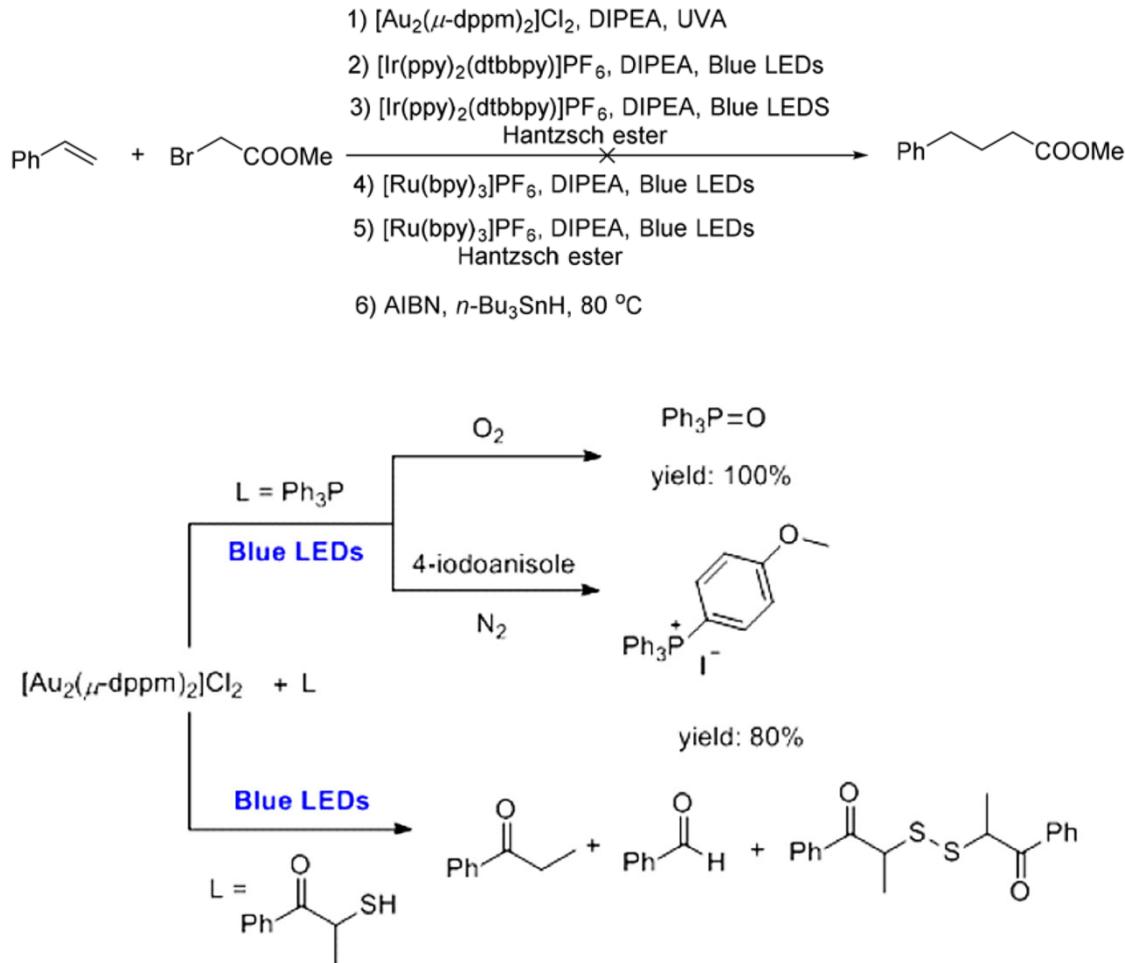
Gold complex as photosensitizer

■ Variation



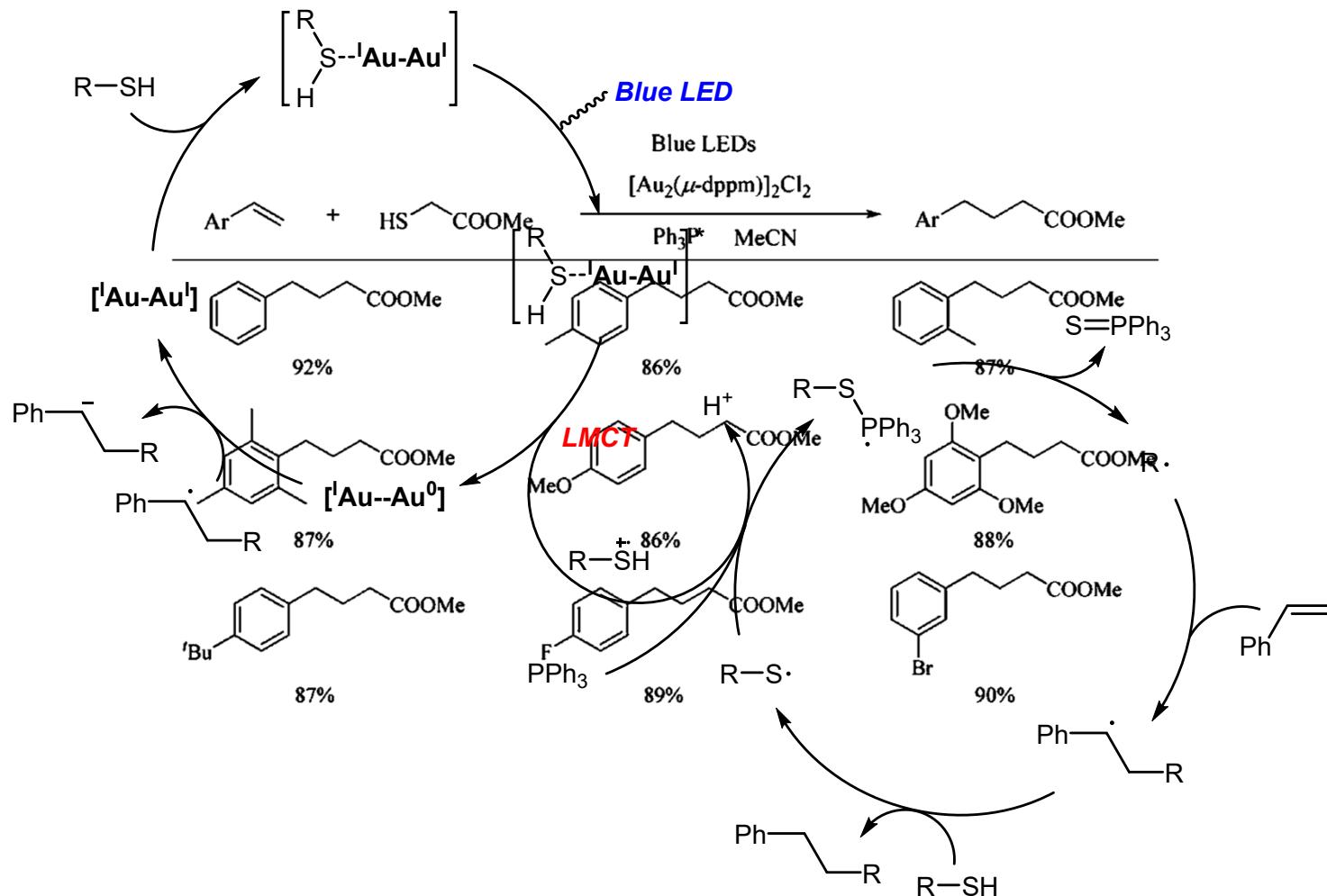
Gold complex as photosensitizer

■ Reductive desulfurizing C-C coupling



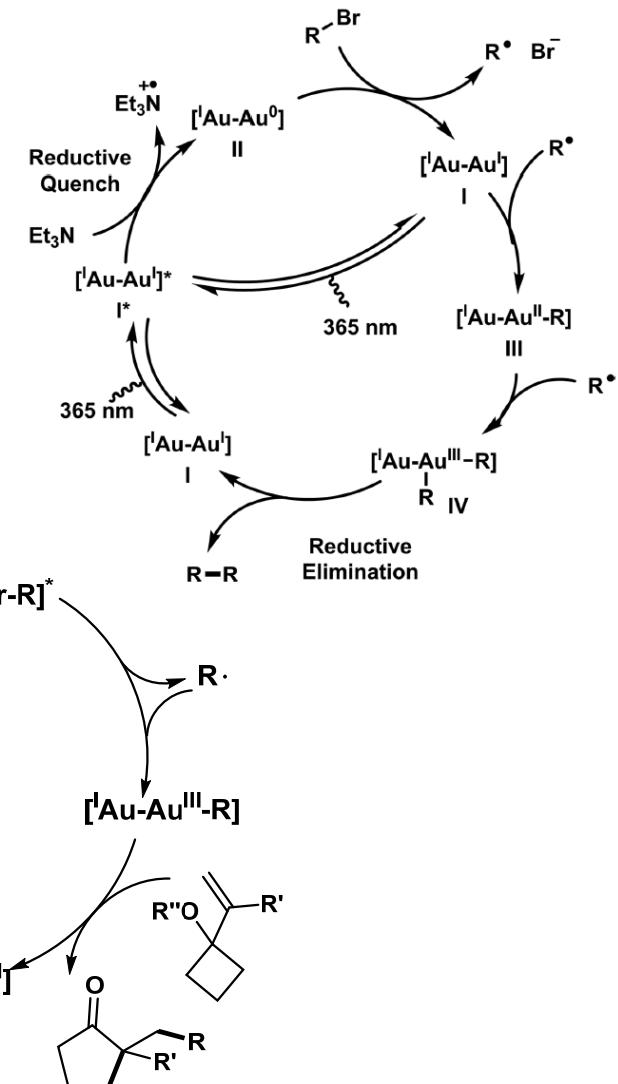
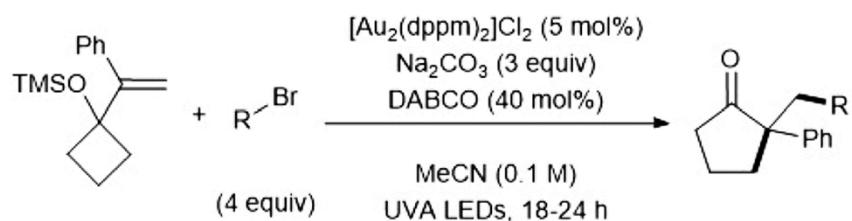
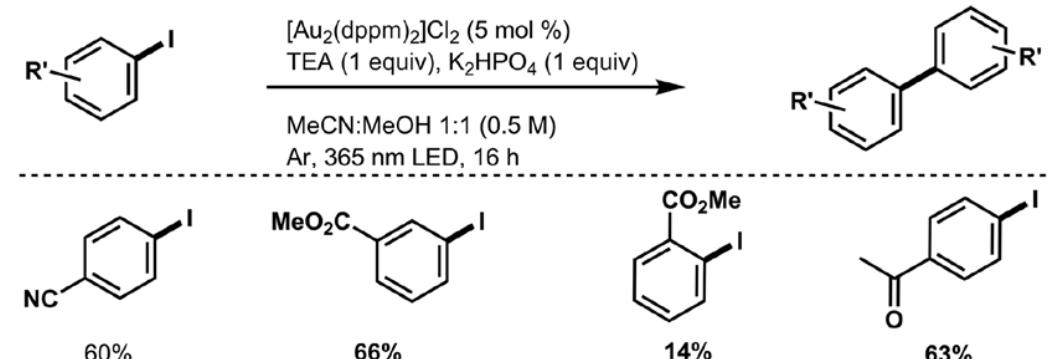
Gold complex as photosensitizer

■ Reductive desulfurizing C-C coupling



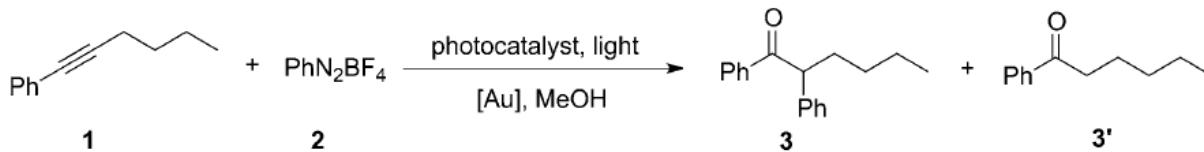
Gold complex as photosensitizer

■ Multiple role of dimeric Au(I) catalyst



Gold complex as photosensitizer

■ Mononuclear gold(I) complex as photosensitizer

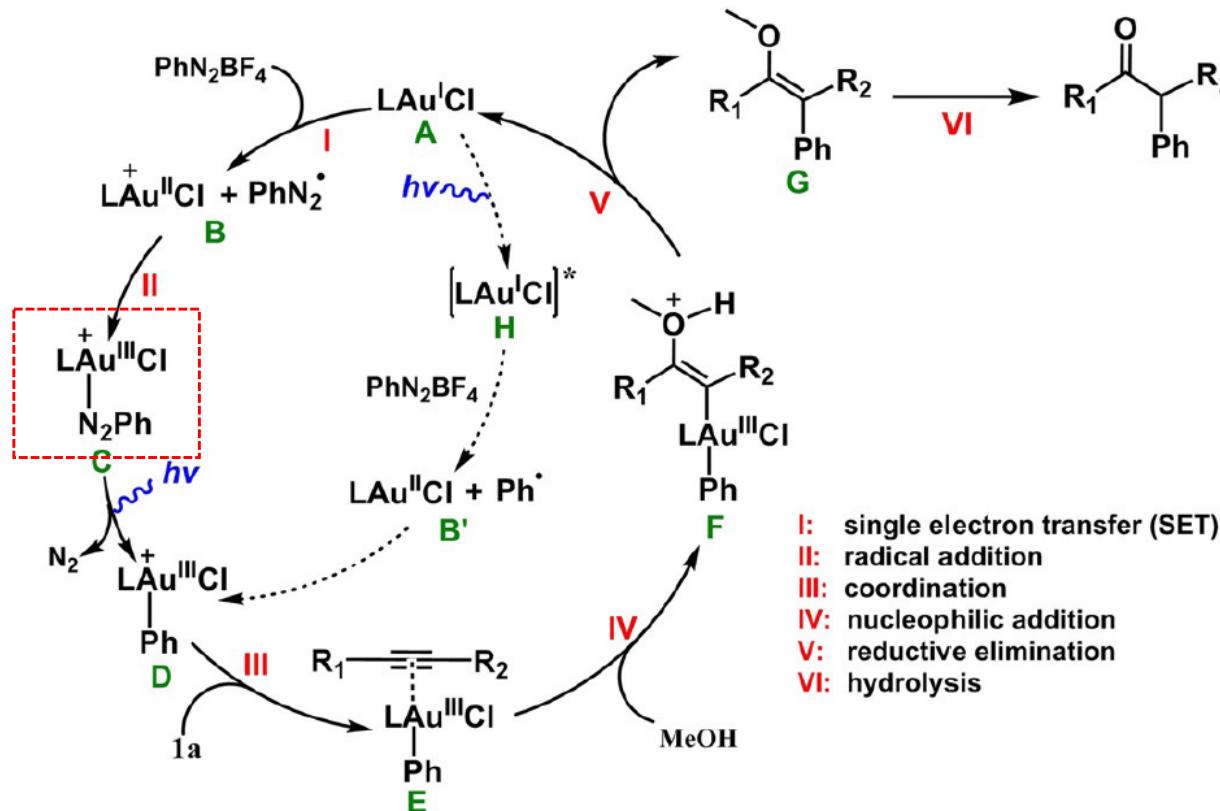


Photocatalyst (5 mol %)	Light source	Au (10 mol %)	Additive	Yield of 3	Ratio 3/3'
[Ru(bpy) ₃]Cl ₂ ·6H ₂ O	UVA	Ph ₃ PAuCl	—	27%	5:1
Ir[dF(CF ₃)ppy] ₂ (dtbbpy)PF ₆	UVA	Ph ₃ PAuCl	—	57%	9:1
[Au ₂ (μ-dppm) ₂](NTf ₂) ₂	UVA	Ph ₃ PAuCl	—	65 %	11:1
—	UVA	—	—	—	—
[Au ₂ (μ-dppm) ₂](NTf ₂) ₂	UVA	—	—	trace	—
—	UVA	Ph ₃ PAuCl	—	76%	14:1
—	—	Ph ₃ PAuCl	—	—	—
—	20 W CFL	Ph ₃ PAuCl	—	9 %	6:1
—	blue LEDs	Ph ₃ PAuCl	—	79%	> 20:1
—	blue LEDs	Pd(OAc) ₂	—	—	—
—	blue LEDs	Cu(OAc) ₂	—	—	—
—	blue LEDs	(4-CH ₃ C ₆ H ₄) ₃ PAuCl	—	71 %	13:1
—	blue LEDs	(4-CF ₃ C ₆ H ₄) ₃ PAuCl	—	87% (81%)	15:1
—	blue LEDs	AuCl	—	trace	—
Ph ₂ IOTf was used instead of 2	blue LEDs	Ph ₃ PAuCl	—	—	—

Gold complex as photosensitizer

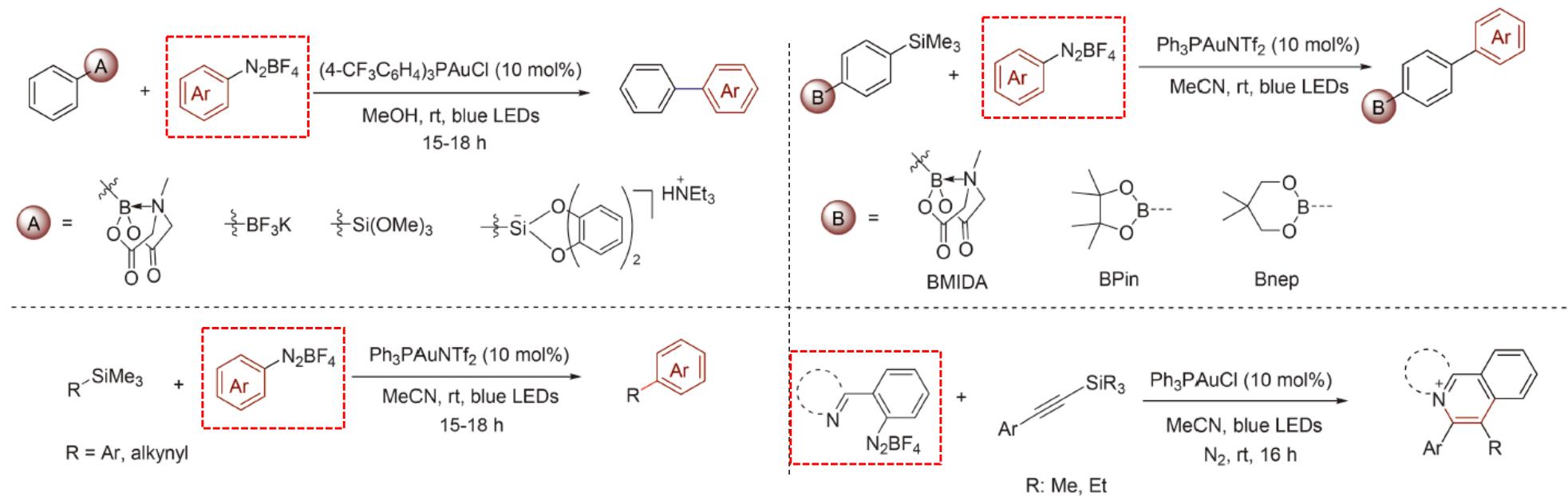
■ Proposed mechanism

- No absorption of Au(I) or PhN_2^+ in the region of 400-500 nm (blue LED).



Gold complex as photosensitizer

■ Other examples

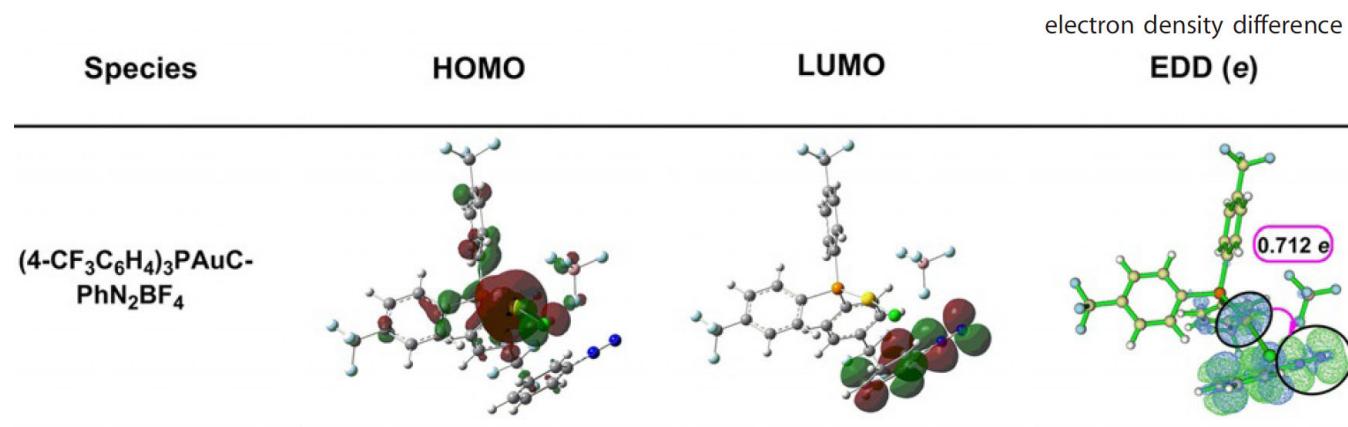


Gold complex as photosensitizer

■ Computational study

- Au(I) associates with PhN_2^+ to form a charge-transfer (CT) complex

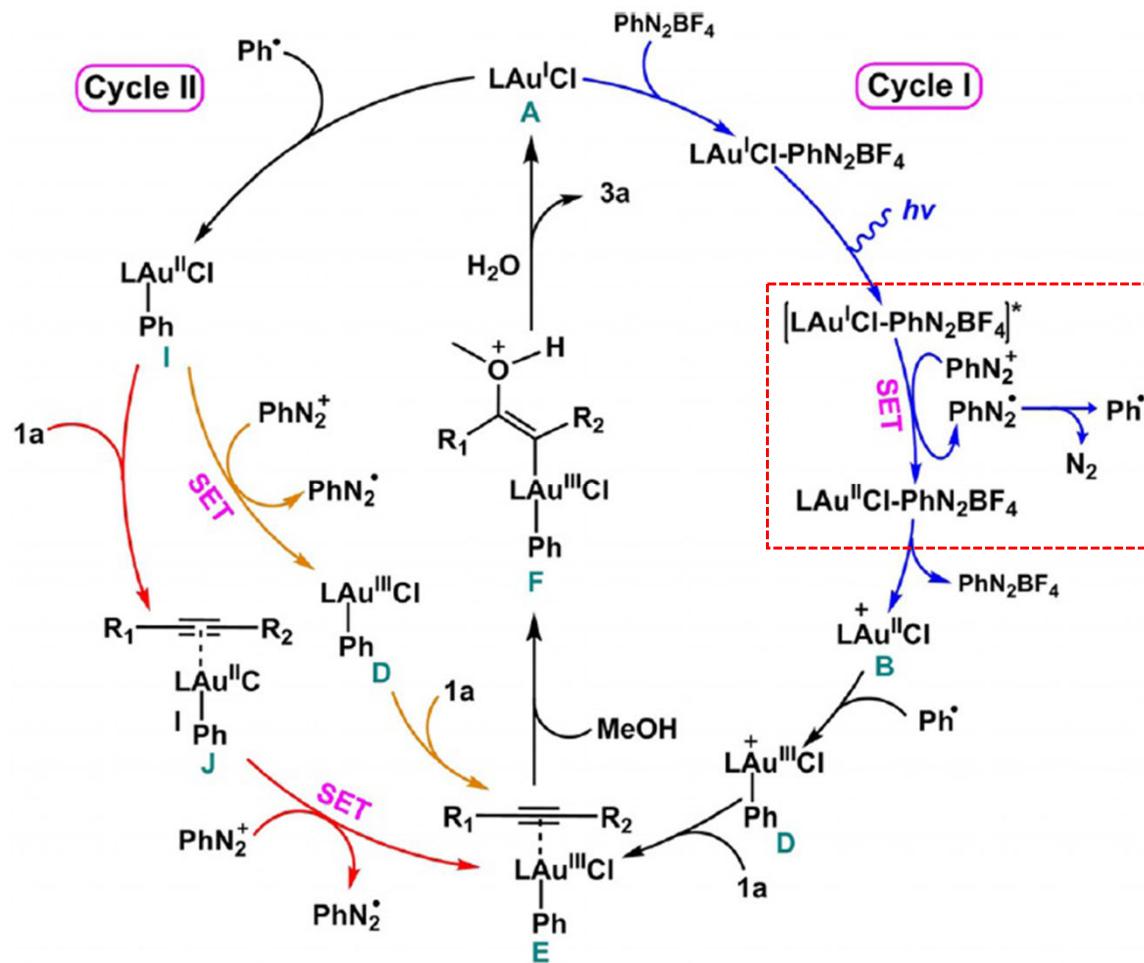
Species	E_{ex}/eV	λ/nm	E_{HOMO}	E_{LUMO}	E_{gap}
$(4\text{-CF}_3\text{C}_6\text{H}_4)_3\text{PAuCl}$	4.86	255	-7.43	-1.56	5.87
$\text{PhN}_2\text{BF}_4^-$	4.00	310	-8.42	-3.41	5.01
$(4\text{-CF}_3\text{C}_6\text{H}_4)_3\text{PAuCl}\text{-PhN}_2\text{BF}_4^-$	2.83	439	-7.16	-3.56	3.60



TD-M06/LANL2DZ(f)/6-31G(d,p) level

Gold complex as photosensitizer

Calculated mechanism



A Brief Conclusion

■ Characteristics

- ! Readily activation of unactivated alkenes and alkynes.
- ! Readily generation of C-centered radical from unactivated R-Br and R-I.
- ! Au(III) readily undergoes reductive elimination.
- ! Better stability (than Pd, etc) when exposed to moisture and oxygen.
- ! Circumventing β -H elimination to achieve difunctionalization.
- ! Multiple catalytic mechanism at multiple conditions.
- !

A Brief Conclusion

- More to be developed...

- ... UVA is generally requisite for excitation of $[\text{Au}_2(\text{dppm})_2]\text{X}_2$.
- ... Dual photoredox/Au catalysis mainly relies on diazonium salt as substrates.
- ... Some mechanisms remain elusive and unpredictable.
- ... Asymmetric transformations largely unexplored.
- ... Au photocatalyst limited to several compounds.
- ... Utilizing LMCT in Au(III) complexes?
-

Outline

- Atomic and Optical Properties of Gold
- History of Homogenous Gold Catalysis
- Combining Gold Catalysis and Light
 - Gold complex as transition-metal catalyst
 - Gold complex as photosensitizer
- A Brief Conclusion
- Application in Synthesis

The Application in Synthesis

■ Formal synthesis of (\pm)-Tryptolide:

